Giobal Tuna Alliance Progress Report 2022

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Executive Summary

In our first progress report from our <u>5-year strategy</u> (the 2025 Pledge Towards Sustainable Tuna), we determined that GTA Partners had collectively already achieved 37% of KPIs. Individual progress varied considerably from zero to 78% of KPIs met.

In this, our second year, GTA Partners had collectively achieved 45% of KPIs, and increased scores across every work programme. Individual progress again varied considerably, but had shifted higher - with a range from 7% to 96% of KPIs met. Again, most progress was recorded in Environmental Sustainability, with 49% of KPIs collectively achieved (up from 43%) and least progress was recorded on Transparency & Traceability with 41% of KPIs collectively achieved – though this was an 11% increase from 2021 (30%).

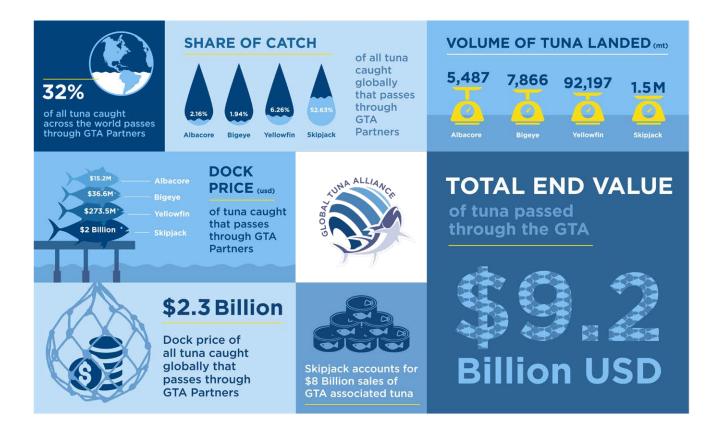
	2021	2022	Change
Average transparency & traceability score	30%	41%	+11%
Average environmental sustainability score	43%	49%	+6%
Average social responsibility score	37%	45%	+8%
Average overall score	37%	45%	+8%
Min/max transparency & traceability scores	0% and 67%	0% and 89%	Increase in max score
Min/max environmental sustainability scores	0% and 100%	0% and 100%	-
Min/max social responsibility scores	0% and 100%	0% and 100%	-
Min/max overall scores	0% and 78%	0% and 96%	Increase in both

Introduction & Background

The Global Tuna Alliance (GTA) is a precompetitive collaboration of independent retailers, suppliers, wholesalers, and brands active in the seafood sector and with a major interest in improving the sustainability of the tuna sector in a socially and environmentally responsible way. Collectively, these companies form one of the world's most extensive networks of seafood wholesalers and suppliers to the consumer, particularly tuna. 32% of the world's tuna catch passes through our Partners, with a dock value of USD 2.3 billion, and an end value of USD 9.2 billion. An organisation with a strong purpose inspires higher levels of trust and confidence among its partners and stakeholders and is more likely to grow sustainably. It is also more likely to be efficient, with strong accountability and a motivated team.

Therefore, in 2021 the GTA adopted a <u>5-year strategy</u> that clearly articulates our vision in a way that lays out a common purpose which partners and stakeholders can follow and makes clear our ambition to achieve our objectives. To do so, we are focussing our work on our three work programmes:

- 1. Transparency & Traceability
- 2. Environmental Sustainability
- 3. Social Responsibility





Tuna ultimately meets the highest standards of environmental performance and social responsibility.



We are committed to review our progress each year and transparently share our progress with interested stakeholders. Accordingly, we are pleased to share the results of the second GTA progress report from our 5-year strategy.

Methodology

GTA Partners received a questionnaire to self-complete at the end of 2022. A similar survey will be carried out at the end of 2024. Partners will be audited by a third-party in 2023 and 2025 (the final year of the 5-year strategy).

The results of the questionnaires were analysed and two dashboards were created for each respondee. **The first dashboard (Annex 1)** contains the answers to the survey questions and provides means of gauging progress made and a 'gap analysis' of which areas need to be worked on.

The second dashboard (Annex 2) contains

the performance of GTA Partners against the key performance indicators (KPIs) in the 5-year strategy.

Annex 3 contains the organisational dashboard for the GTA for 2022. This dashboard includes the collated performance of Partners, as well as performance against overarching KPIs that are beyond individual Partners' influence.

Each of the dashboards are anonymised, though Partners are free to use in any way they wish.

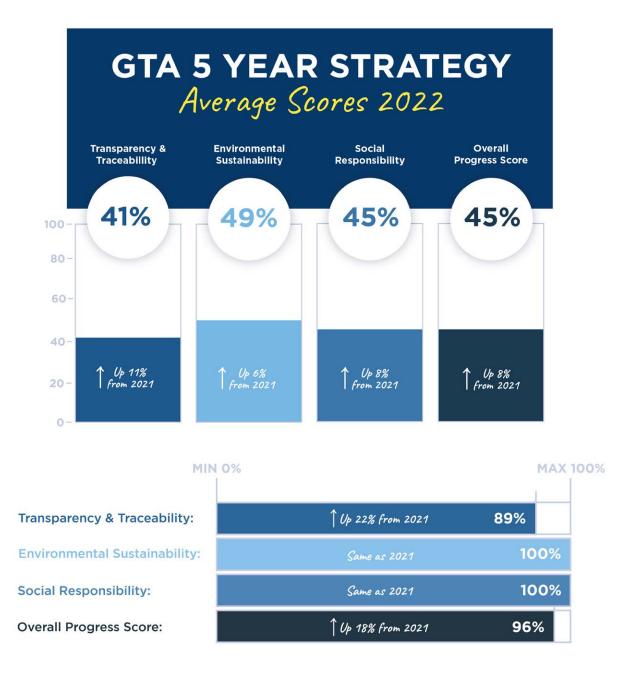


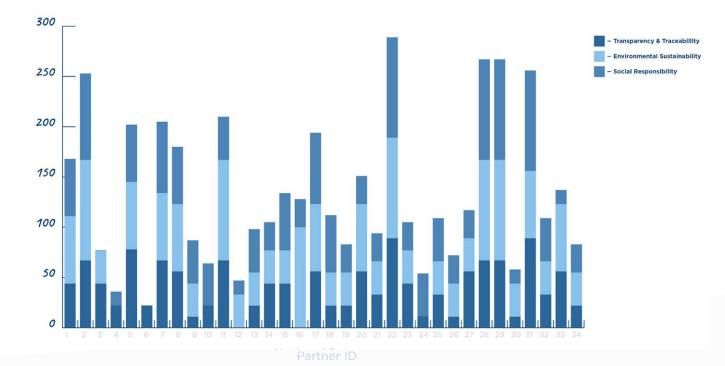
Results

34 of 50 Partners responded to the questionnaire, which is a 68% response rate and disappointingly lower than that in 2021 (84%).

Progress against KPIs (Annex 2 and Annex 3) was derived from the answers submitted to the progress survey (captured in the progress dashboards in Annex 1). An unweighted metric was calculated to complete KPIs in each of the three strategic priorities, and overall, to better demonstrate achievements.

The graph on the following page shows how each of the 34 respondents performed in meeting the KPIs from three strategic priorities, and overall. A maximum score was 100 for each, and 300 overall.





The table below shows the achievement rate by Partners for each of the individual KPIs, and compares the 2022 results to 2021.

Work Programme	Achievement rate in 2021	Achievement rate in 2022	Percentage Change
Traceability & Transparency:			
% of Partners endorsing GDST Standards.	61.9%	76.5%	+23.5
% of Partners with time-bound commitments for implementation of GDST standards.	28.6%	23.5%	-17.6
% of Partners that have implemented GDST standards along at least 80% of their tuna products sold (volume).	0.0%	38.2%	-
% of Partners with time-bound commitments for 100% observer coverage (human and/or electronic).	23.8%	32.4%	+35.9
% of Partners with seafood sourcing requirements that include PSM.	28.6%	58.8%	+105.9
% of GTA partners who have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.	23.8%	17.6%	-25.9
% of Partners with seafood sourcing requirements that include publicly sharing vessel tracking data	14.3%	29.4%	+105.9

% of Partners with seafood sourcing requirements that include publicly sharing vessel license and authorisation lists.	33.3%	35.3%	+5.9
% of Partners with seafood sourcing requirements that include unique vessel identifiers.	57.1%	52.9%	-7.4
Environmental Sustainability:			
% of Partners that publicly commit to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability.	71.0%	79.4%	+11.8
% of Partners with seafood sourcing requirements that include GTA's position on better FAD Management.	19.0%	26.5%	+39.5
% of Partners with time-bound commitments for Fins Naturally Attached policy requirements.	23.8%	41.2%	+72.9
Social Responsibility:			
% of Partners who have assessed human rights risks in their supply chains at processor and vessel level.	42.9%	50.0%	+16.7
% of Partners who have assessed human rights risks from recruitment at processor and vessel level	33.3%	35.3%	+5.9
% of partners who have publicly committed to the Employer Pays Principle.	42.9%	47.1%	+9.8
% of partners who can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level.	14.3%	23.5%	+64.7
% of Partners who have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation.	62.0%	79.4%	+28.1
% of Partners who are reporting on the management of human rights risks publicly, including remediation actions taken.	53.0%	61.8%	+16.6
% of Partners with time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms.	9.5%	17.6%	+85.3

The highest scoring KPI in the Transparency & Traceability work programme was endorsing GDST standards with 76.5% of Partners achieving this. This was also the highest scoring KPI in the Transparency & Traceability work programme in 2021. The lowest performing KPI was risk assessing ports for compliance to Port State Measures (PSM). This score actually decreased from 23.8% in 2021 to 17.6% in 2022. The highest improvement was seen in the KPI on implementation of GDST standards along at least 80% of tuna products sold by volume. In 2021 no Partner achieved this, and 38.2% did in 2022.

The highest scoring KPI in the Environmental Sustainability work programme was on sourcing commitments with 79.4% of Partners achieving this; an increase of 11.8% compared to 2021. As this KPI is aligned with the recommendations from most mainstream eNGOs, it was not surprising to see this score highly. The lowest progress was recorded on Partners who had incorporated the GTA's position on better FAD Management – though there was still a 40% increase on 2021. This may be because several partners currently do not purchase tuna from fisheries that utilise drifting or anchored FADs. The largest increase was seen in the time-bound commitments for Fins Naturally Attached policy requirements KPI, with a 73% increase in the percent of Partners achieving it.

