

Contents

- **03** Executive Summary
- 04 Introduction & Background
- **05** Our Vision & GTA 5 Year Strategy
- **06** Methodology
- **07** Results
- 17 Outlook
- 18 Annex 1 GTA Individual Progress Against KPIs
- **39** Annex 2 GTA Individual Progress Results
- 71 Annex 3 GTA 2021 Performance Dashboard
- **72** Contact

Executive Summary

In our first progress report from our 5-year strategy (the 2025 Pledge Towards Sustainable Tuna), we determined that GTA Partners had collectively already achieved 37% of KPIs. Individual progress varied considerably from zero to 78% of KPIs met.

Most progress was recorded on our Environmental Sustainability strategic priority (43% of KPIs collectively achieved) and least progress on Transparency & Traceability strategic priority (30% of KPIs collectively achieved).



Introduction & Background

The Global Tuna Alliance (GTA) is a precompetitive collaboration of independent retailers, suppliers, wholesalers, and brands active in the seafood sector and with a major interest in improving the sustainability of the tuna sector in a socially and environmentally responsible way. Collectively, these companies form one of the world's most extensive networks of seafood wholesalers and suppliers to the consumer, particularly tuna, purchasing 1.27 million tonnes (20% of global production) worth US\$1.3 billion in 2020 alone. They employ 1.2 million people in 116 countries with a combined annual turnover of over US\$255 billion.

An organisation with a strong purpose inspires higher levels of trust and confidence among its partners and stakeholders and is more likely to grow sustainably. It is also more likely to be efficient, with strong accountability and a motivated team.

Therefore, in 2021 the GTA adopted a 5-year strategy, which we now call the 2025 Pledge towards Sustainable Tuna (or 25PST), that clearly articulates our vision in a way that lays out a common purpose partners and stakeholders can follow and makes clear our ambition to achieve our objectives. To do so, we are focussing our work on our three main strategic priorities:

- 1. Transparency & Traceability
- 2. Environmental Sustainability
- 3. Social Responsibility

We committed to review our progress each year and transparently share our progress with interested stakeholders.

Accordingly, we are pleased to share the results of the inaugural GTA progress report from our <u>5-year strategy</u>.



Our Vision:

Tuna ultimately meets the highest standards of environmental performance and social responsibility.

GTA 5 YEAR STRATEGY

Transparency & Traceability



Environmental Sustainability



Social Responsibility



- Closs Observer Coverage
 Electronic Monitoring (EM)
 Port State Measures Agreement (PSMA)
 Transshipment Regulations

- Support publication of Authorised Vessel lists Support Unique Vessel Identification/Global Record of Fishing Vessels
- **Sourcing Policy**
- Harvest Strategies
- FAD Management
- Fins Naturally Attached (FNA) advocacy and policies
- Ending Harmful Subsidies
- **Biodiversity**

- **Ensuring Socially Responsible Seafood Supply Chains**
- **ILO 188**
- Cape Town Agreement
- Observer safety

Methodology

GTA Partners received a questionnaire to self-complete at the end of 2021.

A similar survey will be carried out at the end of 2022 and 2024. Partners will be audited by a third-party in 2023 and 2025 (the final year of the 5-year strategy).

The results of the questionnaires were analysed and dashboards were created for each respondee.

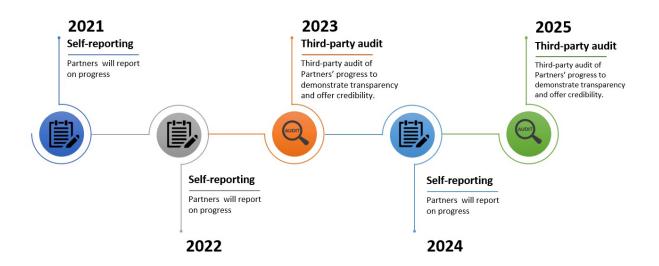
Assessing progress over 5 years

The first set of dashboards (Annex 1) contains the answers to the survey questions and provides means of gauging progress made and a 'gap analysis' of which areas need to be worked on. These dashboards will evolve throughout the 5-year strategy to best accommodate demonstrations of progress made by Partners (see the outlook for more details), and may not be comparable year to year.

Assessing progress against KPIs

The second set of dashboards (Annex 2) contains the performance of GTA Partners against the key performance indicators (KPIs) in the 5-year strategy. The structure of these dashboards will remain constant each year.

The third dashboard (Annex 3) is the organisational dashboard for the GTA for 2021. This dashboard includes the collated performance of Partners, as well as performance against overarching KPIs that are beyond individual Partners' influence.



Results

21 of 25 Partners responded to the questionnaire (84% response rate). Of the four non-respondees, two Partners experienced key staff changes, which prevented a response in time.

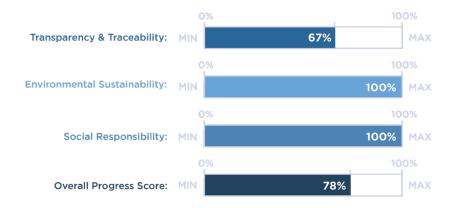
Progress against KPIs (Annex 2 and Annex 3) was derived from the answers submitted to the progress survey (captured in the progress dashboards in Annex 1).

An unweighted metric was calculated to complete KPIs in each of the three strategic priorities, and overall, to better demonstrate achievements.

The graphic below shows how each of the 21 respondees performed in meeting the KPIs from three strategic priorities, and overall. A maximum score was 100 for each, and strategic priority with an average calculated to give an overall progress score.



Maximum & Minimum scores recorded by GTA Partners:



On average, similar progress was made in meeting KPIs in each strategic priority, with environmental sustainability showing the most attainment of KPIs. This is unsurprising considering the long time frame that environmental issues have been a concern.

There was considerable variation in individual progress – ranging from zero KPIs to all KPIs met in both environmental sustainability and social responsibility strategic priorities. This variation reveals that some companies are yet to begin their improvement journeys while others are well advanced.

No one company had completed all the KPIs on both environmental sustainability and social responsibility, likely demonstrating internal resource limitations and prioritisation.

The highest and lowest scoring KPI in each strategic priority reveals that for the Transparency & Traceability strategic priority, the greatest progress was made on endorsing the GDST standards, with the least progress made on the delivery of said commitment.

This is expected as the former is a precursor to the latter.

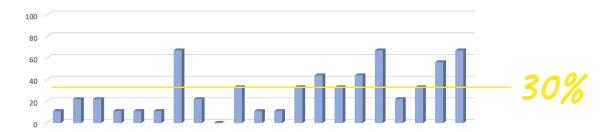
The most significant progress was made on sourcing commitments for the Environmental Sustainability strategic priority. As this KPI is aligned with the recommendations from most mainstream eNGOs, it was not a surprising result. The lowest progress was recorded on Partners who had incorporated the GTA's position on better FAD Management. This may be because several Partners currently do not purchase tuna from fisheries that utilise drifting or anchored FADs.

The most significant progress in the Social Responsibility strategic priority was for Partners sharing company policies on human rights publicly, and with supply chain stakeholders, including a commitment to remediation. This may be driven in part by regulatory requirements and the priority that Partners place on this subject. The lowest progress concerned commitments on sourcing tuna from fisheries with mandatory observer safety reforms. This is a relatively new area for many businesses and may explain the low level of progress in vear one.

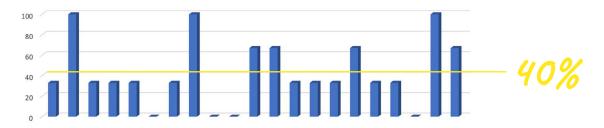
Individual (anonymised) Partner progress scores:



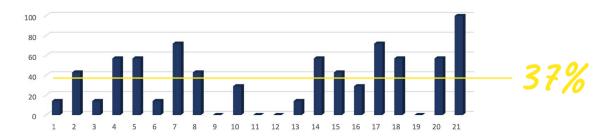
Transparency & Traceability:



Enviromental Sustainability:



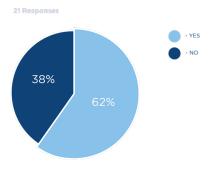
Social Responsibility:



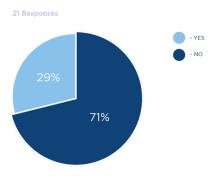
Progress Survey Question Responses

Transparency & Traceabillity:

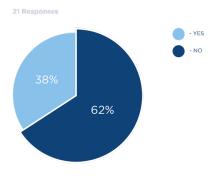
GDST - Have you endorsed the Global Dialogue on Seafood Traceability (GDST) Standards and Guidelines for Interoperable Seafood Traceability Systems (Version 1.0) as the minimum industry-wide standards for seafood traceability?



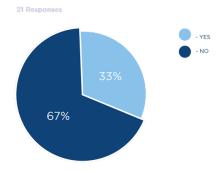
GDST - Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?



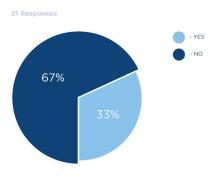
GDST - Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?



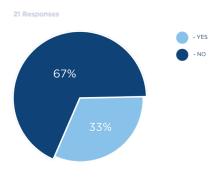
GDST - Have you communicated expectations of GDST adherent traceability to your tuna suppliers (e.g. making the GDST a requirement in your sourcing policies)?



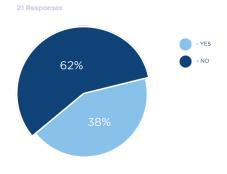
GDST - Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?



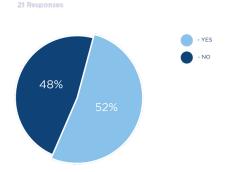
GDST - Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?



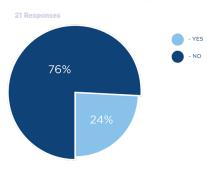
GDST - Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?



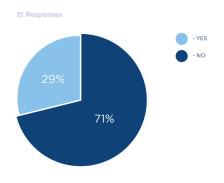
GDST - Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?



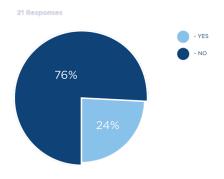
100% Observer Coverage/Electronic Monitoring - Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?



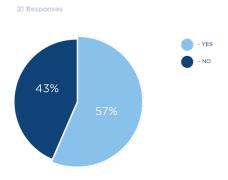
PSMA - Do you show a preference for ports in States that are party to the Port States Measures Agreement (PSMA), or have implemented effective Port state Measures (PSMs) aligned with PSMA requirements?



PSMA - Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?



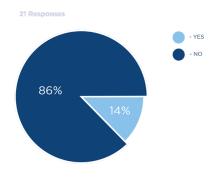
Transshipment Regulations - Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best practices?



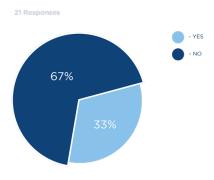
Public tracking of vessels - Do you request mandatory AIS use for vessels you purchase form?



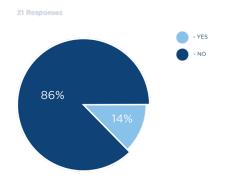
Public tracking of vessels - Have you committed to buy only from vessels that publicly share vessel tracking data?



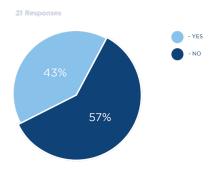
Public tracking of vessels - Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?



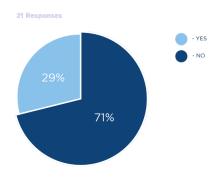
Public tracking of vessels - Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?



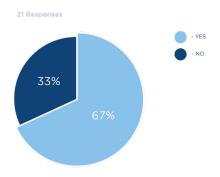
Authorised Vessel lists - Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?



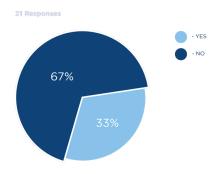
Authorised Vessel lists - Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?



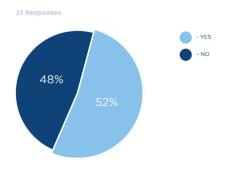
Authorised Vessel lists - Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish?