The highest scoring KPI in the Social Responsibility work programme was for Partners sharing company policies on human rights publicly with 79.4% achieving this. This may be driven in part by regulatory requirements and the priority that Partners place on this subject. The lowest amount of progress concerned commitments on sourcing tuna from fisheries with mandatory observer safety reforms, though this also saw the largest improvement – an 85% increase.

Unlike in 2021, no KPI was unachieved in 2022.

In addition to Partner KPIs, there are also 12 GTA-wide 'holistic' KPIs that the GTA is addressing on behalf of, and with, the Partnership. The table below shows the results from 2021 and 2022.

Work Programme	Baseline	2021 Result	2022 Result	Change from 2021
Traceability & Transparency:				
Number of tuna RFMOs with 100% observer coverage (human and/or electronic) requirements in industrial tuna fisheries.	0	0	0	-
Number of Parties to the Port State Measures Agreement.	62	70	74 ¹	+4
Number of tuna RFMOs adopting amendments to existing transhipment regulations to bring in line with best practices.	0	1	3 ²	+2
Environmental Sustainability:				
Number of stocks (within GTA scope) that have implemented comprehensive harvest strategies.	6	7	8 ³	+1
Number of stocks (within GTA scope) that have implemented well-defined harvest control rules.	1	3	34	-

- 1. https://www.fao.org/port-state-measures/background/parties-psma/en/
- 2. https://www.seafoodsource.com/news/supply-trade/iattc-meeting-closes-with-agreements-on-transshipment-and-monitoring
- 3. https://www.iss-foundation.org/downloads/33297/?tmstv=1679051780
- 4. https://www.iss-foundation.org/downloads/33297/?tmstv=1679051780

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Number of tuna RFMOs adopting the measures identified in the GTA's position on better FAD Management.	0	0	0	-
Number of tuna RFMOs adopting a Fins Naturally Attached policy.	2	2	2	-
Fisheries subsidies which contribute to overcapacity and overfishing, and IUU fishing are eliminated.	No	No	Yes ⁵	Completed
High-seas treaty that addresses the current biodiversity and climate challenges beyond national jurisdiction agreed.	No	No	No	N/A ⁶
Social Responsibility:				
Number of ratifications of ILO 188.	18	19	217	+2
Number of ratifications of the CTA.	14	17	17 ⁸	-
Number of tuna RFMOs implementing the IBOR.	0	0	0	-
Number of tuna RFMOs adopting of the WCPFC mandatory observer safety reforms.	1	1	1	-

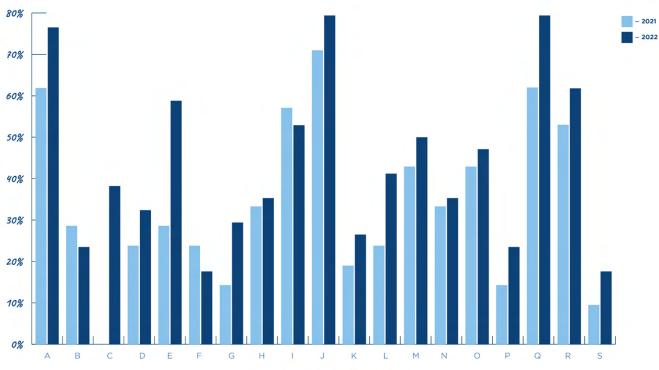
The results show that the most progress was made by countries making advancements in international policy areas (such as Parties to the Port State Measures Agreement, ratifying ILO188 etc.) The least progress was made by tuna RFMOs – highlighting the glacial pace that these bodies tend to operate at. That being said, progress was made by tuna RFMOs on transshipment measures during 2022, and may reflect the fact that many countries are members of several RFMOs and an existing measure is more easily transferred, than a new one agreed.

^{5.} https://www.wto.org/english/tratop_e/rulesneg_e/fish_e/fish_e.htm

^{6.} A high-seas treaty was agreed in March 2023, however.

^{7.} https://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:11300:0::NO::p11300_instrument_id:312333

^{8.} https://www.imo.org/en/MediaCentre/HotTopics/Pages/CapeTownAgreementForFishing.aspx



Work Programme key (see table below)

Graph Key	Work Programme
Traceab	ility & Transparency:
А	% of Partners endorsing GDST Standards.
В	% of Partners with time-bound commitments for implementation of GDST standards.
С	% of Partners that have implemented GDST standards along at least 80% of their tuna products sold (volume).
D	% of Partners with time-bound commitments for 100% observer coverage (human and/or electronic).
E	% of Partners with seafood sourcing requirements that include PSM.
F	% of GTA partners who have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk.
G	% of Partners with seafood sourcing requirements that include publicly sharing vessel tracking data
н	% of Partners with seafood sourcing requirements that include publicly sharing vessel license and authorisation lists.
I	% of Partners with seafood sourcing requirements that include unique vessel identifiers.
Environ	mental Sustainability:
К	% of Partners that publicly commit to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability.
L	% of Partners with seafood sourcing requirements that include GTA's position on better FAD Management.
М	% of Partners with time-bound commitments for Fins Naturally Attached policy requirements.

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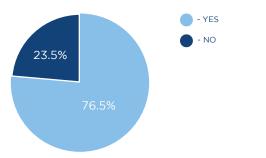
Graph Key	Work Programme						
Social Responsibility:							
N	% of Partners who have assessed human rights risks in their supply chains at processor and vessel level.						
0	% of Partners who have assessed human rights risks from recruitment at processor and vessel level.						
Р	% of partners who have publicly committed to the Employer Pays Principle.						
Q	% of partners who can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level.						
R	% of Partners who are reporting on the management of human rights risks publicly, including remediation actions taken.						
S	% of Partners with time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms.						

Progress Survey Question Responses

Transparency & Traceabillity:

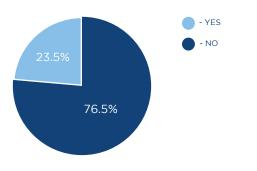
GDST - Have you endorsed the Global Dialogue on Seafood Traceability (GDST) Standards and Guidelines for Interoperable Seafood Traceability Systems (Version 1.0) as the minimum industry-wide standards for seafood traceability?

34 Responses



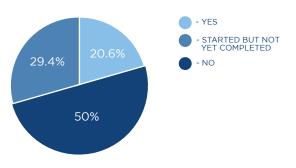
GDST - Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

34 Responses



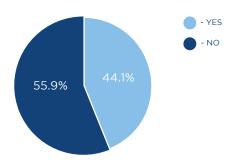
GDST - Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

34 Responses



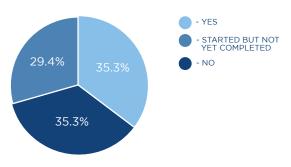
GDST - Have you communicated expectations of GDST adherent traceability to your tuna suppliers (e.g. making the GDST a requirement in your sourcing policies)?

34 Responses

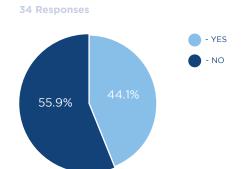


GDST - Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

34 Responses

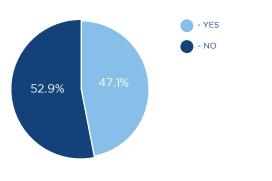


GDST - Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?



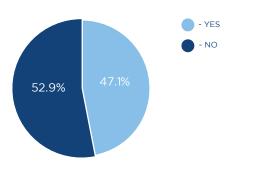
GDST - Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

34 Responses



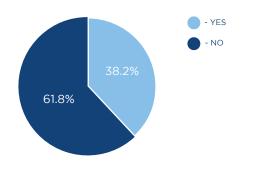
GDST - Are you using GDST compliant identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

34 Responses



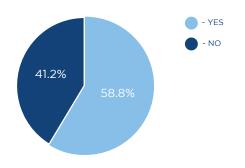
GDST - Are you using GDST compliant identifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

34 Responses

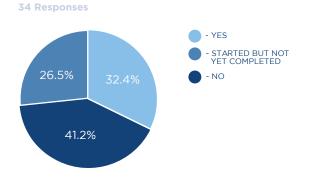


GDST - Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

34 Responses

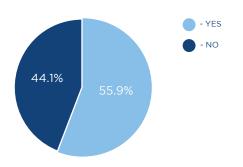


100% Observer Coverage/Electronic Monitoring - Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

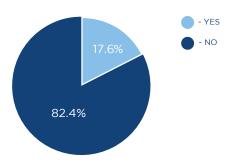


PSMA - Do you show a preference for ports in States that are party to the Port States Measures Agreement (PSMA), or have implemented effective Port state Measures (PSMs) aligned with PSMA requirements?





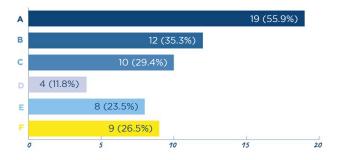
PSMA - Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?



PSMA - Please check the relevant areas that you have worked with your suppliers on to improve the implementation of port State measures:

34 Responses

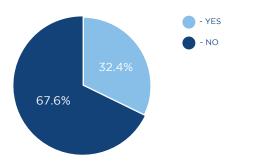
See table below graph for questions.



A	Mapping supply chains
в	Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join
С	Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels
D	Inquiring about the implementation of port State measures during port visits
Е	Revising seafood sourcing requirements to include PSM
F	None of the above

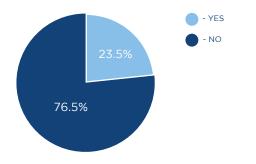
Public tracking of vessels - Do you request mandatory AIS use for vessels you purchase from?

34 Responses



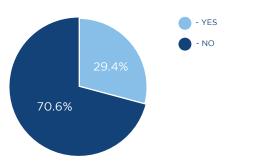
Public tracking of vessels - Have you committed to buy only from vessels that publicly share vessel tracking data?

34 Responses



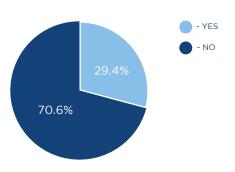
Public tracking of vessels - Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

34 Responses



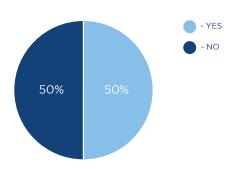
Public tracking of vessels - Do you have tuna sourcing requirements that include publicly sharing vessel tracking data?