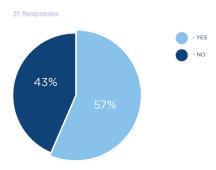
Authorised Vessel lists - Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?



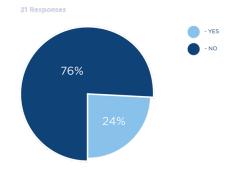
Unique Vessel Identification/Global Record - Do you require mandatory IMO numbers for the eligible vessels you purchase from?



Unique Vessel Identification/Global Record - Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?

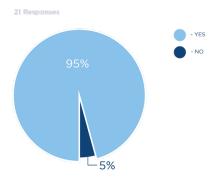


Unique Vessel Identification/Global Record - Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

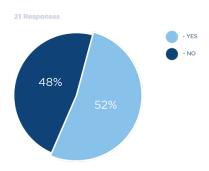


Environmental Sustainability:

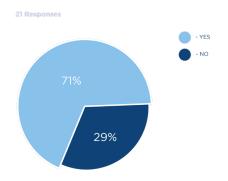
Sourcing Policy - Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?



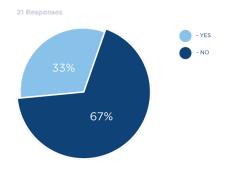
Sourcing Policy - Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?



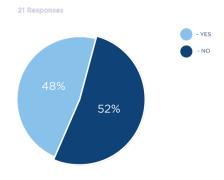
Sourcing Policy - Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing from those on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?



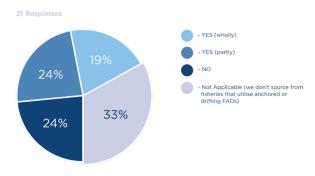
Harvest Strategies - Do you have a corporate RFMO policy for example "We are committed to exerting our influence to support initiatives to strengthen RFMO management of tuna fisheries, including through harvest strategies, both through policy makers and our supply chain." That includes a time-bound commitment where sourcing is reviewed?



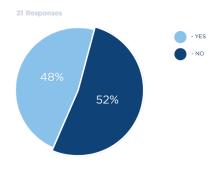
Harvest Strategies - Do you request your suppliers verifiably engage with RFMO delegates?



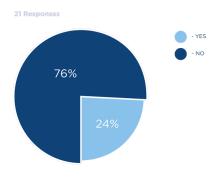
FAD Management - Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?



Fins Naturally Attached (FNA) - Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

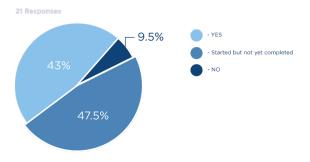


Fins Naturally Attached (FNA) - Do you have a time-bound commitment for Fins Naturally Attached policy requirements? (e.g. "we will only buy from tuna fisheries with an FNA policy by 2024")

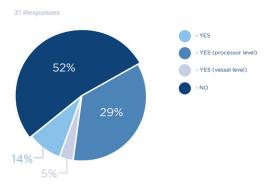


Social Responsibility:

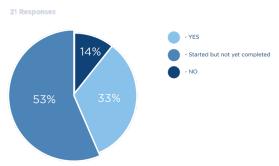
Socially Responsible Seafood Supply Chains - Have you assessed human rights risks in your supply chains at processor and vessel level?



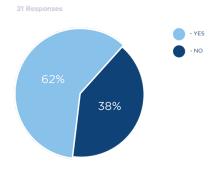
Socially Responsible Seafood Supply Chains - Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?



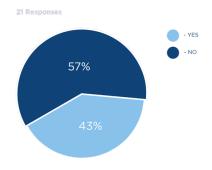
Socially Responsible Seafood Supply Chains - Have you assessed human rights risks from recruitment at processor and vessel level?



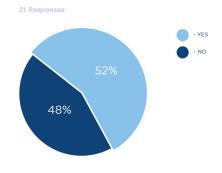
Socially Responsible Seafood Supply Chains - Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?



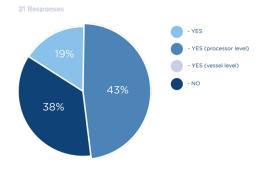
Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?



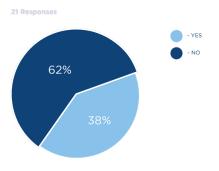
Socially Responsible Seafood Supply Chains - Are you reporting on the management of human rights risks publicly, including remediation actions taken?



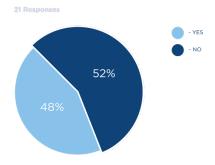
Socially Responsible Seafood Supply Chains - Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators?



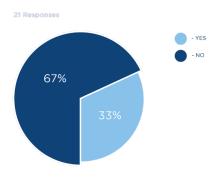
 $\ensuremath{\text{\textbf{ILO188}}}$ - Do you request that your supply chains implement standards that align with ILO 188?



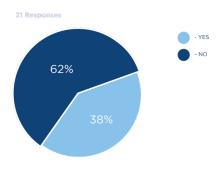
ILO188 - Do you advocate that the countries from which you source consider ratifying ILO 188?



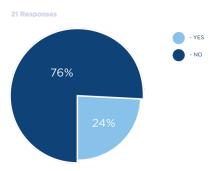
Cape Town Agreement - Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational and radio-communication equipment as required by the Agreement?



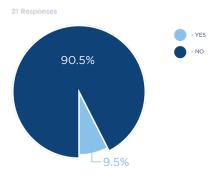
Cape Town Agreement - Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?



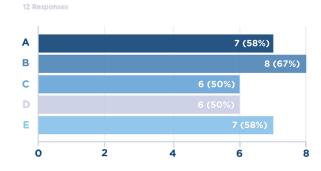
Observer Safety - Do you have a tuna sourcing requirement for tuna for enhanced observer safety?



Observer Safety - Have you adopted an aspirational timeline (e.g. sourcing X% of supply from RFMOs which have implemented the IOBR and WCPFC mandatory observer safety reforms by 202[Y] increasing to 100% over Z timeframe) for enhanced observer safety?

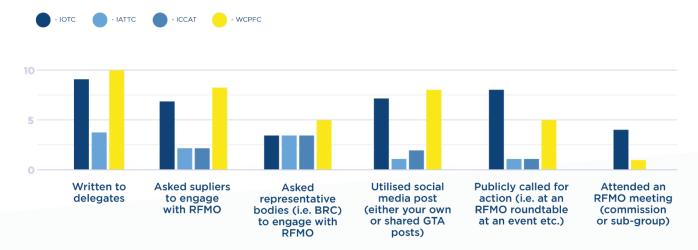


PSMA - Do you work with your suppliers to improve the implementation of port State measures?



- **A:** Mapping supply chains Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join.
- **B:** Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join.
- C: Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels
- D: Inquiring about the implementation of port State measures during port visits
- E: Revising seafood sourcing requirements to include PSM

Have you engaged in individual RFMO advocacy in 2021?





Outlook

These results provide valuable data to GTA Partners (see opposite) and provide an essential resource to GTA staff in developing a targeted support program for Partners. Already in 2022 we have: hosted a webinar on electronic monitoring with an opportunity for Partners to engage in a bulk procurement pilot project; provided Partners access to the Seafood Ethics Action (SEA) Alliance's new fishery risk tool; and worked with Global Fishing Watch on support for adoption and implementation of our asks around public tracking of fishing vessels and carrier vessels.

The 2022 survey questions will include more opportunities to demonstrate progress instead of a strict 'binary' approach. While a 'making progress' answer will not affect the attainment of a KPI, it will better reflect the development that each GTA Partner is making.

"The dashboard will be an added tool to get us closer to the ultimate objective."

"We appreciate all the work GTA performed to provide these valuable dashboards. The information gives a clear roadmap for our next actions."

"The GTA progress survey has been a great prompt for us to review our sourcing requirements and public commitments this year to allow us to demonstrate improvements for the 2022 survey."

Annex 1



GTA 2021 Individual Progress Against KPIs

Signatory Reference Number:

Transparency & Traceability

11%

Environmental Sustainability

33%

Social Responsibility



Transp	arency &	Traceability	Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y/N Au	uthorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards	rec	ave seafood sourcing quirements that include ublicly sharing vessel license ad authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized	V	Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards	Gle	nique Vessel Identification/ obal Record	 certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold	☑ ✓ : red	ave seafood sourcing quirements that include nique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)					commitment to remediation	
Have seafood sourcing requirements that include PSM.					Are reporting on the management of human rights risks publicly, including remediation actions taken.	V
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	
Public tracking of vessels					safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



Signatory Reference Number:

2







22%

100%



Transp	arency	& Traceability	Enviro. Sustainabi	lity	Social Responsibility		
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists		Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists		Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers		Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain	
Port States Measures Agreement (PSMA)						stakeholders, that include a commitment to remediation Are reporting on the	
Have seafood sourcing requirements that include PSM.						management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.						Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Public tracking of vessels						sarety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data							



Signatory Reference Number:

3





Social Responsibility

22%

33%



Transp	arency	& Traceability	Enviro. Sustainabi	lity	Social Responsibility		
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists		Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists		Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized	✓ □	Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers		Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	₩ 🗸
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)						commitment to remediation	
Have seafood sourcing requirements that include PSM.						Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being						Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk.						Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	
Public tracking of vessels				a a a		safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data							



Signatory Reference Number:

Transparency & Traceability

Environmental Sustainability Social Responsibility

11%

33%



Transpa	arency & Traceability	Enviro. Sustainability	Social Responsibility
Global Dialogue on Seafood Traceability (GDST)	Y / N Authorised Vessel lists	Sourcing Policy	Socially Responsible Seafood Supply Chains
Have endorsed GDST Standards	Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized	Have assessed human rights
Have time-bound commitments for implementation of GDST standards	Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability. FAD Management	Have assessed human rights
Have implemented GDST standards along at least 80%	Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include	Have publicly committed to the Employer Pays Principle
of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring		GTA's position on better FAD Management. Fins Naturally Attached (FNA)	Can demonstrate measurable progress against their timebound plan to address human rights risks at
Have time-bound commitments for 100% observer coverage (human and/or electronic)		Have time-bound commitments for Fins Naturally Attached policy requirements	processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a
Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include			commitment to remediation Are reporting on the management of human rights risks publicly, including
PSM. Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.			remediation actions taken. Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data			safety reforms



Signatory Reference Number:

5







11%

33%



Transp	arency	& Traceability		Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists		Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards	V	Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	☑	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized	☑□	Have assessed human rights risks in their supply chains at processor and vessel level	☑ □
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	☑ □
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	☑	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)						commitment to remediation	
Have seafood sourcing requirements that include PSM.						Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those						Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and	
identified as high risk. Public tracking of vessels						WCPFC mandatory observer safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data							



Signatory Reference Number:



Environmental Sustainability

Social Responsibility

11%

0%



Transp	arency	& Traceability	Enviro. Sustainability		Social Responsibility		
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists		Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists		Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers		Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)						commitment to remediation	
Have seafood sourcing requirements that include PSM.						Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being						Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk.						Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Public tracking of vessels				s s		Salety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data							



Signatory Reference Number:







Social Responsibility

67%

33%



Transp	arency	& Traceability		Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists		Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	V	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers		Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain	
Port States Measures Agreement (PSMA)						stakeholders, that include a commitment to remediation Are reporting on the	
Have seafood sourcing requirements that include PSM.						management of human rights risks publicly, including remediation actions taken.	V L
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.						Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	
Public tracking of vessels						safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data							



Signatory Reference Number:

8





Social Responsibility

22%

100%



Transp	arency	& Traceability		Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists		Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists		Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized	✓ □	Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	☑□	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)						commitment to remediation	
Have seafood sourcing requirements that include PSM.						Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.						Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data						actor rooms	



Signatory Reference Number:



Environmental Sustainability

Social Responsibility

0%

0%



Transp	arency	& Traceability	Enviro. Sustainability		Social Responsibility		
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists		Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists		Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers		Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)						commitment to remediation	
Have seafood sourcing requirements that include PSM.						Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being						Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk.						Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Public tracking of vessels						sarety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data							



Signatory Reference Number:

10







33%

0%



Transp	arency	/ & Traceability	Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	<u> </u>
Have time-bound commitments for 100% observer coverage (human and/or electronic)			commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)					commitment to remediation	
Have seafood sourcing requirements that include PSM.	V				Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	₩ 🗸
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data						



Signatory Reference Number:

11



Environmental Sustainability

ब्री

Social Responsibility

11%

67%



Transp	arency	& Traceability		Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists Have seafood sourcing	☑ □	Sourcing Policy Have publicly committed		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards Have time-bound		requirements that include publicly sharing vessel license and authorisation lists		to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway		Have assessed human rights risks in their supply chains at processor and vessel level	
commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers		Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)						commitment to remediation Are reporting on the	
Have seafood sourcing requirements that include PSM.						management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk. Public tracking of vessels						Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	■ ✓
Have seafood sourcing requirements that include publicly sharing vessel tracking data							



Signatory Reference Number:

12



Environmental Sustainability

Sc Re

Social Responsibility

11%

67%



Transp	arency	& Traceability	Enviro. Sustainabi	lity	Social Responsibil	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	 certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)					commitment to remediation Are reporting on the	
Have seafood sourcing requirements that include PSM.					management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being					Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Public tracking of vessels					salety reloit is	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



Signatory Reference Number:

13







Social Responsibility

22%

33%



Transp	arency	& Traceability	Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	 certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)					commitment to remediation	
Have seafood sourcing requirements that include PSM.					Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being					Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC management observer	
Public tracking of vessels			•		safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



Signatory Reference Number:

14







44%

33%



Transp	arency	& Traceability	Enviro. Sustainabi	lity	Social Responsibil	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain	
Port States Measures Agreement (PSMA)					stakeholders, that include a commitment to remediation Are reporting on the	
Have seafood sourcing requirements that include PSM.					management of human rights risks publicly, including remediation actions taken.	XI LI
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	
Public tracking of vessels Have seafood sourcing	V				safety reforms	
requirements that include publicly sharing vessel tracking data						



Signatory Reference Number:

15







33%

33%



Transp	arency	& Traceability	Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized	V	Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at a	
Have time-bound commitments for 100% observer coverage (human and/or electronic)			commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)					commitment to remediation	
Have seafood sourcing requirements that include PSM.					Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being					Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Public tracking of vessels			s s		salety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



Signatory Reference Number:

16







44%

67%



Transp	arency	& Traceability	Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized	☑□	Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	
Have time-bound commitments for 100% observer coverage (human and/or electronic)			commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)					commitment to remediation	
Have seafood sourcing requirements that include PSM.					Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					Safety reforms	



Signatory Reference Number:

17







67%

33%



Transp	arency	/ & Traceability		Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists		Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards	V	Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	V	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	☑ □
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers		Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring				Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)				Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	☑ □
Port States Measures Agreement (PSMA)						commitment to remediation	
Have seafood sourcing requirements that include PSM.						Are reporting on the management of human rights risks publicly, including remediation actions taken.	✓ □
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.						Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	
Public tracking of vessels						safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data							



Signatory Reference Number:

18





Social Responsibility

22%

33%



Transp	arency	& Traceability	Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards	V	Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized	✓ □	Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA) Have time-bound		Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	<u> </u>
Have time-bound commitments for 100% observer coverage (human and/or electronic)			commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a	
Port States Measures Agreement (PSMA)					commitment to remediation	
Have seafood sourcing requirements that include PSM.					Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk. Public tracking of vessels					Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	₩ 🗸
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



Signatory Reference Number:

19



Environmental Sustainability

भूकि

Social Responsibility

33%

0%



		. O. T L. 1154		lia.		
ıransp	arency	& Traceability	Enviro. Sustainabi	Шτу	Social Responsibilit	. y
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	<u> </u>
Have time-bound commitments for implementation of GDST		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability.		Have assessed human rights isks from recruitment at	V
standards Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	FAD Management Have seafood sourcing requirements that include GTA's position on better FAD		processor and vessel level Have publicly committed to the Employer Pays Principle	<u> </u>
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA)		progress against their timebound plan to address human rights risks at	□
Have time-bound commitments for 100% observer coverage (human and/or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders. that include a	<u> </u>
Port States Measures Agreement (PSMA)					commitment to remediation	
Have seafood sourcing requirements that include PSM.					Are reporting on the management of human rights risks publicly, including remediation actions taken.	<u> </u>
Have risk assessed ports where product is being					Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	<u> </u>
Public tracking of vessels					safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



GTA 2021 Individual Progress Against KPIs

Signatory Reference Number:

20





Social Responsibility

56%

100%

57%



Transp	arency	/ & Traceability	Enviro. Sustainabi	lity	Social Responsibi	lity
Global Dialogue on Seafood Traceability (GDST)	Y / N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability. FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD	V	Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management. Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain	
Port States Measures Agreement (PSMA)					stakeholders, that include a commitment to remediation	
Have seafood sourcing requirements that include PSM.					Are reporting on the management of human rights risks publicly, including remediation actions taken.	
Have risk assessed ports where product is being					Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk.					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	₩ 🗸
Public tracking of vessels					safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



GTA 2021 Individual Progress Against KPIs

Signatory Reference Number:

21



Environmental Sustainability

Social Responsibility

67%

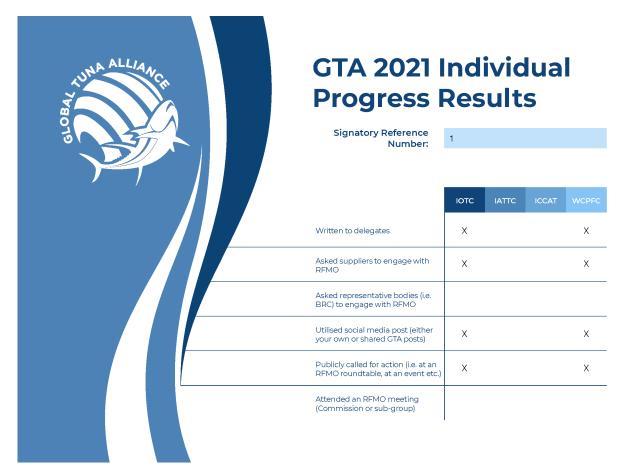
67%

100%



Transp	arency	& Traceability	Enviro. Sustainabi	ility	Social Responsibil	lity
Global Dialogue on Seafood Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards	Y/N Ø •	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Global Record Have seafood sourcing	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability. FAD Management	☑ □	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring		requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD Management. Fins Naturally Attached (FNA) Have time-bound		Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	□ □
Have time-bound commitments for 100% observer coverage (human and/or electronic) Port States Measures Agreement (PSMA)			commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the	
Have seafood sourcing requirements that include PSM. Have risk assessed ports where product is being	✓ □				management of human rights risks publicly, including remediation actions taken. Observer Safety	
landed for compliance to PSMs and are taking appropriate action for those identified as high risk. Public tracking of vessels					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						0 0 0 0 0 0 0

Annex 2



Transparency & Traceability solution provider or made any signed the PSMA and, if Authorised Vessel lists Global Dialogue on Seafood in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license Checking whether States have officially designated ports for landing and Have you endorsed the GDST **✓** compliant traceability and authorisation lists be published by the flag and 100% Observer Coverage/ coastal States of the vessels Have you made a public, time- 🔲 🔽 transshipment by foreign-flagged vessels **Electronic Monitoring** you purchase from? bound commitment to meet or exceed GDST standards, Inquiring about the implementation of port Have you adopted aspirational Do you request flag and **V** including use of the minimum Key Data Elements timelines (e.g. sourcing X% of supply from vessels with coastal States of the vessels you purchase from to State measures during port 100% observer coverage (human and/or electronic) by (KDEs)? share vessel license and visits authorisation lists with the FAO Global Record of Fishing Revising seafood sourcing Have you completed a 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer requirements to include PSM supplier assessment review through the GDST Supplier Assessment Survey or Do you require that all vessels coverage? Transshipment Regulations comparable process with all of in your tuna supply chain are listed on the Authorised your tuna suppliers? **V** Port States Measures Have you advocated for tuna RFMOs to adopt amendments to existing Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated they fish? expectations of GDST Do you show a preference transhipment regulations for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include adherent traceability to your to bring in line with best tuna suppliers (e.g. making practices: the GDST a requirement in implemented effective Port publicly sharing vessel license your sourcing policies) state Measures (PSMs) aligned with PSMA requirements? Public tracking of vessels and authorisation lists? Have you prepared a Unique Vessel Identification/ Do you request mandatory traceability implementation plan based on a review of Do you conduct risk assessments for ports where AIS use for vessels you purchase from? Global Record your internal processes and a product is being landed to establish the level of Do vou require mandatory Have you committed to buy only from vessels that publicly IMO numbers for the eligible vessels you purchase from? supplier assessment? PSM implementation and Are you capturing all GDST compliance and associated risk of IUU catch entering the share vessel tracking data? Have you committed to buy only from vessels that have obtained an IMO number KDEs correlating to the Critical Tracking Events **V** Do you request AIS be market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your (where eligible)? suppliers to improve the implementation of port State and encourage the release of Are you using GDST compliant Identifiers (e.g. GTIN) for at least 30% of tuna products Do you request flag and coastal States of the vessels VMS data? measures? Do you have tuna sourcing Mapping supply chains Checking whether ports you purchase from share requirements that include publicly sharing vessel vessel IMO numbers with the FAO Global Record of Fishing handled by value? are in States that have Have you engaged a 3rd party tracking data? Vessels?

Environ	menta	al Sustainability	Social Responsibility			
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	•
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Yes No Rerbed but not completed	Do you request that your supply chains implement standards that align with ILO 188?	₩ ✓
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Sarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing from those on a pathway to			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle? Have you developed a	Ves No	Do you request that supply chains implement standards that align with the CTA standards?	
sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Harvest Strategies			Can you demonstrate	Yes No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Processor Vessel	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	V
FAD Management	Wholly No		remediation?			•
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Pertly N/A		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			•
						•