34 Responses

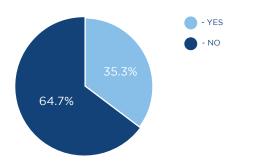


Authorised Vessel lists - Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?

34 Responses

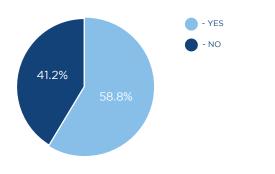


Authorised Vessel lists - Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?



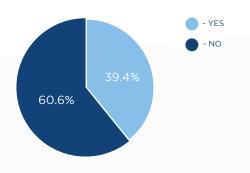
Authorised Vessel lists - Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish?

34 Responses



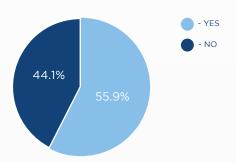
Authorised Vessel lists - Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?

34 Responses



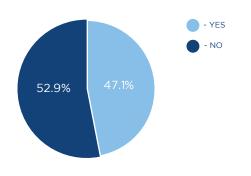
Unique Vessel Identification/Global Record - Do you require mandatory IMO numbers for the eligible vessels you purchase from?

34 Responses

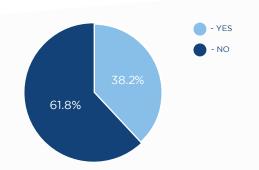


Unique Vessel Identification/Global Record - Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?

34 Responses

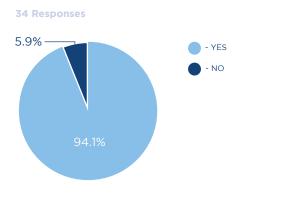


Unique Vessel Identification/Global Record - Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?



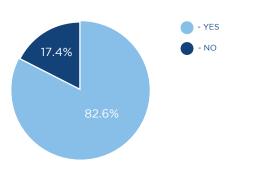
Environmental Sustainability:

Sourcing Policy - Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?



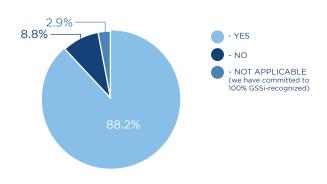
Sourcing Policy - Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?





Sourcing Policy - Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?

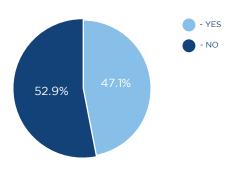
34 Responses



Harvest Strategies - Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

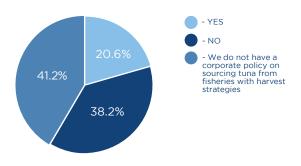
For example "We are committed to exerting our influence to support initiatives to strengthen RFMO management of tuna fisheries, including through harvest strategies, both through policy makers and our supply chain."



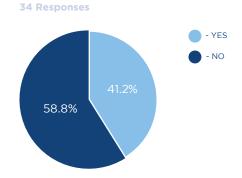


Harvest Strategies - Does your corporate policy on sourcing tuna from fisheries with harvest strategies includes a time-bound commitment where sourcing is reviewed?

34 Responses

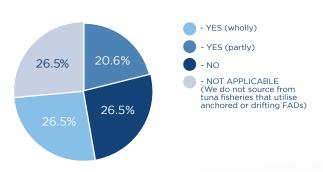


Harvest Strategies - Do you request your suppliers verifiably engage with RFMO delegates for implementation of harvest strategies?



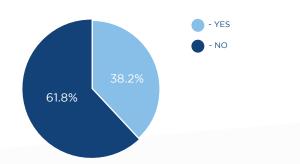
FAD Management - Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?

34 Responses

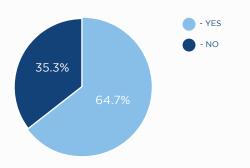


Fins Naturally Attached (FNA) - Do you have a timebound commitment for Fins Naturally Attached policy requirements? (e.g. "we will only buy from tuna fisheries with an FNA policy by 2024")





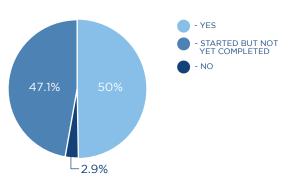
Fins Naturally Attached (FNA) - Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?



Social Responsibility:

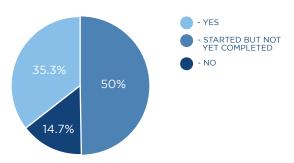
Socially Responsible Seafood Supply Chains - Have you assessed human rights risks in your supply chains at processor and vessel level?





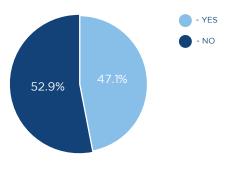
Socially Responsible Seafood Supply Chains - Have you assessed human rights risks from recruitment at processor and vessel level?

34 Responses

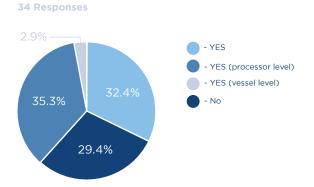


Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

34 Responses

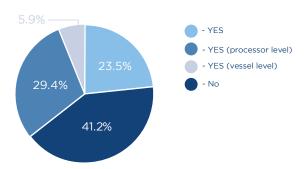


Socially Responsible Seafood Supply Chains - Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators?

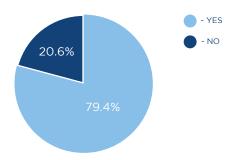


Socially Responsible Seafood Supply Chains - Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?

34 Responses

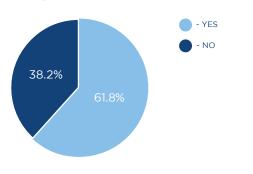


Socially Responsible Seafood Supply Chains - Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?



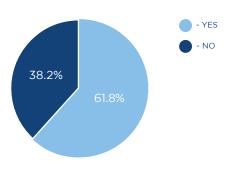
Socially Responsible Seafood Supply Chains - Are you reporting on the management of human rights risks publicly, including remediation actions taken?

34 Responses



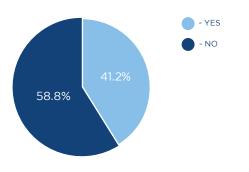
ILO188 - Do you request that your supply chains implement standards that align with ILO 188?

34 Responses



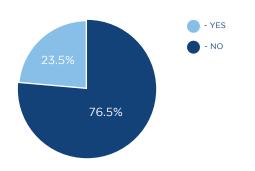
ILO188 - Do you request that the countries you source tuna from consider ratifying ILO 188?

34 Responses



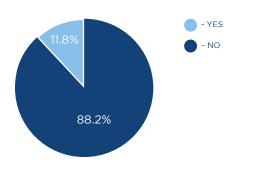
Cape Town Agreement - Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational and radio-communication equipment as required by the Agreement?

34 Responses



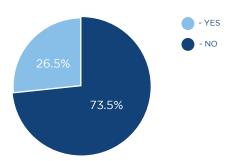
Cape Town Agreement - Do you know if the vessels you source from meet the requirements of the CTA?

34 Responses



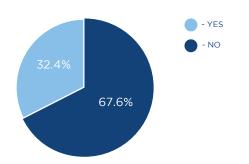
Cape Town Agreement - Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

34 Responses

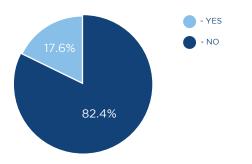


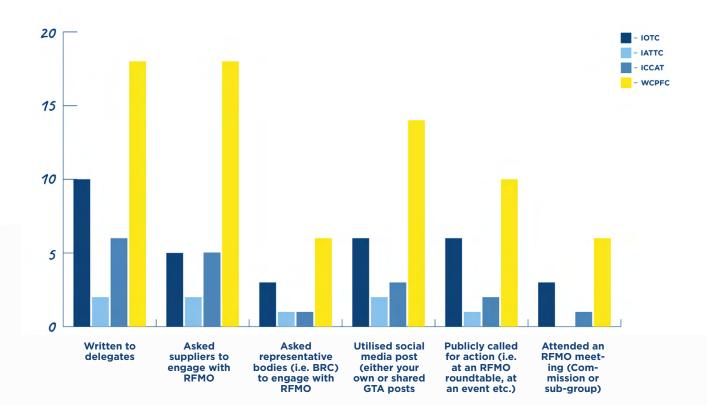
Observer Safety - Do you have a tuna sourcing requirement for tuna that includes enhanced observer safety?

34 Response



Observer Safety - Have you adopted an aspirational timeline (e.g. sourcing X% of supply from RFMOs which have implemented the IOBR and WCPFC mandatory observer safety reforms by 202[Y] increasing to 100% over Z timeframe) for enhanced observer safety?





Have you engaged in individual (for example using GTA templates) RFMO advocacy in 2022?

Outlook

These results provide valuable data to GTA Partners and provide an essential resource to the GTA team in producing a targeted support program for Partners. Our support programme in 2022 included webinars on electronic monitoring, a series of webinars in association with the SEA Alliance and FishWise on human rights due diligence - areas we saw improvements in.

In 2023 our programme of support will continue; including a focus on PSMA. The GTA will also support efforts to increase the number of ratifications of the CTA and ILO188. "The dashboard will be an added tool to get us closer to the ultimate objective."

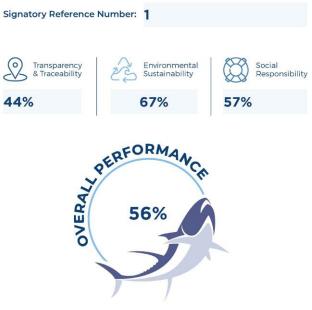
"We appreciate all the work GTA performed to provide these valuable dashboards. The information gives a clear roadmap for our next actions."

"The GTA progress survey has been a great prompt for us to review our sourcing requirements and public commitments this year to allow us to demonstrate improvements for the 2023 survey."