Transparency & Traceability Global Dialogue on Seafood signed the PSMA and, if **Authorised Vessel lists** solution provider or made any Traceability (GDST) in house traceability system not, advocating for those adaptations to enable GDST States to join Checking whether States Do you request vessel license \checkmark Have you endorsed the GDST **V** compliant traceability \forall and authorisation lists be have officially designated ports for landing and published by the flag and coastal States of the vessels Standards? 100% Observer Coverage/ Have you made a public, timetransshipment by foreign-flagged vessels **Electronic Monitoring** vou purchase from? bound commitment to meet or exceed GDST standards, Have you adopted aspirational Inquiring about the implementation of port Do you request flag and \checkmark including use of the minimum Key Data Elements timelines (e.g. sourcing X% coastal States of the vessels of supply from vessels with State measures during port vou purchase from to 100% observer coverage (human and/or electronic) by share vessel license and authorisation lists with the (KDEs)? Revising seafood sourcing Have you completed a \forall 202[Y] increasing to 100% over Z timeframe) for sourcing requirements to include FAO Global Record of Fishing Vessels? supplier assessment review through the GDST Supplier tuna with improved observer Assessment Survey or Do you require that all vessels Transshipment Regulations coverage? comparable process with all of in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where Port States Measures Agreement (PSMA) Have you advocated for tuna RFMOs to adopt your tuna suppliers? \checkmark Have you communicated amendments to existing they fish? expectations of GDST adherent traceability to your Do you show a preference $\overline{}$ transhipment regulations to bring in line with best practices? Do you have tuna sourcing \checkmark for ports in States that are tuna suppliers (e.g. making the GDST a requirement in requirements that include publicly sharing vessel license party to the PSMA, or have implemented effective Port your sourcing policies) state Measures (PSMs) aligned Public tracking of vessels and authorisation lists? with PSMA requirements? Have you prepared a Do you request mandatory AIS use for vessels you \forall Unique Vessel Identification/ traceability implementation Global Record Do you conduct risk purchase from? plan based on a review of assessments for ports where , your internal processes and a product is being landed Do you require mandatory IMO numbers for the eligible \vee supplier assessment? to establish the level of PSM implementation and Have you committed to buy only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated Have you committed to buy KDEs correlating to the risk of IUU catch entering the \checkmark only from vessels that have obtained an IMO number Critical Tracking Events Do you request AIS be \checkmark market? mandated by the flag State of (CTEs) that occur within your the vessels you purchase from (where eligible)? Do you work with your suppliers to improve the and encourage the release of Do you request flag and coastal States of the vessels implementation of port State VMS data? measures? least 30% of tuna products Mapping supply chains Do you have tuna sourcing you purchase from share \vee vessel IMO numbers with the FAO Global Record of Fishing requirements that include handled by value? Checking whether ports are in States that have publicly sharing vessel Have you engaged a 3rd party tracking data? Vessels? **Social Responsibility Environmental Sustainability** Socially Responsible Seafood Supply Chains Sourcing Policy Fins Naturally Attached (FNA) **ILO188** Do you request that your supply chains implement Have you made a public Have you committed to buy \checkmark **V** tuna only from vessels that adhere to a Fins Naturally tuna (or wider seafood) **V** Have you assessed human sourcing commitment that sets out your sustainability rights risks in your supply chains at processor and vessel standards that align with ILO Attached policy? requirements? level? Do you have a time-bound **V** Do you advocate the countries 🔲 🗹 Have you committed to **V** commitment for Fins Have you assessed human vou source from consider sourcing tuna from fisheries with a GSSI-recognized Naturally Attached policy rights risks from recruitment ratifying ILO 188? Y requirements? at processor and vessel level? certification? Cape Town Agreement Socially Responsible Seafood Where fisheries have not yet met a GSSI-recognized Supply Chains - Have you publicly committed to the Do you request that supply chains implement standards $\overline{\mathbf{V}}$ \checkmark certification, have you committed to sourcing that align with the CTA standards? Employer Pays Principle? from those on a pathway to sustainability, such as in full-Have you developed a Y N plan which prioritises and Do you advocate the countries 🔽 🔲 assessment or in a credible and comprehensive Fishery addresses human rights risks at processor/vessel level which you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Improvement Project for includes measurable progress source fisheries? indicators Harvest Strategies Can you demonstrate Y N Observer Safety measurable progress against your timebound plan(s) to Do you have a corporate Do you have a tuna sourcing RFMO policy that includes a time-bound commitment address human rights risks at requirement for tuna for processor and vessel level? enhanced observer safety? where sourcing is reviewed? Have you shared company **V** Have you adopted an Do you request your suppliers aspirational timeline for policies on human rights publicly, and with supply chain stakeholders, that verifiably engage with RFMO enhanced observer safety? delegates? include a commitment to **FAD Management** remediation? Do you have a tuna sourcing Y Are you reporting on the requirement that incorporates GTA's position on better FAD management of human rights risks publicly, including

remediation actions taken

Management?

A ALLIANCE	GTA 2021 I Progress I Signatory Reference Number:				I .
		ютс	IATTC	ICCAT	WCPFC
	Written to delegates	Х			X
	Asked suppliers to engage with RFMO	Х			Х
	Asked representative bodies (i.e. BRC) to engage with RFMO				
	Utilised social media post (either your own or shared GTA posts)	Х			X
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
	Attended an RFMO meeting (Commission or sub-group)				
	,				

	Transp	arency	/ & Traceability			
Have you endorsed the GDST Standards?	solution provider or made any in house traceability system adaptations to enable GDST compliant traceability 100% Observer Coverage/		signed the PSMA and, if not, advocating for those States to join - Checking whether States have officially designated ports for landing and transshipment by foreign-	□ ☑	Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing		flagged vessels Inquiring about the implementation of port State measures during port visits Revising seafood sourcing requirements to include PSM		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?	
Assessment Survey or comparable process with all of your tuna suppliers?	tuna with improved observer coverage? Port States Measures Agreement (PSMA) Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port		Transshipment Regulations Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best practices?		Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license	
your sourcing policies) Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?	state Measures (PSMs) aligned with PSMA requirements? Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and		Public tracking of vessels Do you request mandatory AIS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data?		and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from?	
KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company? Are you using GDST compliant identifiers (e.g. GTIN) for at	risk of IUU catch entering the market? Do you work with your suppliers to improve the implementation of port State measures?		Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?		Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and coastal States of the vessels	
least 30% of tuna products handled by value? Have you engaged a 3rd party	 Mapping supply chains Checking whether ports are in States that have 		Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?		you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environ	menta	al Sustainability	Social Responsibility			
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	•
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Yes No Rerbed but not completed	Do you request that your supply chains implement standards that align with ILO 188?	2 0
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Sarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing from those on a pathway to			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle? Have you developed a	Ves No	Do you request that supply chains implement standards that align with the CTA standards?	₩ 🗸
sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	₩ ✓
Harvest Strategies			Can you demonstrate	Yes No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Processor Vessel	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	V
FAD Management	Wholly No		remediation?			•
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Pertly rula		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			•
						•



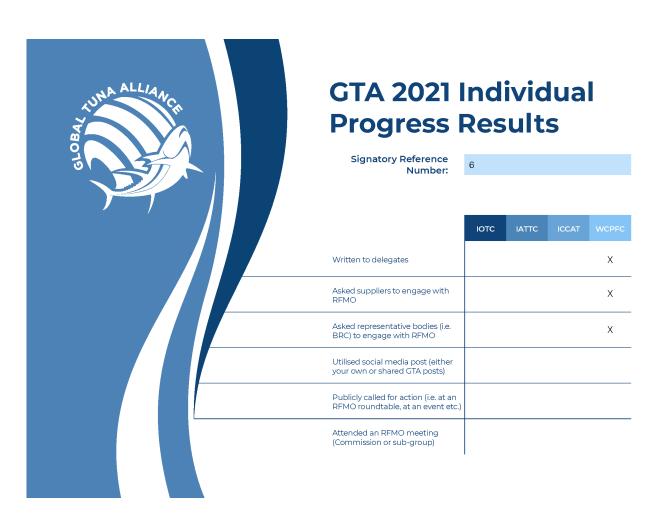
Transparency & Traceability solution provider or made any signed the PSMA and, if Global Dialogue on Seafood **Authorised Vessel lists** \checkmark in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license \checkmark Have you endorsed the GDST Checking whether States have officially designated and authorisation lists be published by the flag and compliant traceability Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Have you adopted aspirational 🔟 🔽 Inquiring about the Do you request flag and coastal States of the vessels including use of the timelines (e.g. sourcing X% implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include **V** authorisation lists with the 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing supplier assessment review through the GDST Supplier DSM Vessels? tuna with improved observer Assessment Survey or comparable process with all of Do you require that all vessels 🛛 🔲 coverage? Transshipment Regulations in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt **V** Port States Measures Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference $\overline{}$ transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best **□** tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory traceability implementation Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory **V** supplier assessment? to establish the level of PSM implementation and Have you committed to buy IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy \checkmark only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Are you using GDST compliant 🚺 🔲 identifiers (e.g. GTIN) for at Do you request flag and coastal States of the vessels VMS data? measures? least 30% of tuna products handled by value? Mapping supply chains Do you have tuna sourcing requirements that include \vee you purchase from share vessel IMO numbers with the \checkmark Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data?

Environmental Sustainability			Social Responsibility				
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188		
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Nes No Rerted but not completed	Do you request that your supply chains implement standards that align with ILO 188?		
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?	☑ □	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Rarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement		
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing	☑ □		Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Ves No	Do you request that supply chains implement standards that align with the CTA standards?		
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	· 🔽 🗖	
Harvest Strategies			Can you demonstrate measurable progress against	Ves No	Observer Safety		
Do you have a corporate RFMO policy that includes a time-bound commitment			your timebound plan(s) to address human rights risks at processor and vessel level?	✓ □	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?		
where sourcing is reviewed? Do you request your suppliers verifiably engage with RFMO delegates?	☑ □		Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?		
FAD Management	Wholly No		remediation?				
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partly N/A		Are you reporting on the management of human rights risks publicly, including remediation actions taken?				

STORY ALLIANCE	GTA 2021 I Progress F Signatory Reference Number:				
		ютс	IATTC	ICCAT	WCPFC
	Written to delegates	Х	Χ		X
	Asked suppliers to engage with RFMO				
	Asked representative bodies (i.e. BRC) to engage with RFMO				
	Utilised social media post (either your own or shared GTA posts)				
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	Х			
	Attended an RFMO meeting (Commission or sub-group)				
		ı			

	Transp	arency	& Traceability			
Global Dialogue on Seafood Traceability (GDST)	y/N solution provider or made any in house traceability system adaptations to enable GDST	✓ □	signed the PSMA and, if not, advocating for those States to join		Authorised Vessel lists Do you request vessel license	
Standards?	compliant traceability 100% Observer Coverage/		Checking whether States have officially designated ports for landing and		and authorisation lists be published by the flag and coastal States of the vessels	
bound commitment to meet or exceed GDST standards,	■ ☑ Electronic Monitoring Have you adopted aspirational		transshipment by foreign- flagged vessels - Inquiring about the	V	you purchase from? Do you request flag and	
including use of the minimum Key Data Elements (KDEs)?	timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by		implementation of port State measures during port visits Revising seafood sourcing		coastal States of the vessels you purchase from to share vessel license and authorisation lists with the	
Have you completed a supplier assessment review through the GDST Supplier	202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer		requirements to include PSM	V	FAO Global Record of Fishing Vessels?	
Assessment Survey or comparable process with all of your tuna suppliers?	coverage? Port States Measures		Transshipment Regulations Have you advocated for		Do you require that all vessels in your tuna supply chain are listed on the Authorised	
Have you communicated expectations of GDST adherent traceability to your	Agreement (PSMA) Do you show a preference for ports in States that are		tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best		Vessel List of the RFMO where they fish? Do you have tuna sourcing	
tuna suppliers (e.g. making the GDST a requirement in your sourcing policies)	party to the PSMA, or have implemented effective Port state Measures (PSMs) aligned		practices? Public tracking of vessels		requirements that include publicly sharing vessel license and authorisation lists?	
Have you prepared a traceability implementation plan based on a review of	with PSMA requirements? Do you conduct risk assessments for ports where		Do you request mandatory AIS use for vessels you purchase from?		Unique Vessel Identification/ Global Record	
your internal processes and a supplier assessment?	product is being landed to establish the level of PSM implementation and		Have you committed to buy only from vessels that publicly		Do you require mandatory IMO numbers for the eligible vessels you purchase from?	
Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?	compliance and associated risk of IUU catch entering the market?		share vessel tracking data? Do you request AIS be mandated by the flag State of the vessels you purchase from		Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?	
Are you using GDST compliant identifiers (e.g. GTIN) for at	Do you work with your suppliers to improve the implementation of port State measures?		and encourage the release of VMS data?		Do you request flag and coastal States of the vessels	
least 30% of tuna products handled by value? Have you engaged a 3rd party	 Mapping supply chains Checking whether ports are in States that have 		Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?		you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environ	menta	al Sustainability	Soc	ial Res	ponsibility	
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	•
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Sarted but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	₩ 🗸
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Ves No	Do you request that supply chains implement standards that align with the CTA standards?	
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vissell	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Harvest Strategies		•	Can you demonstrate measurable progress against	Ves No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?	Processor Vessel	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to	✓ □	Have you adopted an aspirational timeline for enhanced observer safety?	
FAD Management	Wholly No		remediation?			•
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Parthy N/A		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			
						•



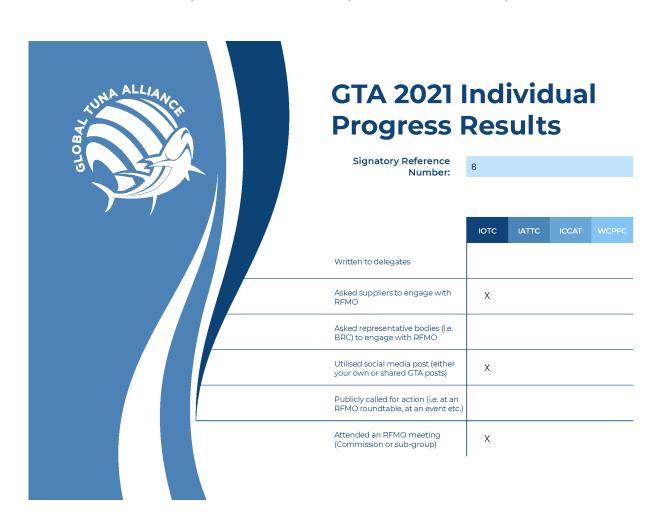
Transparency & Traceability solution provider or made any signed the PSMA and, if Global Dialogue on Seafood **Authorised Vessel lists** \checkmark in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license \vee Checking whether States have officially designated Have you endorsed the GDST and authorisation lists be published by the flag and compliant traceability \checkmark Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Have you adopted aspirational 🔟 🔽 Inquiring about the Do you request flag and coastal States of the vessels **V** including use of the timelines (e.g. sourcing X% implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include **V** authorisation lists with the 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing supplier assessment review through the GDST Supplier DSM Vessels? tuna with improved observer Assessment Survey or comparable process with all of Do you require that all vessels 🛛 🔲 coverage? Transshipment Regulations in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt Port States Measures Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference $\overline{}$ transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best **□** tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory traceability implementation Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory **V** supplier assessment? to establish the level of PSM implementation and Have you committed to buy IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy \checkmark only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Do you request flag and coastal States of the vessels VMS data? measures? least 30% of tuna products handled by value? Mapping supply chains Do you have tuna sourcing requirements that include \vee you purchase from share vessel IMO numbers with the Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data?