Annex 1





Transparen	icy (& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Traceability (CDST) Have endorsed CDST Standards Have time-bound commitments for implementation of CDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compilance to PSMs and are		Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Global Record Have seafood sourcing requirements that include unique vessel identifiers	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy requirements		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety	
taking appropriate action for those identified as high risk Public tracking of vessels					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	
Have seafood sourcing requirements that include publicly sharing vessel tracking data					safety reforms	



Signatory Reference Number: 2 D Transparency & Traceability Environmental Sustainability Social Responsibility PERFORMANCE 84. 67% 100% 86%

Transparer	ncy 8	& Traceability	Enviro. Sustainabil	ity	Social Responsit	oility
Global Dialogue on Seafood Traceability (GDST)	Y/N		 Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/ or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that	
Port States Measures Agreement (PSMA)					include a commitment to remediation	
Have seafood sourcing requirements that include PSM					Are reporting on the management of human rights risks publicly, including remediation actions taken	
product is being landed for compliance to PSMs and are					Observer Safety	
taking appropriate action for those identified as high risk					Have time-bound commitments for RFMOs to implement the IOBR and	
Public tracking of vessels					WCPFC mandatory observer safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						





Transpare	ncy & Tra	ceability	Enviro. Sustainabil	ity	Social Responsit	oility
Clobal Dialogue on Seafood Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing	Have s requir public and au Uniqu Cloba Have s requir	orised Vessel lists seafood sourcing rements that include cly sharing vessel license uthorisation lists ue Vessel Identification/ al Record seafood sourcing rements that include e vessel identifiers	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements	•	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation	
Have searood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	





Clobal Dialogue on Seafood Traceability (CDST) Y/N Authorised Vessel lists Sourcing Policy Image: Sourcing 100% of the public/y committed to sourcing 100% of the public/y sharing vessel license and authorisation lists Sourcing Policy Image: Sourcing 100% of the public/y committed to sourcing 100% of the public/y sharing vessel license and authorisation lists Sourcing Policy Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Sourcing Policy Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Sourcing Policy Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and authorisation lists Image: Sourcing 100% of the public/y sharing vessel license and author	Transparer	ncy 8	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Have seafood sourcing Image: Control of the seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data	Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for mplementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold volume) 00% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing equirements that include PSM Have risk assessed ports where oraduct is being landed for compliance to PSMs and are taking appropriate action for hose identified as high risk Public tracking of vessels Have seafood sourcing equirements that include Distribution for the seafood sourcing equirements that include Distribution for		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification. or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy	 	Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	





Transparency	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Global Dialogue on Seafood Traccability (CDST) Y/ P Have endorsed GDST Standards Image: Complexity of the search of t	Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Global Record Have seafood sourcing	to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management	•••	the Employer Pays Principle Can demonstrate measurable progress against their	
100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)		Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements		timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation	
Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are				Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety	
compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel	L			Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	





Transparei	ncy 8	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Clobal Dialogue on Seafood Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume)	Y/N 20 20	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include unique vessel identifiers	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable	
(Volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)			Hanagement Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements		Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation	
Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are					Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety	
A supporpriate action for taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include public(y sharing vessel tracking data					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	





Transpare	ncy a	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Global Dialogue on Seafood Traceability (CDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards	Y/N 20	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing	to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound		requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Firs)	Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level	
commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)			commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation	
Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for					Are reporting on the management of human rights risks publicly. including remediation actions taken Observer Safety	
compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data					aney reforma	



Signatory Reference Number: 8

Transparer	ncy 8	& Traceability	Enviro. Sustainabil	ity	Social Responsit	oility
Global Dialogue on Seafood Traceability (GDST)	Y/N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	I
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/ or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that	
Port States Measures Agreement (PSMA)					include a commitment to remediation	
Have seafood sourcing requirements that include PSM					Are reporting on the management of human rights risks publicly, including remediation actions taken	
product is being landed for compliance to PSMs and are					Observer Safety	
taking appropriate action for those identified as high risk					Have time-bound commitments for RFMOs to implement the IOBR and	
Public tracking of vessels					WCPFC mandatory observer safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



Signatory Reference Number: 9 Transparency & Traceability 11% Sustainability 33% Social Responsibility 43% Social Responsibility 43%

Transparer	ncy 8	& Traceability	Enviro. Sustainabi	lity	Social Responsib	oility
Clobal Dialogue on Seafood Traceability (CDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) D0% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Por States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM	Y/N	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include unique vessel identifiers	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken	
product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels					Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
requirements that include publicly sharing vessel tracking data						





	Transparer	ncy 8	& Traceability		Enviro. Sustainabil	ity	Social Responsit	oility
	Clobal Dialogue on Seafood Traceability (GDST)	Y/N	Authorised Vessel lists	_	Sourcing Policy	_	Socially Responsible Seafood Supply Chains	1
	lave endorsed GDST itandards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists		Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
c	lave time-bound ommitments for mplementation of GDST tandards		Unique Vessel Identification/ Global Record		certification, or on a pathway to sustainability FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
F	Have implemented GDST tandards along at least 80% If their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers		Have seafood sourcing requirements that include GTA's position on better FAD	00		
()	volume) 00% Observer Coverage/ Electronic Monitoring				Management Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
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ct	product is being landed for ompliance to PSMs and are aking appropriate action for hose identified as high risk						Observer Safety Have time-bound commitments for RFMOs to	
P	Public tracking of vessels						implement the IOBR and WCPFC mandatory observer	
p	lave seafood sourcing equirements that include sublicly sharing vessel racking data						safety reforms	





Transparer	ncy 8	k Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Global Dialogue on Seafood Traceability (GDST)	Y/N	Authorised Vessel lists	 Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Global Record	certification, or on a pathway to sustainability FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/ or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that	
Port States Measures Agreement (PSMA)					include a commitment to remediation	
Have seafood sourcing requirements that include PSM					Are reporting on the management of human rights risks publicly, including remediation actions taken	
Have risk assessed ports where product is being landed for compliance to PSMs and are					Observer Safety	
taking appropriate action for those identified as high risk Public tracking of vessels					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	
Have seafood sourcing requirements that include publicly sharing vessel tracking data					safety reforms	





Transpare	ncy & Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Clobal Dialogue on Seafood Traceability (CDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented CDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data	Y/N Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification Clobal Record Have seafood sourcing requirements that include unique vessel identifiers Image: Provide the seafood sourcing requirements that include unique vessel identifiers Image: Provide the seafood sourcing requirements that include unique vessel identifiers Image: Provide the seafood sourcing requirements that include unique vessel identifiers	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy requirements		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	



Signatory Reference Number: 12

 Image: Substantiability
 Image: Substantiability
 Image: Substantiability

 Image: Substantiability
 Image: Substantiability
 Image: Substantiability

Transpa	rency 8	& Traceability	Enviro. Sustainabi	ity	Social Responsit	oility
Global Dialogue on Seafoor Traceability (GDST) Have endorsed GDST	d Y/N	Authorised Vessel lists Have seafood sourcing requirements that include	Sourcing Policy Have publicly committed to sourcing 100% of tuna		Socially Responsible Seafood Supply Chains Have assessed human rights	
Standards Have time-bound commitments for		publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Global Record	products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability		risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at	
implementation of GDST standards Have implemented GDST standards along at least 80%		Have seafood sourcing requirements that include unique vessel identifiers	FAD Management Have seafood sourcing requirements that include		Have publicly committed to the Employer Pays Principle	
of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring			GTÀ's position on better FAD Management Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
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Have seafood sourcing requirements that include PSM Have risk assessed ports whe	ere 🗆 🗹				Are reporting on the management of human rights risks publicly, including remediation actions taken	
product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk					Observer Safety Have time-bound commitments for RFMOs to	
Public tracking of vessels					implement the IOBR and WCPFC mandatory observer safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						



Signatory Reference Number: 13

Transparer	ncy & Trac	eability	Enviro. Sustainabil	lity	Social Responsib	oility
Clobal Dialogue on Seafood Traceability (CDST) Have endorsed CDST Standards Have time-bound commitments for implementation of CDST standards Have implemented CDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for	Have se require publicly and aut Clobal	ised Vessel lists afood sourcing ments that include sharing vessel license chorisation lists • Vessel Identification/ Record afood sourcing ments that include vessel identifiers	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken	
compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data	••				Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	





Transparer	ncy 8	& Traceability		Enviro. Sustainabil	ity	Social Responsit	oility
Clobal Dialogue on Seafood Traceability (CDST) Have endorsed CDST Standards Have time-bound commitments for implementation of CDST standards Have implemented CDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM	Y/N 00 00	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include unique vessel identifiers	•••	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a CSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements	•••	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Dublic tending for second						remediation actions taken Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and	
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data						WCPFC mandatory observer safety reforms	





Transpare	ncy & Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Global Dialogue on Seafood Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)	Y/N Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Image: I	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy requirements		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation	
Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for	••			Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety	
compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data				Have time-bound commitments for REMOs to implement the IOBR and WCPFC mandatory observer safety reforms	



Signatory Reference Number: 16

 Image: Strate and Strate

Transparenc	y & Traceability	Enviro. Sustainabi	ility	Social Responsit	oility
Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80%	 Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include unique vessel identifiers 	to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle	
of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)		GTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy requirements) 2 🗖	Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation	
requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are	•			Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety	
taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data				Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	





Transparei	ncy & Traceability		Enviro. Sustainabi	lity	Social Responsit	oility
Global Dialogue on Seafood Traceability (CDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) D0% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM	Y/N Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification Clobal Record Have seafood sourcing requirements that include unique vessel identifiers	✓✓✓	Sourcing Policy		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk					Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and	
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					WCPFC mandatory observer safety reforms	





Transpare	ncy & Tracea	bility	Enviro. Sustainabi	lity	Social Responsik	oility
Clobal Dialogue on Seafood Traceability (CDST) Have endorsed GDST Standards Have time-bound commitments for	Have seafo requireme publicly sh and author Unique Ve	d Vessel lists od sourcing nts that include aring vessel license risation lists ssel Identification/	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability	00	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights	
implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume)	🗖 🗹 🗄 requireme	cord od sourcing nts that include sel identifiers	FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management		risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable	
100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic)			Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements)	progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that	
Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM Have risk assessed ports where					chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken	
product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels					Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	
Have seafood sourcing requirements that include publicly sharing vessel tracking data						