Environmen	tal Sustainability	Social Responsibility				
ourcing Policy	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188		
lave you made a public una (or wider seafood) ourcing commitment that ets out your sustainability equirements?	tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Yes No Rected but not completed	Do you request that your supply chains implement standards that align with ILO 188?		
lave you committed to ourcing tuna from fisheries vith a GSSI-recognized ertification?	Do you have a time-bound Commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Sarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	V	
Vhere fisheries have not et met a GSSI-recognized ertification, have you ommitted to sourcing	l	Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Ves No	Do you request that supply chains implement standards that align with the CTA standards?	W	
rom those on a pathway to ustainability, such as in full- sssessment or in a credible nd comprehensive Fishery mprovement Project for ource fisheries?		Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	V	
larvest Strategies		Can you demonstrate measurable progress against	Yes No	Observer Safety		
Do you have a corporate IFMO policy that includes time-bound commitment where sourcing is reviewed?	l	your timebound plan(s) to address human rights risks at processor and vessel level?	V V	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	V	
oo you request your suppliers erifiably engage with RFMO lelegates?	I	Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	M	
AD Management		remediation?				
oo you have a tuna sourcing equirement that incorporates TA's position on better FAD Anagement?		Are you reporting on the management of human rights risks publicly, including remediation actions taken?				

STUMA ALLIANCE	GTA 2021 I Progress F Signatory Reference Number:		•		
		ютс	IATTC	ICCAT	WCPFC
	Written to delegates	Χ	Χ		Χ
	Asked suppliers to engage with RFMO	Х	Х	Х	Х
	Asked representative bodies (i.e. BRC) to engage with RFMO	Х	Χ	Х	Х
	Utilised social media post (either your own or shared GTA posts)	Х	Χ	Χ	Х
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	Х	Χ	Χ	Х
	Attended an RFMO meeting (Commission or sub-group)				

Transparency & Traceability									
Global Dialogue on Seafood Traceability (GDST)	 In nouse traceability system 		signed the PSMA and, if not, advocating for those	☑ □	Authorised Vessel lists				
Have you endorsed the GDST Standards? Have you made a public, time-	100% Observer Coverage/		States to join - Checking whether States have officially designated ports for landing and	V	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels				
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by	☑ □	transshipment by foreign- flagged vessels Inquiring about the implementation of port State measures during port visits	V •	you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the				
Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or	202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?		Revising seafood sourcing requirements to include PSM Transshipment Regulations		FAO Global Record of Fishing Vessels? Do you require that all vessels				
comparable process with all of your tuna suppliers? Have you communicated expectations of GDST	Port States Measures Agreement (PSMA)		Have you advocated for tuna RFMOs to adopt amendments to existing	✓ □	in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish?				
adherent traceability to your tuna suppliers (e.g. making the GDST a requirement in your sourcing policies)	Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port state Measures (PSMs) aligned with PSMA requirements?		transhipment regulations to bring in line with best practices? Public tracking of vessels		Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?	☑□			
Have you prepared a traceability implementation plan based on a review of	Do you conduct risk assessments for ports where	☑ □	Do you request mandatory AIS use for vessels you purchase from?		Unique Vessel Identification/ Global Record				
your internal processes and a supplier assessment? Are you capturing all GDST	product is being landed to establish the level of PSM implementation and		Have you committed to buy only from vessels that publicly share vessel tracking data?		Do you require mandatory IMO numbers for the eligible vessels you purchase from?				
KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?	compliance and associated risk of IUU catch entering the market? Do you work with your suppliers to improve the		Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of	V	Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?				
Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value? Have you engaged a 3rd party	implementation of port State measures? - Mapping supply chains - Checking whether ports are in States that have		VMS data? Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?	☑ □	Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?				

Environi	menta	l Sustainability	Social Responsibility			
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Yes No Rerbed but not completed	Do you request that your supply chains implement standards that align with ILO 188?	V
'	☑□	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Ves No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing	☑ □		Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	✓ Us No	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA standards?	✓ ■
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	V
Harvest Strategies			Can you demonstrate measurable progress against	Yes No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?	<u> </u>	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?	☑ □		Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to	✓ □	Have you adopted an aspirational timeline for enhanced observer safety?	V
FAD Management	Wholly No		remediation?		0 0	
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Pertly N/A		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			
						•



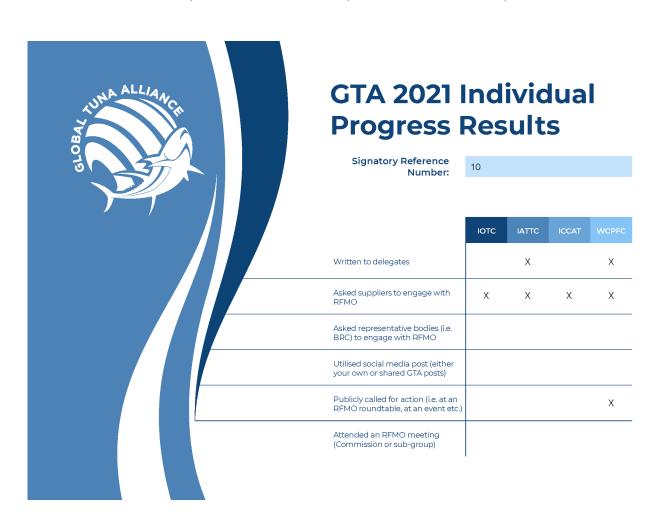
Transparency & Traceability solution provider or made any signed the PSMA and, if Global Dialogue on Seafood **Authorised Vessel lists** \checkmark in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license \checkmark Have you endorsed the GDST Checking whether States have officially designated **V** and authorisation lists be published by the flag and compliant traceability Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Have you adopted aspirational 🔟 🔽 Inquiring about the Do you request flag and coastal States of the vessels **V** including use of the timelines (e.g. sourcing X% implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include **V** authorisation lists with the 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing supplier assessment review through the GDST Supplier DSM Vessels? tuna with improved observer Assessment Survey or comparable process with all of Do you require that all vessels 🛛 🔲 coverage? Transshipment Regulations in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt Port States Measures Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference $\overline{}$ transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best **□** tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory traceability implementation Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory **V** supplier assessment? to establish the level of PSM implementation and Have you committed to buy IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy \checkmark only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be \checkmark market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Do you request flag and coastal States of the vessels VMS data? measures? least 30% of tuna products handled by value? Mapping supply chains Do you have tuna sourcing requirements that include \vee you purchase from share vessel IMO numbers with the \checkmark Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data?

Environ	Environmental Sustainability				Social Responsibility			
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)		Socially Responsible Seafood Supply Chains		ILO188		
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		tuna only from vessels that adhere to a Fins Naturally Attached policy?	4	Have you assessed human rights risks in your supply chains at processor and vessel level?	Rested but not completed	Do you request that your supply chains implement standards that align with ILO 188?		
Have you committed to sourcing tuna from fisheries with a GSSI-recognized		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	4 🗖	Have you assessed human rights risks from recruitment at processor and vessel level?	Rarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188?		
certification? Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing				Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Vies No	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA standards?		
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?				Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?		
Harvest Strategies				Can you demonstrate measurable progress against	Yes No Processor Vessel	Observer Safety		
Do you have a corporate RFMO policy that includes a time-bound commitment				your timebound plan(s) to address human rights risks at processor and vessel level?	✓ ∨	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	☑□	
where sourcing is reviewed? Do you request your suppliers verifiably engage with RFMO delegates?	☑ □			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?		
FAD Management	Wholly No			remediation?				
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partly N/A			Are you reporting on the management of human rights risks publicly, including remediation actions taken?				
						• • •		

STURIA ALLIANCE	GTA 2021 I Progress I Signatory Reference Number:				ı
		ютс	IATTC	ICCAT	WCPFC
	Written to delegates				
	Asked suppliers to engage with RFMO				
	Asked representative bodies (i.e. BRC) to engage with RFMO				
	Utilised social media post (either your own or shared GTA posts)				
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
	Attended an RFMO meeting (Commission or sub-group)				
	·				

Transparency & Traceability									
Global Dialogue on Seafood Traceability (GDST) Have you endorsed the GDST Standards? Have you made a public, time-	adaptations to enable GDST compliant traceability 100% Observer Coverage/		signed the PSMA and, if not, advocating for those States to join - Checking whether States have officially designated ports for landing and transshipment by foreign-		Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	□ ☑			
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)? Have you completed a supplier assessment review through the GDST Supplier	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer		flagged vessels Inquiring about the implementation of port State measures during port visits Revising seafood sourcing requirements to include PSM		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?				
Assessment Survey or comparable process with all of your tuna suppliers? Have you communicated expectations of CDST adherent traceability to your tuna suppliers (e.g. making the GDST a requirement in your sourcing policies)	coverage? Port States Measures Agreement (PSMA) Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port state Measures (PSMs) aligned		Transshipment Regulations Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best practices? Public tracking of vessels		Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?				
Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment? Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?	Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market? Do you work with your		Do you request mandatory AlS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data? Do you request AlS be mandated by the flag State of the vessels you purchase from		Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?				
Are you using GDST compliant identifiers (e.g. CTIN) for at least 30% of tuna products handled by value? Have you engaged a 3rd party	suppliers to improve the implementation of port State measures? - Mapping supply chains - Checking whether ports are in States that have		and encourage the release of VMS data? Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?		Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?				

Environ	menta	l Sustainability	Social Responsibility			
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Serbed but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Yes No	Do you request that supply chains implement standards that align with the CTA standards?	
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Harvest Strategies			Can you demonstrate measurable progress against	Ves No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?	<u> </u>	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	
FAD Management	Wholly No		remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?			Are you reporting on the management of human rights risks publicly, including remediation actions taken?			