Clobal Dialogue on Seafood Traceability (CDST) Y/N Authorised Vessel lists Sourcing Policy Have endorsed CDST Standards Image seafood sourcing requirements that include publicly stantartion of CDST standards Image vessel identification/ commtments for traceability (CDST) Image vessel identification/ commtments for requirements that include publicly stantartion of CDST Image vessel identification/ commtments for traceability Image vessel identification/ commtments for requirements that include processor and vessel identifiers Image vessel identifiers Image vessel identifiers Image vessel identifiers Image vessel identifiers Have time-bound commtments for 100% of their tune products solid (volume) Image vessel identifiers Have time-bound commtments for 100% observer coverage (human and/ or electronic) Image vessel identifiers Port States Measures FSM Image vessel optis wessel identifiers Image vessel identifiers Image vessel identifiers Image vessel identifiers Image vessel identifiers Products for identifiers option in the image vessel identifiers Im	Transpare	ncy a	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
tracking data	Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy	 	Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against heir timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	





Standards ublicly sharing vessel license and authorisation lists products from fisheries with a GSI-recognized used license and authorisation lists risks in their supply chains at processor and vessel level Have time-bound commitments for implemented GDST standards Image assass and uses licentification / Giobal Record FAD Management Image assassed human rights Image assasses and wessel level Image assasses and wessel level Image assasses assassed human rights Image assasses assassasses assassassas Image assassase ass	Transparen	ncy 8	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Have seafood sourcing safety reforms requirements that include publicly sharing vessel	Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented CDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk. Public tracking of vessels Have seafood sourcing requirements that include		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Global Record Have seafood sourcing requirements that include	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy	 	Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety Have time-bound commitments for REMOs to implement the IOBR and	





Transpare	ncy 8	& Traceability	Enviro. Sustainabi	lity	Social Responsib	oility
Ciobal Dialogue on Seafood Traceability (GDST) Have endorsed CDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring	Y/N © © ©	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include unique vessel Identifiers	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA)	00	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)			Have time-bound commitments for Fins Naturally Attached policy requirements			
Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for					Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety	
compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	



Signatory Reference Number: 22

Transparen	ncy 8	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring	Y/N © •	publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Global Record Have seafood sourcing	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification. or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company	
observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)			requirements		policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including	
product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk					remediation actions taken Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and	
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					WCPFC mandatory observer safety reforms	





Transparer	ncy & Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Global Dialogue on Seafood Traceability (CDST) Have endorsed CDST Standards Have time-bound commitments for implementation of CDST standards Have implemented CDST standards along at least 80% of their tuna products sold (volume) D0% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Dort States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Dublic tracking of vessels	Y/N Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include unique vessel identifiers Image: Provide the seafood sourcing requirements that include unique vessel identifiers Image: Provide the seafood sourcing requirements that include unique vessel identifiers Image: Provide the seafood sourcing requirements that include unique vessel identifiers	Sourcing Policy	•••	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have seafood sourcing requirements that include publicly sharing vessel tracking data					





Transpare	ncy 8	& Traceability	Enviro. Sustainabi	ity	Social Responsit	oility
Global Dialogue on Seafood Traceability (GDST)	Y/N	Authorised Vessel lists	Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		requirements that include publicly sharing vessel license and authorisation lists	to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Clobal Record	certification, or on a pathway to sustainability FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/ or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that	
Port States Measures Agreement (PSMA)					include a commitment to remediation	
Have seafood sourcing requirements that include PSM					Are reporting on the management of human rights risks publicly, including remediation actions taken	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for					Observer Safety Have time-bound	
those identified as high risk Public tracking of vessels					commitments for RFMOs to implement the IOBR and WCPFC mandatory observer	
Have seafood sourcing requirements that include publicly sharing vessel tracking data					safety reforms	





Transpa	ency & Tracea	ability		Enviro. Sustainabil	ity	Social Responsib	oility
Global Dialogue on Seafoor Traceability (CDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human al or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM Have risk assessed ports whe product is being landed for compliance to PSMs and are	Have seafc requireme publicly sh and autho Unique Ve Clobal Re- unique ves	d Vessel lists nod sourcing nits that include naring vessel license risation lists essel Identification/ cord od sourcing nits that include ssel identifiers	••	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements	•	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken	
taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data						Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	





Transpare	ncy 8	& Traceability		Enviro. Sustainabi	lity	Social Responsit	oility
Global Dialogue on Seafood Traceability (CDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100%	Y/N 	publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing	•••	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company	
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publicly sharing vessel tracking data							





Transpare	ncy	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility	
Clobal Dialogue on Seafood Traceability (CDST) Have endorsed CDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/	ncy 3 Y/N 0 0 0 0 0	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing	Sourcing Policy	•••	Social Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address		
Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)			Have time-bound commitments for Fins Naturally Attached policy requirements	, 	human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation		
Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for					Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety		
compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms		





Transparer	ncy 8	& Traceability	Enviro. Sustainabil	ity	Social Responsik	oility
Global Dialogue on Seafood Traceability (GDST)	Y/N	Authorised Vessel lists	 Sourcing Policy		Socially Responsible Seafood Supply Chains	
Have endorsed GDST Standards		Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists	Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized		Have assessed human rights risks in their supply chains at processor and vessel level	
Have time-bound commitments for implementation of GDST standards		Unique Vessel Identification/ Clobal Record	certification, or on a pathway to sustainability FAD Management		Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD		Have publicly committed to the Employer Pays Principle	
(volume) 100% Observer Coverage/ Electronic Monitoring			Management Fins Naturally Attached (FNA)		Can demonstrate measurable progress against their timebound plan to address human rights risks at	
Have time-bound commitments for 100% observer coverage (human and/ or electronic)			Have time-bound commitments for Fins Naturally Attached policy requirements		processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that	
Port States Measures Agreement (PSMA)					include a commitment to remediation	
Have seafood sourcing requirements that include PSM					Are reporting on the management of human rights risks publicly, including remediation actions taken	
Have risk assessed ports where product is being landed for compliance to PSMs and are					Observer Safety	
taking appropriate action for those identified as high risk					Have time-bound commitments for RFMOs to implement the IOBR and	
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					WCPFC mandatory observer safety reforms	





Transparer	ncy 8	& Traceability		Enviro. Sustainabil	ity	Social Responsib	oility
Clobal Dialogue on Seafood Traceability (CDST) Have endorsed CDST Standards Have time-bound commitments for implementation of CDST standards Have implemented CDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100%	Y/N C C C C C C C C	publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Global Record Have seafood sourcing	0	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a CSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy	•	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company	
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compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data						Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	





Transparer	ncy & Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM	Y/N Authorised Vessel lists Image: Have seafood sourcing requirements that include publicly sharing vessel licens and authorisation lists Image: Im	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include CTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken	
taking appropriate action for those identified as high risk Public tracking of vessels				Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	





Transparency	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility	
Global Dialogue on Seafood Traceability (GDST) Y/N Have endorsed CDST Standards Image: Complexity (CDST) Have time-bound commitments for implementation of GDST standards Image: Complexity (CDST) Have time-bound commitments for implementation of GDST standards Image: Complexity (CDST) Have implemented CDST standards along at least 80% of their tuna products sold (volume) Image: Complexity (CDST) 100% Observer Coverage/ Electronic Monitoring Image: Complexity (CDST) Have time-bound commitments for 100% observer coverage (human and/ or electronic) Image: Complexity (CDST) Port States Measures Agreement (PSMA) Image: Complexity (CDST) Have seafood sourcing requirements that include PSM Image: Complexity (CDST) Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Image: Complexity (CDST) Public tracking of vessels Have seafood sourcing requirements that include public(vsharing vessel)	publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include CTA's position on better FAD Management Fins Naturally Attached (FNA Have time-bound commitments for Fins Naturally Attached policy requirements		Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety Have time-bound commitments for REMOs to implement the IOBR and WCPFC mandatory observer safety reforms		





Transparer	ncy 8	& Traceability	Enviro. Sustainabil	ity	Social Responsit	oility	
Global Dialogue on Seafood Traceability (GDST) Have endorsed GDST Standards Have time-bound commitments for implementation of GDST standards Have implemented GDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA)	Y/N	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include unique vessel identifiers	to sourcing T00% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA)	•••	Socially Responsible Seafood Supply Chains Have assessed human rights risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their timebound plan to address human rights risks at processor and vessel level Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation		
Have seafood sourcing requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include					Are reporting on the management of human rights risks publicly, including remediation actions taken Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms		
requirements that include publicly sharing vessel tracking data							





Transparer	ncy 8	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Global Dialogue on Seafood Traceability (GDST) Have endorsed GDST	Y/N	Authorised Vessel lists Have seafood sourcing requirements that include	to sourcing 100% of tuna		Socially Responsible Seafood Supply Chains Have assessed human rights	
Standards Have time-bound commitments for implementation of GDST standards		publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Global Record	products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management		risks in their supply chains at processor and vessel level Have assessed human rights risks from recruitment at processor and vessel level	
Have implemented GDST standards along at least 80% of their tuna products sold (volume)		Have seafood sourcing requirements that include unique vessel identifiers	Have seafood sourcing requirements that include GTA's position on better FAD Management		Have publicly committed to the Employer Pays Principle Can demonstrate measurable progress against their	
100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic)			Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements)	Have shared company policies on human rights publicly, and with supply	
Port States Measures Agreement (PSMA) Have seafood sourcing requirements that include PSM					chain stakeholders, that include a commitment to remediation Are reporting on the management of human rights risks publicly, including remediation actions taken	
Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk					An of the second	
Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					WCPFC mandatory observer safety reforms	





Transpare	ncy 8	& Traceability	Enviro. Sustainabi	lity	Social Responsit	oility
Clobal Dialogue on Seafood Traceability (CDST) Have endorsed CDST Standards Have time-bound commitments for implementation of CDST standards Have implemented CDST standards along at least 80% of their tuna products sold (volume) 100% Observer Coverage/ Electronic Monitoring Have time-bound commitments for 100% observer coverage (human and/ or electronic) Port States Measures Agreement (PSMA) Have safood sourcing	Y/N 20 20 20 20	Authorised Vessel lists Have seafood sourcing requirements that include publicly sharing vessel license and authorisation lists Unique Vessel Identification/ Clobal Record Have seafood sourcing requirements that include unique vessel identifiers	Sourcing Policy Have publicly committed to sourcing 100% of tuna products from fisheries with a GSSI-recognized certification, or on a pathway to sustainability FAD Management Have seafood sourcing requirements that include GTA's position on better FAD Management Fins Naturally Attached (FNA) Have time-bound commitments for Fins Naturally Attached policy requirements		Have shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation Are reporting on the	
requirements that include PSM Have risk assessed ports where product is being landed for compliance to PSMs and are taking appropriate action for those identified as high risk Public tracking of vessels Have seafood sourcing requirements that include publicly sharing vessel tracking data					management of human rights risks publicly, including remediation actions taken Observer Safety Have time-bound commitments for RFMOs to implement the IOBR and WCPFC mandatory observer safety reforms	

Annex 2

ALLIA

GTA 2022 Individual
Progress Results

Signatory Reference Number: 1

	ютс	IATTC	ICCAT	WCPFC
Written to delegates	x		x	x
Asked suppliers to engage with RFMO				х
Asked representative bodies (i.e. BRC) to engage with RFMO	x	x	x	х
Utilised social media post (either your own or shared GTA posts)	x			x
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	x			
Attended an RFMO meeting (Commission or sub-group)	x			

Transparency & Traceability

VMS data?