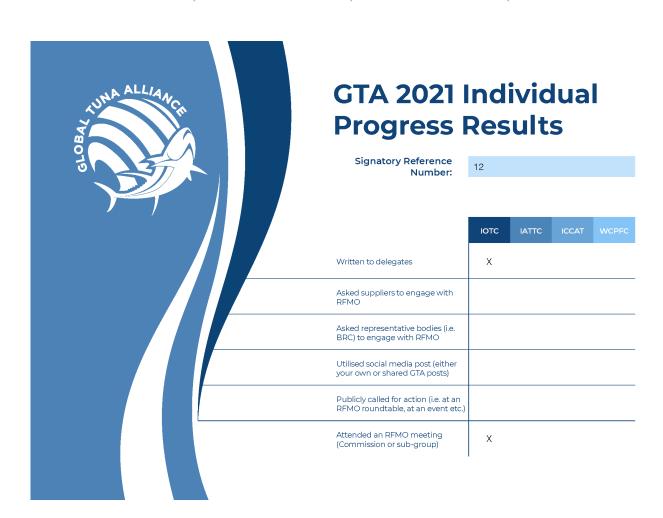
Transparency & Traceability solution provider or made any 🔃 🔽 signed the PSMA and, if Global Dialogue on Seafood **Authorised Vessel lists** \checkmark in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license \vee Checking whether States have officially designated Have you endorsed the GDST **V** and authorisation lists be published by the flag and compliant traceability Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Inquiring about the Do you request flag and coastal States of the vessels Have you adopted aspirational 🔲 🗸 including use of the timelines (e.g. sourcing X% implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include authorisation lists with the 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing supplier assessment review through the GDST Supplier DSM Vessels? tuna with improved observer Assessment Survey or comparable process with all of Do you require that all vessels 🔃 🔽 coverage? Transshipment Regulations in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt **V** Port States Measures Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference **V** transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory traceability implementation **V** Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory supplier assessment? to establish the level of PSM implementation and Have you committed to buy IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Do you request flag and coastal States of the vessels VMS data? measures? least 30% of tuna products handled by value? Mapping supply chains Do you have tuna sourcing requirements that include \vee you purchase from share vessel IMO numbers with the \checkmark Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data?

Environ	menta	al Sustainability	Soci	al Res	ponsibility	
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Yes No Y Rerted but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Sarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	V L
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Yes No	Do you request that supply chains implement standards that align with the CTA standards?	
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Harvest Strategies			Can you demonstrate measurable progress against	Ves No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?	Y V	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	
FAD Management	Wholly No	0 0 9	remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?			Are you reporting on the management of human rights risks publicly, including remediation actions taken?			

SOBATIONA ALLIANCH	GTA 2021 I Progress I Signatory Reference Number:				
		ютс	IATTC	ICCAT	WCPFC
	Written to delegates	Х			
	Asked suppliers to engage with RFMO	Х			
	Asked representative bodies (i.e. BRC) to engage with RFMO	Х	Χ	X	Χ
	Utilised social media post (either your own or shared GTA posts)	Х			Х
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	Х			
	Attended an RFMO meeting (Commission or sub-group)				
	,				

	Transparency & Traceability										
Have you endorsed the GDST Standards?	solution provider or made any in house traceability system adaptations to enable GDST compliant traceability 100% Observer Coverage/ Electronic Monitoring		signed the PSMA and, if not, advocating for those States to join - Checking whether States have officially designated ports for landing and transshipment by foreign-	□ ☑	Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?						
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing		flagged vessels Inquiring about the implementation of port State measures during port visits Revising seafood sourcing requirements to include PSM		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?						
Assessment Survey or comparable process with all of your tuna suppliers?	tuna with improved observer coverage? Port States Measures Agreement (PSMA) Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port		Transshipment Regulations Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best practices?	☑ □	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license						
traceability implementation plan based on a review of your internal processes and a supplier assessment?	state Measures (PSMs) aligned with PSMA requirements? Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated		Public tracking of vessels Do you request mandatory AIS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data?		and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from?						
KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company? Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value? Have you engaged a 3rd party	risk of IUU catch entering the market? Do you work with your suppliers to improve the		Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data? Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?		Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?						

Environ	mental Sustainabi	lity	Social Responsibility			
Sourcing Policy	Y / N Fins Naturally A	ttached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?	Have you commutuna only from Nadhere to a Fins Attached policy	essels that Naturally	Have you assessed human rights risks in your supply chains at processor and vessel level?	Serted but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized	Do you have a ti commitment fo Naturally Attach requirements?	Fins	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Sarted but not completed	you source from consider ratifying ILO 188?	
certification? Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Ves No	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA standards?	
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Harvest Strategies			Can you demonstrate measurable progress against	Yes No	Observer Safety	•
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	
FAD Management	Wholiv No		remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	☑ ■		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			



Transparency & Traceability solution provider or made any 🔃 🔽 signed the PSMA and, if **Authorised Vessel lists** Global Dialogue on Seafood in house traceability system adaptations to enable GDST Traceability (GDST) not, advocating for those States to join Do you request vessel license \checkmark Have you endorsed the GDST Checking whether States have officially designated \vee and authorisation lists be published by the flag and compliant traceability \forall Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Inquiring about the Have you adopted aspirational 🔲 🗸 Do you request flag and including use of the timelines (e.g. sourcing X% coastal States of the vessels implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include authorisation lists with the **V** \vee 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing supplier assessment review through the GDST Supplier DSM Vessels? tuna with improved observer Assessment Survey or Do you require that all vessels 🔃 🔽 coverage? Transshipment Regulations comparable process with all of in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt Port States Measures \vee Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference \checkmark transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? \vee Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory traceability implementation Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory supplier assessment? to establish the level of PSM implementation and Have you committed to buy IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Do you request flag and coastal States of the vessels VMS data? measures? least 30% of tuna products Mapping supply chains Do you have tuna sourcing requirements that include \vee you purchase from share vessel IMO numbers with the \checkmark handled by value? Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data? **Environmental Sustainability** Sourcing Policy Fins Naturally Attached (FNA) Socially Responsible Seafood ILO188 Y / N**Supply Chains** Have you committed to buy Have you made a public **V** Do you request that your $\overline{}$ tuna (or wider seafood) tuna only from vessels that adhere to a Fins Naturally Have you assessed human M supply chains implement sourcing commitment that sets out your sustainability rights risks in your supply standards that align with ILO Attached policy? chains at processor and vessel **V** requirements? level? Do you advocate the countries 🔲 🗸 Do you have a time-bound Have you committed to commitment for Fins Naturally Attached policy Have you assessed human rights risks from recruitment you source from consider ratifying ILO 188? $\overline{\mathbf{V}}$ Y sourcing tuna from fisheries with a GSSI-recognized certification? requirements? at processor and vessel level? **V** Cape Town Agreement **Y** Socially Responsible Seafood Supply Chains - Have you Where fisheries have not yet met a GSSI-recognized certification, have you **V** Do you request that supply $\overline{}$ publicly committed to the chains implement standards that align with the CTA Employer Pays Principle? committed to sourcing standards? from those on a pathway to Have you developed a Y sustainability, such as in full-assessment or in a credible plan which prioritises and Do you advocate the countries 🔲 🗸 addresses human rights risks you source from consider ratifying the CTA to ensure and comprehensive Fishery at processor/vessel level which Improvement Project for includes measurable progress safety provisions are met for source fisheries? indicators existing vessels? Harvest Strategies **V** Can you demonstrate **Observer Safety** measurable progress against your timebound plan(s) to Do you have a corporate Do you have a tuna sourcing \vee RFMO policy that includes a time-bound commitment address human rights risks at processor and vessel level? requirement for tuna for enhanced observer safety? where sourcing is reviewed? Have you shared company Have you adopted an V V Do you request your suppliers verifiably engage with RFMO policies on human rights publicly, and with supply aspirational timeline for enhanced observer safety?

chain stakeholders, that include a commitment to

Are you reporting on the

management of human

rights risks publicly, including

nediation actions taken

 \forall

remediation?

delegates?

FAD Management

Management?

Do you have a tuna sourcing

requirement that incorporates

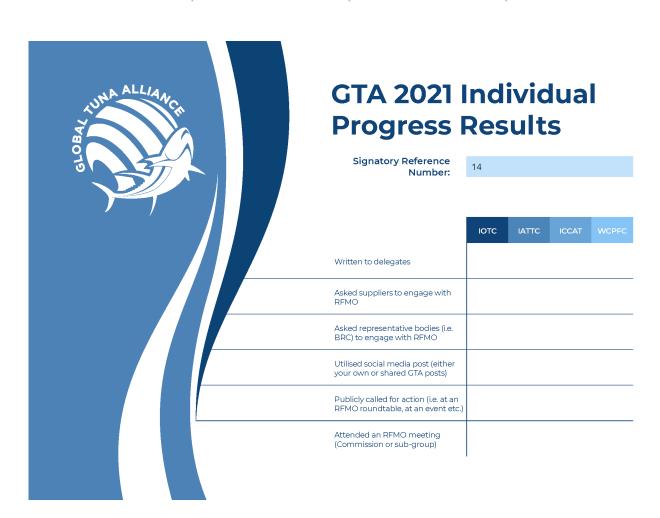
GTA's position on better FAD

 $\overline{\mathsf{V}}$

O WA ALLIANCE	GTA 2021 I Progress I				I
S S S S S S S S S S S S S S S S S S S	Signatory Reference Number:	13	IATTC	ICCAT	WCPFC
	Written to delegates	Х			Х
	Asked suppliers to engage with RFMO	Х			X
	Asked representative bodies (i.e. BRC) to engage with RFMO				
	Utilised social media post (either your own or shared GTA posts)				
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	Х			Χ
	Attended an RFMO meeting (Commission or sub-group)	Х			Х
		ı			

	Transparency & Traceability										
Have you endorsed the GDST Standards?	solution provider or made any in house traceability system adaptations to enable GDST compliant traceability 100% Observer Coverage/ Electronic Monitoring		signed the PSMA and, if not, advocating for those States to join Checking whether States have officially designated ports for landing and transshipment by foreign-	□ ✓	Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?						
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing		flagged vessels Inquiring about the implementation of port State measures during port visits Revising seafood sourcing requirements to include PSM		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?						
Assessment Survey or comparable process with all of your tuna suppliers?	tuna with improved observer coverage? Port States Measures Agreement (PSMA) Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port		Transshipment Regulations Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best practices?	☑ □	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license						
Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?	state Measures (PSMs) aligned with PSMA requirements? Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market? Do you work with your		Public tracking of vessels Do you request mandatory AIS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data? Do you request AIS be mandated by the flag State of the vessels you purchase from		and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?						
Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value? Have you engaged a 3rd party	: suppliers to improve the		and encourage the release of VMS data? Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?	□ ☑	Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?						

Environ	menta	l Sustainability	Soc	ial Res	ponsibility	
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	•
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Setted but not completed	Do you request that your supply chains implement standards that align with ILO 188?	V
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?	✓ □	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No V V Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing	V		Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	₩ Ves No	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA standards?	
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	V
Harvest Strategies			Can you demonstrate measurable progress against	Ves No	Observer Safety	•
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?	<u> </u>	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?	☑ □		Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	V
FAD Management	Wholly No		remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Pertly NIA		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			•
						•



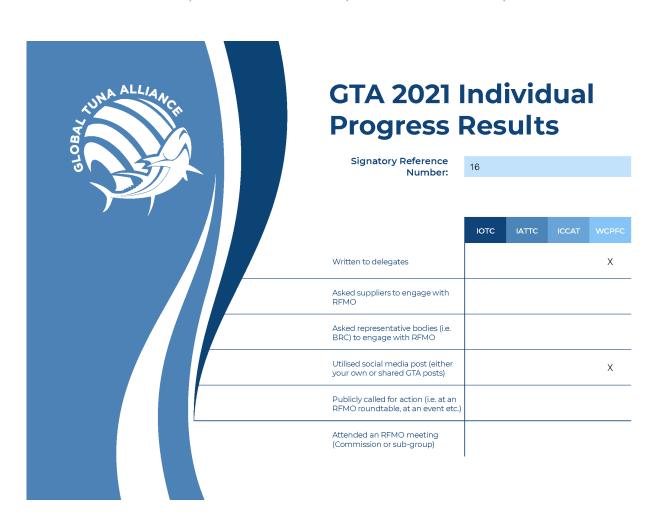
Transparency & Traceability solution provider or made any signed the PSMA and, if Global Dialogue on Seafood **Authorised Vessel lists** in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license \vee Have you endorsed the GDST Checking whether States have officially designated **V** and authorisation lists be published by the flag and compliant traceability Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Inquiring about the Do you request flag and coastal States of the vessels Have you adopted aspirational 🔲 🗸 including use of the timelines (e.g. sourcing X% implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include authorisation lists with the 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of DSM Vessels? tuna with improved observer Do you require that all vessels 🛛 🔲 coverage? **Transshipment Regulations** in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt **V** Port States Measures Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference $\overline{}$ transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best \checkmark tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory traceability implementation Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory supplier assessment? to establish the level of PSM implementation and Have you committed to buy \checkmark IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Are you using GDST compliant 🚺 🔲 identifiers (e.g. GTIN) for at Do you request flag and coastal States of the vessels VMS data? measures? least 30% of tuna products handled by value? Mapping supply chains Do you have tuna sourcing requirements that include **V** you purchase from share vessel IMO numbers with the Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data?

Enviror	nmenta	ıl Sustainability	Social Responsibility			
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public una (or wider seafood) ourcing commitment that ets out your sustainability equirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Started but not completed	Do you request that your supply chains implement standards that align with ILO 188?	V
Have you committed to ourcing tuna from fisheries vith a GSSI-recognized	V	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Rarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	✓ [
ertification?			Socially Responsible Seafood	V	Cape Town Agreement	
Where fisheries have not et met a GSSI-recognized ertification, have you committed to sourcing	V		Supply Chains - Have you publicly committed to the Employer Pays Principle?	Yes No	Do you request that supply chains implement standards that align with the CTA standards?	<u> </u>
rom those on a pathway to ustainability, such as in full- issessment or in a credible ind comprehensive Fishery mprovement Project for ource fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
larvest Strategies			Can you demonstrate measurable progress against	Ves No	Observer Safety	
Do you have a corporate RFMO policy that includes Itime-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?	Y V	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	W
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to	V	Have you adopted an aspirational timeline for enhanced observer safety?	1
AD Management	Wholly No		remediation?			
Do you have a tuna sourcing equirement that incorporates GTA's position on better FAD Management?	Partty NA		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			

S A ALLIANCE	GTA 2021 I Progress I Signatory Reference Number:		•		
		ЮТС	IATTC	ICCAT	WCPFC
	Written to delegates				
	Asked suppliers to engage with RFMO				
	Asked representative bodies (i.e. BRC) to engage with RFMO				
	Utilised social media post (either your own or shared GTA posts)				
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
	Attended an RFMO meeting (Commission or sub-group)				

	Transp	arency	⁄ & Traceability			
Have you endorsed the GDST Standards?	solution provider or made any in house traceability system adaptations to enable GDST compliant traceability 100% Observer Coverage/ Electronic Monitoring		signed the PSMA and, if not, advocating for those States to join - Checking whether States have officially designated ports for landing and transshipment by foreign-	□	Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer	☑ □	flagged vessels Inquiring about the implementation of port State measures during port visits Revising seafood sourcing requirements to include PSM		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?	
Assessment Survey or comparable process with all of your tuna suppliers?	coverage? Port States Measures Agreement (PSMA) Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port state Measures (PSMs) aligned		Transshipment Regulations Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best practices? Public tracking of vessels		Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?	
traceability implementation plan based on a review of your internal processes and a supplier assessment?	with PSMA requirements? Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market? Do you work with your		Do you request mandatory AIS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data? Do you request AIS be mandated by the flag State of the vessels you purchase from		Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?	☑ □☑ □
Are you using GDST compliant identifiers (e.g. CTIN) for at least 30% of tuna products handled by value? Have you engaged a 3rd party	suppliers to improve the implementation of port State measures? - Mapping supply chains - Checking whether ports are in States that have		and encourage the release of VMS data? Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?		Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environ	menta	al Sustainability	Soc	ial Res	ponsibility	
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	•
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Serbed but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?	☑ □	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Yes No	Do you request that supply chains implement standards that align with the CTA standards?	I
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Harvest Strategies			Can you demonstrate measurable progress against	Yes No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?	V		your timebound plan(s) to address human rights risks at processor and vessel level?	✓	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	V
FAD Management	Wholly No	6 6 6	remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	V		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			
						•



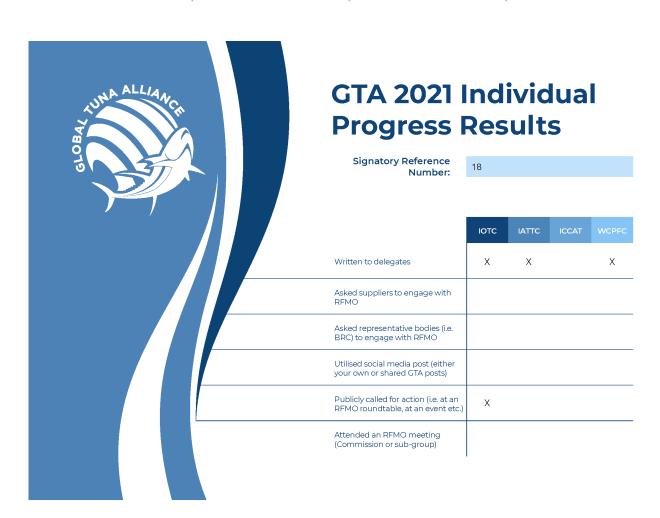
Transparency & Traceability solution provider or made any signed the PSMA and, if Global Dialogue on Seafood **Authorised Vessel lists** in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license \checkmark Have you endorsed the GDST Checking whether States have officially designated \vee and authorisation lists be published by the flag and compliant traceability Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Inquiring about the Do you request flag and coastal States of the vessels Have you adopted aspirational 🚺 🔲 \checkmark including use of the timelines (e.g. sourcing X% implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include authorisation lists with the \checkmark 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of DSM Vessels? tuna with improved observer Do you require that all vessels 🛛 🔲 coverage? **Transshipment Regulations** in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt Port States Measures Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference **V** transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best **□** tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? **V** Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory \checkmark traceability implementation **V** Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory **V** supplier assessment? to establish the level of PSM implementation and Have you committed to buy IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy \checkmark only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Are you using GDST compliant 🚺 🔲 identifiers (e.g. GTIN) for at Do you request flag and coastal States of the vessels \checkmark VMS data? measures? least 30% of tuna products handled by value? Mapping supply chains Do you have tuna sourcing requirements that include you purchase from share vessel IMO numbers with the Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data?

Environ	menta	al Sustainability		Social Responsibility			
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)		Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	✓ □	Have you assessed human rights risks in your supply chains at processor and vessel level?	Rerted but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		Have you assessed human rights risks from recruitment at processor and vessel level?	Sarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing				Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Yes No	Do you request that supply chains implement standards that align with the CTA standards?	
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?				Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Harvest Strategies				Can you demonstrate measurable progress against	Yes No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?				your timebound plan(s) to address human rights risks at processor and vessel level?	✓ □	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?				Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	
FAD Management	Wholly No			remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	W N			Are you reporting on the management of human rights risks publicly, including remediation actions taken?			

A ALLIANCE	GTA 2021 II Progress R Signatory Reference Number:				
	Written to delegates	ЮТС	IATTC	ICCAT	WCPFC
	Asked suppliers to engage with RFMO				
	Asked representative bodies (i.e. BRC) to engage with RFMO				
	Utilised social media post (either your own or shared GTA posts)				
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
	Attended an RFMO meeting (Commission or sub-group)				

	Transp	arency	& Traceability			
Have you endorsed the GDST Standards?	solution provider or made any in house traceability system adaptations to enable GDST compliant traceability 100% Observer Coverage/ Electronic Monitoring	✓ □	signed the PSMA and, if not, advocating for those States to join - Checking whether States have officially designated ports for landing and transshipment by foreign-		Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	V
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing		flagged vessels Inquiring about the implementation of port State measures during port visits Revising seafood sourcing requirements to include PSM		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?	
Assessment Survey or comparable process with all of your tuna suppliers?	tuna with improved observer coverage? Port States Measures Agreement (PSMA) Do you show a preference for ports in States that are party to the PSMA, or have		Transshipment Regulations Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best practices?	■ ✓	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include	
the GDST a requirement in your sourcing policies) Have you prepared a traceability implementation plan based on a review of your internal processes and a	implemented effective Port state Measures (PSMs) aligned with PSMA requirements? Do you conduct risk assessments for ports where	☑ □	Public tracking of vessels Do you request mandatory AIS use for vessels you purchase from?		publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory	
supplier assessment?	product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?		Have you committed to buy only from vessels that publicly share vessel tracking data? Do you request AIS be mandated by the flag State of		IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number	
company? Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value? Have you engaged a 3rd party	Do you work with your suppliers to improve the implementation of port State measures? - Mapping supply chains - Checking whether ports are in States that have		the vessels you purchase from and encourage the release of VMS data? Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?	□ ☑	(where eligible)? Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?	V

Environ	menta	al Sustainability	Social Responsibility				
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	•	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Serted but not completed	Do you request that your supply chains implement standards that align with ILO 188?		
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?	V	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Rarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188?		
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing from those on a pathway to			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle? Have you developed a	Ves No	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA standards?	I	
sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?		
Harvest Strategies			Can you demonstrate measurable progress against	Yes No	Observer Safety	•	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?	V V	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?		
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	V	
FAD Management	Minute No.	0 0 0	remediation?				
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Perty rela		Are you reporting on the management of human rights risks publicly, including remediation actions taken?				
						•	



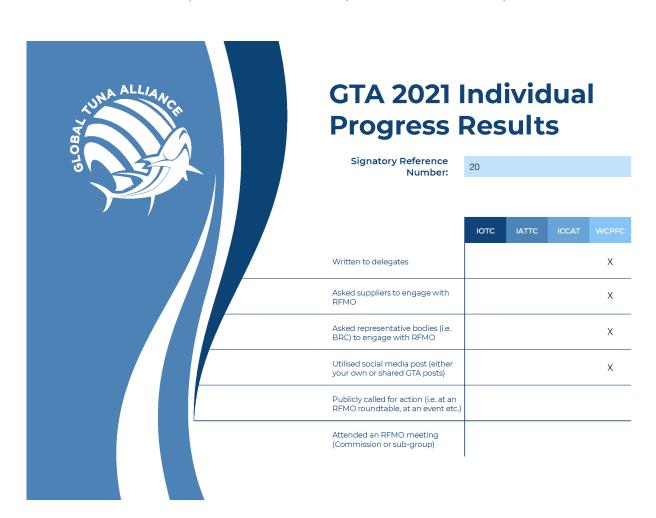
Transparency & Traceability solution provider or made any 🔃 🔽 signed the PSMA and, if Global Dialogue on Seafood **Authorised Vessel lists** \checkmark in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license \vee Have you endorsed the GDST Checking whether States have officially designated **V** and authorisation lists be published by the flag and compliant traceability \checkmark Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Inquiring about the Do you request flag and coastal States of the vessels Have you adopted aspirational 🔲 🗸 **V** including use of the timelines (e.g. sourcing X% implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include **V** authorisation lists with the 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing supplier assessment review through the GDST Supplier DSM Vessels? tuna with improved observer Assessment Survey or comparable process with all of Do you require that all vessels 🔃 🔽 coverage? **Transshipment Regulations** in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt **V** Port States Measures Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference $\overline{}$ transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best **□** tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? **V** Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory traceability implementation Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory supplier assessment? to establish the level of PSM implementation and Have you committed to buy IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Are you using GDST compliant 🚺 🔲 identifiers (e.g. GTIN) for at Do you request flag and coastal States of the vessels VMS data? measures? least 30% of tuna products handled by value? Mapping supply chains Do you have tuna sourcing requirements that include \vee you purchase from share vessel IMO numbers with the Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data?