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, time-bound commitment to meet or exceed CDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant 🛛 🗖 identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant I dentifiers (e.g. CTIN) for at least 50% of tuna products handled by value?

- Are you using GDST compliant I dentifiers (e.g. GTIN) for at least 80% of tuna products handled by value?
- Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable CDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

- Have you adopted aspirational Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing
- tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

- Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?
- Do you conduct risk assessments for ports where assessments to point where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market? market?
- Do you work with your suppliers to improve the implementation of port

State me	easures in:
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tracking data? - Mapping supply chains **Authorised Vessel lists** - Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join 2 Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? - Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? - Inquiring about the implementation of port State measures during port visits Do you require that all vessels In your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they - Revising seafood fish? sourcing requirements to include PSM Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? 2 - None of the above **Public tracking of vessels** Unique Vessel Identification/ Global Record Do you request mandatory AIS use for vessels you Do you require mandatory IMO numbers for the eligible vessels you purchase from? purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data? Have you committed to buy only from vessels that have obtained an IMO number Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of (where eligible)? Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels? Do you have tuna sourcing requirements that include publicly sharing vessel

Environmental Sustainability					Social Responsibility				
	Sourcing Policy	Y/N	FAD Management	Wholly No.	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?		
	Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partly NiA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Startad but not completed	Do you advocate the countries you source from consider ratifying ILO 188?		
	Does your sourcing		Fins Naturally Attached (FNA)		Have you assessed human	ŏŏ	Cape Town Agreement		
	commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		rights risks from recruitment at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you	Started but yet completed	Do you request that supply chains implement standards that align with the CTA standards, including requiring		
	Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in		Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		publicly committed to the Employer Pays Principle? Have you developed a	ŏĎ	that fishing vessels have valid International Fishing Vessel Safety Certificate		
	to statistic set of in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?		requirementar		plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vessel	issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?		
	Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to	Vec No Processor Vecal	Do you know if the vessels you source from meet the requirements of the CTA?		
	Do you have a corporate policy on sourcing tuna from fisheries				address human rights risks at processor and vessel level?	-			
	with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Vier No Wie den't have a policy			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?		
					1		Observer Safety		
	Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?		
					IL0188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?		

GTA 2022 Individual Progress Results

Signatory Reference Number: 2

	ютс	IATTC	ICCAT	WCPFC	
Written to delegates	х		x		
Asked suppliers to engage with RFMO	x		x		
Asked representative bodies (i.e. BRC) to engage with RFMO	x				
Utilised social media post (either your own or shared GTA posts)	x				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	x				
Attended an RFMO meeting (Commission or sub-group)					

Global Dialogue on Seafood Traceability (CDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimun Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers? ŏŏ

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant 2 identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using GDST compliant I compliant I compliant I compliant I compliant I compliant 100 Complia handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability? v 🗖

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational 🛛 🗖 Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202(Y) increasing to 100% over Z timeframe) for sourcing tuna with improved observe coverage?

Port States Measures Agreement (PSMA)

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Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements? 2

> Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the

Do you work with your suppliers to improve the implementation of port

market?

State measures in:		tracking data?					
- Mapping supply chains		Authorised Vessel lists					
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?					
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?					
- Inquiring about the implementation of port State measures during port visits		Do you require that all vessels: Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they					
- Revising seafood sourcing requirements to include PSM		fish? Do you have tuna sourcing					
- None of the above	•	requirements that include publicly sharing vessel license and authorisation lists?					
Public tracking of vessels	-						
Do you request mandatory AIS use for vessels you		Unique Vessel Identification/ Global Record					
purchase from? Have you committed to buy only from vessels that publicly		Do you require mandatory IMO numbers for the eligible vessels you purchase from?					
share vessel tracking data?		Have you committed to buy only from vessels that have					
Do you request AIS be mandated by the flag State of the vessels you purchase from		obtained an IMO number (where eligible)?					
and encourage the release of VMS data?		Do you request flag and coastal States of the vessels					
Do you have tuna sourcing requirements that include publicly sharing vessel		you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?					

Environmental Sustainability

Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?"

Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized cartification? certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies? 2

Does your corporate policy on sourcing tuna from fisheries with harvest strategies include 0 a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing GTA's position on better FAD Management?

Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

Do you have a time-bound commitment for Fins Naturally Attached policy requirements? ñ

Social Responsibility

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Socially Responsible Seafood Supply Chains

Have you assessed human rights risks in your supply chains at processor and vessel lovel?

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress

indicators Can you demonstrate measurable progress against

your timebound plan(s) to address human rights risks at processor and vessel level? Have you shared company

policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?

Are you reporting on the management of human rights risks publicly, including remediation actions taken?

ILO188

Do you request that your supply chains implement

11 0 1882 Do you advocate the countries you source from consider ratifying ILO 188? **Cape Town Agreement** 2 Do you request that supply chains implement standards that align with the CTA standards, including requiring

standards that align with

that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radiocommunication equipment as

required by the Agreement? Do you know if the vessels you source from meet the requirements of the CTA?

Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

Observer Safety

Do you have a tuna sourcing requirement for tuna for enhanced observer safety? Have you adopted an aspirational timeline for

enhanced observer safety?

TUNA ALLIANCA	GTA 2022 Individual Progress Results							
	Signatory Reference Number:	3						
		ютс	IATTC	ICCAT	WCPFC			
	Written to delegates			x	x			
	Asked suppliers to engage with RFMO			x	x			
	Asked representative bodies (i.e. BRC) to engage with RFMO							
	Utilised social media post (either your own or shared GTA posts)				x			
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				x			
	Attended an RFMO meeting (Commission or sub-group)			x				
		1						

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

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Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

ĎĎ Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant 🛛 🖸 identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using GDST compliant 🛛 🗖 🕴 State measures in: identifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST 2 compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

- Mapping supply chains
- Mapping supply chains

to join

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

tracking data? Authorised Vessel lists

Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel I license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels 2 in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and

coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environm	enta	l Sustainability	Social Responsibility				
Sourcing Policy	Y/N	FAD Management	Wholly No	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Derly NA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing		Fins Naturally Attached (FNA)		Have you assessed human	ŏŏ	Cape Town Agreement	
commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		rights risks from recruitment at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you	Standard but not compared	Do you request that supply chains implement standards that align with the CTA	
Does your sourcing commitment include sourcing tuna on a pathway		Do you have a time-bound commitment for Fins Naturally Attached policy		publicly committed to the Employer Pays Principle?		standards, including requiring that fishing vessels have valid International Fishing	
to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?		requirements?		Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vec No Processor Vecnal	Vessel Safety Certificate Issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to	Vac No Processor Vessel	Do you know if the vessels you source from meet the	
Do you have a corporate policy on sourcing tuna from fisheries				address human rights risks at processor and vessel level?		requirements of the CTA? Do you request that the	
with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Ties No.			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	00
	_					Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

GTA 2022 Individual Progress Results

Signatory Reference Number: 4

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				x
Asked suppliers to engage with RFMO				x
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
Attended an RFMO meeting (Commission or sub-group)				

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Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant Identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using CDST compliant I dentifiers (e.g. CTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

> Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated

Do you work with your suppliers to improve the implementation of port

market?

risk of IUU catch entering the

tate measures in:		tracking data?	
tate measures in:		tracking data?	
 Mapping supply chains 		Authorised Vessel lists	
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?	
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they	
- Revising seafood sourcing requirements to include PSM	•	fish? Do you have tuna sourcing	
- None of the above	۵	requirements that include publicly sharing vessel license and authorisation lists?	
ublic tracking of vessels		Unique Vessel Identification/	
o you request mandatory IS use for vessels you		Global Record	
urchase from? ave you committed to buy nly from vessels that publicly		Do you require mandatory IMO numbers for the eligible vessels you purchase from?	
hare vessel tracking data?		Have you committed to buy only from vessels that have	
o you request AIS be handated by the flag State of he vessels you purchase from		obtained an IMO number (where eligible)?	
nd encourage the release of MS data?		Do you request flag and coastal States of the vessels you purchase from share	
o you have tuna sourcing equirements that include ublicly sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environmental Sustainability

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Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?"

Does your sourcing commitment include sourcing tuna on a pathway sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy on sourcing tuna from fisheries ÕÕ with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?

Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

Do you have a time-bound commitment for Fins Naturally Attached policy ñ requirements?

Social Responsibility

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Socially Responsible Seafood **Supply Chains**

Have you assessed human rights risks in your supply chains at processor and vessel level?

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress

indicators

Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?

Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?

Are you reporting on the management of human rights risks publicly, including remediation actions taken?

ILO188

Do you request that your supply chains implement

ILO 188? Do you advocate the countries you source from consider ratifying ILO 188? **Cape Town Agreement** Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radiocommunication equipment as required by the Agreement? Do you know if the vessels you source from meet the requirements of the CTA? Do you request that the

standards that align with

countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

Observer Safety

Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Have you adopted an aspirational timeline for enhanced observer safety?	



Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

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Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier 0 assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant 🛛 🖸 identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using GDST compliant 🛛 🗖 🚦 State measures in: identifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements? 2

Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

- Mapping supply chains

to join

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

tracking data?

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Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number 20 (where eligible)? Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of

Fishing Vessels?

Environme	l Sustainability	Social Responsibility					
Sourcing Policy	Y/N	FAD Management	Wholly No	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Perty NA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing commitment include sourcing tuna from fisheries with a CSSI-recognized certification?		Fins Naturally Attached (FNA) Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		Have you assessed human rights risks from recruitment at processor and vessel level? Socially Responsible Seafood	Ver Ne Started but rort Complement	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA	0
commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized	NA NA	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		Supply Chains - Have you publicly committed to the Employer Pays Principle? Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators		standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
certification? Harvest Strategies Do you have a corporate policy				Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at	Vec No Processor Versul	Do you know if the vessels you source from meet the requirements of the CTA?	
on sourcing tuna from fisheries with harvest strategies?	Ties No We don't Pano a policy			processor and vessel level? Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?	00	Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

GTA 2022 Individual Progress Results

Signatory Reference Number: 6

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				
Asked suppliers to engage with RFMO				
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
Attended an RFMO meeting (Commission or sub-group)				

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Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant Identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using CDST compliant I dentifiers (e.g. CTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party 🛛 🗖 solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned 2 with PSMA requirements?

> Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the

Do you work with your suppliers to improve the implementation of port

market?

State measures in:		tracking data?		
- Mapping supply chains		Authorised Vessel lists		
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?		
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?		
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they		
- Revising seafood sourcing requirements to include PSM	•	fish? Do you have tuna sourcing		
- None of the above		requirements that include publicly sharing vessel license and authorisation lists?		
Public tracking of vessels		Unique Vessel Identification		
Do you request mandatory AIS use for vessels you		Global Record		
purchase from? Have you committed to buy only from vessels that publicly		Do you require mandatory IMO numbers for the eligible vessels you purchase from?		
share vessel tracking data?	1	Have you committed to buy		
Do you request AIS be mandated by the flag State of the vessels you purchase from		only from vessels that have obtained an IMO number (where eligible)?		
and encourage the release of VMS data?		Do you request flag and coastal States of the vessels		
Do you have tuna sourcing requirements that include publicly sharing vessel		you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?		

Environmental Sustainability

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Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?"

Does your sourcing commitment include sourcing tuna on a pathway sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy on sourcing tuna from fisheries ÕÕ with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?

Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

Do you have a time-bound commitment for Fins Naturally Attached policy ñ requirements?

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Social Responsibility

Supply Chains Have you assessed human rights risks in your supply chains at processor and vessel level?

Socially Responsible Seafood

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress

Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?

indicators

Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?

Are you reporting on the management of human rights risks publicly, including remediation actions taken?

ILO188

Do you request that your supply chains implement

ILO 188? Do you advocate the countries you source from consider ratifying ILO 188? **Cape Town Agreement** Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radiocommunication equipment as required by the Agreement?

standards that align with

Do you know if the vessels you source from meet the requirements of the CTA?

Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

Observer Safety

Do you have a tuna sourcing requirement for tuna for enhanced observer safety? Have you adopted an aspirational timeline for enhanced observer safety?

TUNA ALLIAA CR	GTA 2022 Individual Progress Results					
	Written to delegates	IOTC IATTC ICCAT WCPFO	с			
	Asked suppliers to engage with RFMO	x				
	Asked representative bodies (i.e. BRC) to engage with RFMO					
	Utilised social media post (either your own or shared GTA posts)	x				
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)					
	Attended an RFMO meeting (Commission or sub-group)					

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

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Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

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Are you using GDST compliant identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using GDST compliant 🔲 🖬 🕴 State measures in: identifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

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Do you work with your suppliers to improve the implementation of port

- Mapping supply chains

to join

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

tracking data? Authorised Vessel lists

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Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels 2 in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number 20 (where eligible)? Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environme	enta	l Sustainability		Social	Res	ponsibility	
Sourcing Policy	Y/N	FAD Management	Wholly No.	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		requirement that incorporates GTA's position on better FAD Management?	Perly NA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Startad but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Fins Naturally Attached (FNA) Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		Have you assessed human rights risks from recruitment at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you	Ver No	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA	
Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?	PijA	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		Have you developed a plan which prioritises and addresses human rights risks at processor/vesse level which includes measurable progress indicators	Vac No Percessor Vuosal U	standards. including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement. and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at	Vac No Processor Vacsal	Do you know if the vessels you source from meet the requirements of the CTA?	
on sourcing tuna from fisheries with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Ties No We don't Prive a policy			processor and vessel level? Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?	00	Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

GTA 2022 Individual Progress Results

Signatory Reference Number: 8

	ютс	IATTC	ICCAT	WCPFC
Written to delegates	x			x
Asked suppliers to engage with RFMO	x			x
Asked representative bodies (i.e. BRC) to engage with RFMO	x			x
Utilised social media post (either your own or shared CTA posts)				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	x			x
Attended an RFMO meeting (Commission or sub-group)	x			x

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment ÕÖ Survey or comparable process with all of your tuna suppliers?

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Have you engaged a 3rd party 🛛 🗖 solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

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Do you work with your suppliers to improve the implementation of port

market?

State measures in:		tracking data?				
- Mapping supply chains		Authorised Vessel lists				
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to Join		Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?				
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?				
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they				
- Revising seafood sourcing requirements to include PSM		fish? Do you have tuna sourcing				
- None of the above	•	requirements that include				
Public tracking of vessels		Unique Vessel Identification/				
Do you request mandatory AIS use for vessels you		Global Record				
purchase from? Have you committed to buy only from vessels that publicly		Do you require mandatory IMO numbers for the eligible vessels you purchase from?				
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Do you request AIS be mandated by the flag State of the vessels you purchase from		obtained an IMO number (where eligible)?				
and encourage the release of VMS data?		Do you request flag and coastal States of the vessels you purchase from share				
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Environmental Sustainability

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Sourcing Policy

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Do you have a time-bound commitment for Fins Naturally Attached policy ñ requirements?

Social Responsibility

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Socially Responsible Seafood **Supply Chains**

Have you assessed human rights risks in your supply chains at processor and vessel level?

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

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ILO188

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countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

Observer Safety

-	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
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Global Dialogue on Seafood Traceability (GDST)

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Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

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Do you work with your suppliers to improve the implementation of port

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	 Mapping supply chains

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- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels

tracking data?

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you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record

Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number 20 (where eligible)?

Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environm	ental	Sustainability		Social	Res	ponsibility	
Sourcing Policy	Y/N	FAD Management	Whilly No.	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partly NiA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing		Fins Naturally Attached (FNA)		Have you assessed human	ŏ ŏ	Cape Town Agreement	
commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		rights risks from recruitment at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you	Started but not completed	Do you request that supply chains implement standards that align with the CTA standards, including requiring	
Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in	NA NA	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		publicly committed to the Employer Pays Principle? Have you developed a	[₩] №	that fishing vessels have valid International Fishing Vessel Safety Certificate	
full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?				plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vessal	issued under the provisions of the Agreement. and safety. navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to	Proceeditor	Do you know if the vessels you source from meet the requirements of the CTA?	
Do you have a corporate policy on sourcing tuna from fisheries				address human rights risks at processor and vessel level?			
with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Yes No We don't Yes a policy			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
	_			1		Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

GTA 2022 Individual Progress Results

Signatory Reference Number: 10

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				x
Asked suppliers to engage with RFMO				x
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				x
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				x
Attended an RFMO meeting (Commission or sub-group)				

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Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment ÕÖ Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant I dentifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using CDST compliant I least 80% of tuna products handled by value?

Have you engaged a 3rd party 🛛 🖸 🗖 solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned 2 with PSMA requirements?

> Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated

market? Do you work with your suppliers to improve the implementation of port

risk of IUU catch entering the

measures in:	-	tracking data?		
apping supply chains		Authorised Vessel lists		
necking whether ports in States that have ned the PSMA and, if not, ocating for those States oin	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?		
necking whether States e officially designated ts for landing and isshipment by eign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?		
quiring about the plementation of port te measures during t visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they		
evising seafood rcing requirements nclude PSM	•	fish? Do you have tuna sourcing requirements that include		
one of the above		publicly sharing vessel license and authorisation lists?		
ic tracking of vessels				
ou request mandatory se for vessels you		Unique Vessel Identification/ Global Record		
nase from? you committed to buy		Do you require mandatory IMO numbers for the eligible vessels you purchase from?		
from vessels that publicly vessel tracking data?		Have you committed to buy		
ou request AIS be dated by the flag State of		only from vessels that have obtained an IMO number (where eligible)?	_	
essels you purchase from encourage the release of data?		Do you request flag and coastal States of the vessels you purchase from share		
ou have tuna sourcing rements that include cly sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?		

Environmental Sustainability

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Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?"

Does your sourcing commitment include sourcing tuna on a pathway sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy on sourcing tuna from fisheries Ŭ 🖸 with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?

Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

Do you have a time-bound commitment for Fins Naturally Attached policy ñ requirements?

Social Responsibility

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Socially Responsible Seafood **Supply Chains**

Have you assessed human rights risks in your supply chains at processor and vessel level?

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress

indicators Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?

Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?

Are you reporting on the management of human rights risks publicly, including remediation actions taken?

ILO188

Do you request that your supply chains implement

standards that align with ILO 188? Do you advocate the 2 countries you source from consider ratifying ILO 188? **Cape Town Agreement** Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radiocommunication equipment as required by the Agreement? Do you know if the vessels you source from meet the requirements of the CTA? Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? **Observer Safety** Do you have a tuna sourcing

requirement for tuna for enhanced observer safety? Have you adopted an aspirational timeline for enhanced observer safety?

TUNA ALLIANCE	GTA 2022 Progress F				
	Signatory Reference Number:	11			
		ютс	IATTC	ICCAT	WCPFC
	Written to delegates	x		x	
	Asked suppliers to engage with RFMO				
	Asked representative bodies (i.e. BRC) to engage with RFMO				
	Utilised social media post (either your own or shared GTA posts)				
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				x
	Attended an RFMO meeting (Commission or sub-group)	x			

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

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Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

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Are you using GDST compliant I dentifiers (e.g. CTIN) for at least 50% of tuna products handled by value?