Environ	menta	l Sustainability		Social Responsibility			
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)		Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?] 🔽	Have you assessed human rights risks in your supply chains at processor and vessel level?	Yes No Started but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized	☑ □	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?] 🛛	Have you assessed human rights risks from recruitment at processor and vessel level?	Rarbed but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
certification? Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing				Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Vies No	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA standards?	
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?				Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Harvest Strategies				Can you demonstrate measurable progress against	Ves No	Observer Safety	•
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?				your timebound plan(s) to address human rights risks at processor and vessel level?	✓ □	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?				Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to	☑ □	Have you adopted an aspirational timeline for enhanced observer safety?	
FAD Management	Wholly No			remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partly N/A			Are you reporting on the management of human rights risks publicly, including remediation actions taken?			
							•

A ALLIANCE	GTA 2021 I Progress I Signatory Reference Number:				
		ютс	IATTC	ICCAT	WCPFC
	Written to delegates				
	Asked suppliers to engage with RFMO				
	Asked representative bodies (i.e. BRC) to engage with RFMO				
	Utilised social media post (either your own or shared GTA posts)				
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
	Attended an RFMO meeting (Commission or sub-group)				
		ı			

	Transp	arency	/ & Traceability		
Clobal Dialogue on Seafood Traceability (GDST) Have you endorsed the GDST Standards? Have you made a public, time-	solution provider or made any in house traceability system adaptations to enable GDST compliant traceability 100% Observer Coverage/ Electronic Monitoring		signed the PSMA and, if not, advocating for those States to join Checking whether States have officially designated ports for landing and	Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels	
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)? Have you completed a supplier assessment review through the GDST Supplier	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing		transshipment by foreign- flagged vessels Inquiring about the implementation of port State measures during port visits Revising seafood sourcing requirements to include PSM	you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?	
Assessment Survey or comparable process with all of your tuna suppliers? Have you communicated expectations of GDST adherent traceability to your tuna suppliers (e.g. making the GDST a requirement in your sourcing policies)	tuna with improved observer coverage? Port States Measures Agreement (PSMA) Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port state Measures (PSMs) aligned		Transshipment Regulations Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best practices? Public tracking of vessels	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?	
Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment? Are you capturing all CDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?	with PSMA requirements? Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market? Do you work with your		Do you request mandatory AlS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data? Do you request AlS be mandated by the flag State of the vessels you purchase from	Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?	✓ □
Are you using GDST compliant identifiers (e.g. CTIN) for at least 30% of tuna products handled by value? Have you engaged a 3rd party	suppliers to improve the implementation of port State measures? - Mapping supply chains - Checking whether ports are in States that have		and encourage the release of VMS data? Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?	Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environ	menta	l Sustainability	Soci	al Res	ponsibility	
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Rested but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Yes No Rarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing			Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Yes No	Do you request that supply chains implement standards that align with the CTA standards?	
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?			Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	₩ 🗸
Harvest Strategies			Can you demonstrate measurable progress against	Yes No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?			your timebound plan(s) to address human rights risks at processor and vessel level?	V V	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Do you request your suppliers verifiably engage with RFMO delegates?			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	
FAD Management	Wholly No		remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	M		Are you reporting on the management of human rights risks publicly, including remediation actions taken?			



Transparency & Traceability solution provider or made any signed the PSMA and, if Global Dialogue on Seafood **Authorised Vessel lists** \checkmark in house traceability system adaptations to enable GDST not, advocating for those States to join Traceability (GDST) Do you request vessel license \checkmark Have you endorsed the GDST Checking whether States have officially designated **V** and authorisation lists be published by the flag and compliant traceability \checkmark Standards? 100% Observer Coverage/ ports for landing and coastal States of the vessels Have you made a public, time-**Electronic Monitoring** transshipment by foreignyou purchase from? bound commitment to meet or exceed GDST standards, flagged vessels Inquiring about the Do you request flag and coastal States of the vessels Have you adopted aspirational 🚺 🔲 \checkmark **V** including use of the timelines (e.g. sourcing X% implementation of port minimum Key Data Elements of supply from vessels with 100% observer coverage State measures during port you purchase from to share vessel license and (KDEs)? visits (human and/or electronic) by Revising seafood sourcing requirements to include **V** authorisation lists with the \checkmark 202[Y] increasing to 100% over Z timeframe) for sourcing Have you completed a FAO Global Record of Fishing Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of DSM Vessels? tuna with improved observer Do you require that all vessels 🛛 🔲 coverage? **Transshipment Regulations** in your tuna supply chain are listed on the Authorised your tuna suppliers? Have you advocated for tuna RFMOs to adopt **V** Port States Measures Agreement (PSMA) Vessel List of the RFMO where **V** Have you communicated amendments to existing they fish? expectations of GDST Do you show a preference $\overline{}$ transhipment regulations adherent traceability to your for ports in States that are party to the PSMA, or have Do you have tuna sourcing requirements that include to bring in line with best \checkmark tuna suppliers (e.g. making the GDST a requirement in practices? implemented effective Port state Measures (PSMs) aligned publicly sharing vessel license and authorisation lists? your sourcing policies) Public tracking of vessels with PSMA requirements? Unique Vessel Identification/ Global Record Have you prepared a Do you request mandatory \checkmark traceability implementation Do you conduct risk AIS use for vessels you assessments for ports where product is being landed plan based on a review of purchase from? your internal processes and a Do you require mandatory **V** supplier assessment? to establish the level of PSM implementation and Have you committed to buy \checkmark IMO numbers for the eligible only from vessels that publicly share vessel tracking data? vessels you purchase from? Are you capturing all GDST compliance and associated risk of IUU catch entering the KDEs correlating to the Critical Tracking Events Have you committed to buy \checkmark only from vessels that have obtained an IMO number (where eligible)? Do you request AIS be \checkmark market? mandated by the flag State of the vessels you purchase from (CTEs) that occur within your company? Do you work with your suppliers to improve the implementation of port State and encourage the release of Do you request flag and coastal States of the vessels \checkmark VMS data? measures? least 30% of tuna products handled by value? Mapping supply chains Do you have tuna sourcing requirements that include you purchase from share vessel IMO numbers with the \checkmark Checking whether ports are in States that have publicly sharing vessel FAO Global Record of Fishing Have you engaged a 3rd party tracking data?

Environmental Sustainability			Social Responsibility				
Sourcing Policy	Y / N	Fins Naturally Attached (FNA)		Socially Responsible Seafood Supply Chains		ILO188	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	☑ □	Have you assessed human rights risks in your supply chains at processor and vessel level?	Searted but not completed	Do you request that your supply chains implement standards that align with ILO 188?	
Have you committed to sourcing tuna from fisheries with a GSSI-recognized certification?		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		Have you assessed human rights risks from recruitment at processor and vessel level?	Sarted but not completed	Do you advocate the countries you source from consider ratifying ILO 188? Cape Town Agreement	s V L
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing				Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	Ves No	Do you request that supply chains implement standards that align with the CTA standards?	☑ □
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?				Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor Vessel	Do you advocate the countries you source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	· 🗸 🗖
Harvest Strategies				Can you demonstrate measurable progress against	Ves No	Observer Safety	
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?				your timebound plan(s) to address human rights risks at processor and vessel level?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	V
Do you request your suppliers verifiably engage with RFMO delegates?	V			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to		Have you adopted an aspirational timeline for enhanced observer safety?	
FAD Management	Wholly No			remediation?			
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partly rola			Are you reporting on the management of human rights risks publicly, including remediation actions taken?			

A ALLIANCE	GTA 2021 I Progress I Signatory Reference Number:				
		ютс	IATTC	ICCAT	WCPFC
	Written to delegates	Х		X	
	Asked suppliers to engage with RFMO				
	Asked representative bodies (i.e. BRC) to engage with RFMO	Х		Х	
	Utilised social media post (either your own or shared GTA posts)	Х			
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	Х			
	Attended an RFMO meeting (Commission or sub-group)	Х			

Transparency & Traceability						
Global Dialogue on Seafood Traceability (GDST) Have you endorsed the GDST Standards? Have you made a public, time-	solution provider or made any in house traceability system adaptations to enable GDST compliant traceability 100% Observer Coverage/		signed the PSMA and, if not, advocating for those States to join - Checking whether States have officially designated ports for landing and transshipment by foreign-	□	Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	☑ □
bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)? Have you completed a supplier assessment review through the GDST Supplier	Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing		flagged vessels Inquiring about the implementation of port State measures during port visits Revising seafood sourcing requirements to include PSM		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?	
Assessment Survey or comparable process with all of your tuna suppliers? Have you communicated expectations of GDST adherent traceability to your	Port States Measures Agreement (PSMA) Do you show a preference for ports in States that are	V	Transshipment Regulations Have you advocated for tuna RFMOs to adopt amendments to existing transhipment regulations to bring in line with best	☑ □	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing	
tuna suppliers (e.g. making the GDST a requirement in your sourcing policies) Have you prepared a traceability implementation	party to the PSMA, or have implemented effective Port state Measures (PSMs) aligned with PSMA requirements? Do you conduct risk		practices? Public tracking of vessels Do you request mandatory AIS use for vessels you	☑ □	requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record	
plan based on a review of your internal processes and a supplier assessment? Are you capturing all GDST	assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated		purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data?	☑□	Do you require mandatory IMO numbers for the eligible vessels you purchase from?	
KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company? Are you using GDST compliant	risk of IUU catch entering the market? Do you work with your suppliers to improve the implementation of port State.		Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?		Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and	
identifiers (e.g. CTIN) for at least 30% of tuna products handled by value? Have you engaged a 3rd party	implementation of port State measures? - Mapping supply chains - Checking whether ports are in States that have		Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?	☑ □	coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environ	nmental Sustainability	Social Responsibility			
Sourcing Policy	Y/N Fins Naturally Attached (FNA)	Socially Responsible Seafood Supply Chains	ILO188		
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?	Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	Have you assessed human rights risks in your supply chains at processor and vessel level?	Do you request that your supply chains implement standards that align with ILO 188?		
Have you committed to sourcing tuna from fisheries with a GSSI-recognized	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?	Have you assessed human rights risks from recruitment at processor and vessel level?	Do you advocate the countries Output Do you source from consider ratifying ILO 188?		
certification?		Socially Responsible Seafood	Cape Town Agreement		
Where fisheries have not yet met a GSSI-recognized certification, have you committed to sourcing		Supply Chains - Have you publicly committed to the Employer Pays Principle?	Do you request that supply chains implement standards that align with the CTA standards?		
from those on a pathway to sustainability, such as in full- assessment or in a credible and comprehensive Fishery Improvement Project for source fisheries?		Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Do you advocate the countries vou source from consider ratifying the CTA to ensure safety provisions are met for existing vessels?		
Harvest Strategies		Can you demonstrate	Observer Safety		
Do you have a corporate RFMO policy that includes a time-bound commitment where sourcing is reviewed?		measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?		
Do you request your suppliers verifiably engage with RFMO delegates?		Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to	Have you adopted an aspirational timeline for enhanced observer safety?		
FAD Management		remediation?	1		
Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Wholey No. 5 Party NA.	Are you reporting on the management of human rights risks publicly, including remediation actions taken?			

Annex 3

*Observer Cov. WCPFC: 5% IATTC: 5% ICCAT: 10% IOTC: 5% ** ICCAT Number of Parties to the PSMA (baseline is 62) 57% Have seafood sourcing requirements that include unique vessel identifiers 33% Have seatod sourcing requirements that that include publicity sharing vessel itemse and authorisation lists. Number of ratifications of the CTA (baseline 14) Number of ratifications of ILO 188 (baseline 18) Have seafood sourcing requirements that include publicly sharing vessel tracking data Are reporting on the management of human rights risks publicly, including remediation actions taken 24% Have risk assessed ports where product is being landed for comp lance to Est's and are taking appropriate adminfor the identified as high risk Are reporting on the management of the management of the publicly, including remediation actions taken 29% Have seafood sourcing requirements that include PSM **62**% 24% Have time-bound commitments for 100% observer coverage (human and/or electronic) **14**% 24% Have time-bound commitments for Fins Naturally Attached policy requirements 43% Have publicly committed to the Employer Pays Principle Have seafood sourcing requirements that include GTA's position or better FAD Management Have assessed human rights risks from recruitment at processor and vessel level 29% Have time-boud commitments for implementation of GDST standards **62%**Have endorsed GDST standards **43**% 71% 2025 Pledge Towards Sustainable Tuna (25PST) Strategic Priorities Transparency & Traceability Social



GTA 2021 Performance Dashboard





Contact Us

www.globaltunaalliance.com

Dr Tom Pickerell - Executive Director tom@globaltunaalliance.com

Albert Arthur - *Director Outreach & Engagement* albert@globaltunaalliance.com