Are you using GDST compliant 🛛 🗖 🕴 State measures i identifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements? 2

Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

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:	- Mapping supply chains

to join

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

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Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

tracking data? Authorised Vessel lists

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Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel I license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels 2 in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and

coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environmental Sustainability					Social Responsibility				
	Sourcing Policy	Y/N	FAD Management	Wholly No.	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?		
	Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		requirement that incorporates GTA's position on better FAD Management?	Derly NA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Vas No Startad bat rot completed	Do you advocate the countries you source from consider ratifying ILO 188?		
	Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Fins Naturally Attached (FNA) Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		Have you assessed human rights risks from recruitment at processor and vessel level? Socially Responsible Seafood	Ves No Started but not company	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA		
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	Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at	Vac No Processor Vacsed	Do you know if the vessels you source from meet the requirements of the CTA?		
	on sourcing tuna from fisheries with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Vilid No Vilid U Vilid Cont Three a policy L			processor and vessel level? Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?	00	Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety		
	Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?		
					ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?		

	ютс	IATTC	ICCAT	WCPFC
Written to delegates	x	x	x	x
Asked suppliers to engage with RFMO				
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)	x	x	x	x
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	x	x	x	x
Attended an RFMO meeting (Commission or sub-group)				

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Global Dialogue on Seafood Traceability (GDST)

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market? Do you work with your suppliers to improve the implementation of port

risk of IUU catch entering the

		toroldan data	
tate measures in:		tracking data?	
 Mapping supply chains 		Authorised Vessel lists	
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?	
 Inquiring about the implementation of port State measures during port visits 	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they	
- Revising seafood sourcing requirements to include PSM	•	fish? Do you have tuna sourcing	
- None of the above		requirements that include publicly sharing vessel license and authorisation lists?	
ublic tracking of vessels			
o you request mandatory IS use for vessels you		Unique Vessel Identification/ Global Record	
urchase from? ave you committed to buy		Do you require mandatory IMO numbers for the eligible vessels you purchase from?	
nly from vessels that publicly nare vessel tracking data?		Have you committed to buy	
o you request AIS be nandated by the flag State of ne vessels you purchase from		only from vessels that have obtained an IMO number (where eligible)?	
nd encourage the release of MS data?		Do you request flag and coastal States of the vessels you purchase from share	
o you have tuna sourcing equirements that include ublicly sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environmental Sustainability

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Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

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Does your sourcing commitment include sourcing tuna on a pathway sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy on sourcing tuna from fisheries Ŭ 🖸 with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?

Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

Do you have a time-bound commitment for Fins Naturally Attached policy ñ requirements?

Social Responsibility

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Socially Responsible Seafood **Supply Chains**

Have you assessed human rights risks in your supply chains at processor and vessel level?

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress

Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?

indicators

Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?

Are you reporting on the management of human rights risks publicly, including remediation actions taken?

ILO188

Do you request that your supply chains implement

ILO 188? Do you advocate the countries you source from consider ratifying ILO 188? **Cape Town Agreement** Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radiocommunication equipment as required by the Agreement? Do you know if the vessels you source from meet the requirements of the CTA? Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

standards that align with

Observer Safety

Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Have you adopted an aspirational timeline for enhanced observer safety?	

TUNA ALLIAN CR	GTA 2022 Progress F			I
	Signatory Reference Number:	13		
			ICCAT	WCPFC
	Written to delegates	x	x	x
	Asked suppliers to engage with RFMO			x
	Asked representative bodies (i.e. BRC) to engage with RFMO			
	Utilised social media post (either your own or shared GTA posts)			x
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)	x	x	x
	Attended an RFMO meeting (Commission or sub-group)			
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Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

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Do you work with your suppliers to improve the implementation of port

- Mapping supply chains

to join

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

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tracking data?

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Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environmental Sustainability					Social Responsibility			
	Sourcing Policy	Y/N	FAD Management	Wholly No	Socially Responsible Seafood Supply Chains	-	standards that align with ILO 188?	
	Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partly NiA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Startad but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
	Does your sourcing		Fins Naturally Attached (FNA)		Have you assessed human	ŏŏ	Cape Town Agreement	
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		_			1		Observer Safety	
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					ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

	ютс	IATTC	ICCAT	WCPFC
Written to delegates		x		x
Asked suppliers to engage with RFMO	x	x	x	x
Asked representative bodies (i.e. BRC) to engage with RFMO				
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Global Dialogue on Seafood Traceability (GDST)

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> Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

neasures in:		tracking data?	
ping supply chains		Authorised Vessel lists	
cking whether ports States that have d the PSMA and, if not, ating for those States		Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
cking whether States officially designated for landing and hipment by n-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?	
iring about the mentation of port measures during isits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they	
sing seafood ng requirements lude PSM	•	fish? Do you have tuna sourcing	
e of the above	•	requirements that include publicly sharing vessel license and authorisation lists?	
tracking of vessels		Unique Vessel Identification/	
request mandatory for vessels you		Global Record	
se from? u committed to buy m vessels that publicly	••	Do you require mandatory IMO numbers for the eligible vessels you purchase from?	
essel tracking data?		Have you committed to buy only from vessels that have	
request AIS be ted by the flag State of sels you purchase from		obtained an IMO number (where eligible)?	
courage the release of ta?		Do you request flag and coastal States of the vessels you purchase from share	
have tuna sourcing ments that include v sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environmental Sustainability

2

Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?"

Does your sourcing commitment include sourcing tuna on a pathway sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy on sourcing tuna from fisheries ÕÕ with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?

Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

Do you have a time-bound commitment for Fins Naturally Attached policy ñ requirements?

Social Responsibility

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Socially Responsible Seafood **Supply Chains**

Have you assessed human rights risks in your supply chains at processor and vessel level?

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress

Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?

indicators

Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?

Are you reporting on the management of human rights risks publicly, including remediation actions taken?

ILO188

Do you request that your supply chains implement

ILO 188? Do you advocate the 2 countries you source from consider ratifying ILO 188? **Cape Town Agreement** Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radiocommunication equipment as required by the Agreement? Do you know if the vessels you source from meet the requirements of the CTA? Do you request that the

standards that align with

countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

Observer Safety

Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Have you adopted an aspirational timeline for enhanced observer safety?	



Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant 🛛 🖸 identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant I dentifiers (e.g. CTIN) for at least 50% of tuna products handled by value?

Are you using GDST compliant 🔲 🗹 🕴 State measures in: identifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

Manaping guaphy chaine
 Mapping supply chains

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

tracking data? Authorised Vessel lists

Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and

coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environme	enta	l Sustainability		Social Responsibility				
Sourcing Policy	Y/N	FAD Management	Wholly No	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?		
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		requirement that incorporates GTA's position on better FAD Management?	Perly NA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Startad but not completed	Do you advocate the countries you source from consider ratifying ILO 188?		
Does your sourcing commitment include sourcing tuna from fisheries		Fins Naturally Attached (FNA) Have you committed to buy tuna only from vessels that		Have you assessed human rights risks from recruitment at processor and vessel level?	Ver No Started but ret completed	Cape Town Agreement		
with a GSSI-recognized certification?"		adhere to a Fins Naturally Attached policy?		Socially Responsible Seafood Supply Chains - Have you		chains implement standards that align with the CTA standards, including requiring		
Does your sourcing commitment include sourcing tuna on a pathway	NA NA	Do you have a time-bound commitment for Fins Naturally Attached policy		publicly committed to the Employer Pays Principle?	Vac No	that fishing vessels have valid International Fishing Vessel Safety Certificate		
to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?		requirements?		Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Processor U Vaccal	issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?		
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to	Proceedant Vierseel	Do you know if the vessels you source from meet the requirements of the CTA?		
Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?				address human rights risks at processor and vessel level?		Do you request that the		
Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Viet No.			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?		
Do you request your suppliers				Are you reporting on the		Observer Safety		
verifiably engage with RFMO delegates?				management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?		
				IL0188		Have you adopted an aspirational timeline for		
				Do you request that your supply chains implement		enhanced observer safety?		

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				
Asked suppliers to engage with RFMO				
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)	x			x
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
Attended an RFMO meeting (Commission or sub-group)				

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Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment 2 Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

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Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

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Are you using GDST compliant I dentifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party 🛛 🗖 solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

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Do you work with your suppliers to improve the implementation of port

market?

State measures in:		tracking data?	
- Mapping supply chains		Authorised Vessel lists	
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?	
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they	
- Revising seafood sourcing requirements to include PSM		fish? Do you have tuna sourcing	
- None of the above		requirements that include publicly sharing vessel license and authorisation lists?	
Public tracking of vessels	-		
Do you request mandatory AIS use for vessels you		Unique Vessel Identification/ Global Record	
purchase from?		Do you require mandatory IMO numbers for the eligible	
Have you committed to buy only from vessels that publicly		vessels you purchase from?	
share vessel tracking data?		Have you committed to buy only from vessels that have	
Do you request AIS be mandated by the flag State of		obtained an IMO number (where eligible)?	
the vessels you purchase from and encourage the release of VMS data?		Do you request flag and coastal States of the vessels	
Do you have tuna sourcing requirements that include publicly sharing vessel		you purchase from share vessel IMO numbers with the FAO Clobal Record of Fishing Vessels?	

Environmental Sustainability

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Sourcing Policy

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Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

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FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?

Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

Do you have a time-bound commitment for Fins Naturally Attached policy ñ requirements?

Social Responsibility

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Socially Responsible Seafood **Supply Chains**

Have you assessed human rights risks in your supply chains at processor and vessel level?

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress

Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?

Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?

Are you reporting on the management of human rights risks publicly, including remediation actions taken?

ILO188

indicators

Do you request that your supply chains implement

ILO 188? Do you advocate the countries you source from consider ratifying ILO 188? **Cape Town Agreement** Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radiocommunication equipment as required by the Agreement? Do you know if the vessels you source from meet the requirements of the CTA?

standards that align with

Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

Observer Safety

Do you have a tuna sourcing requirement for tuna for enhanced observer safety? Have you adopted an aspirational timeline for enhanced observer safety?



Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

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Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier ŏŏ assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

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Are you using GDST compliant 🛛 🗖 🕴 State measures in: identifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

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Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

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÷	- Mapping supply chains

to join

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

tracking data? Authorised Vessel lists

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Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number 20 (where eligible)? Do you request flag and

coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environmental Sustainability				Social Responsibility				
	Sourcing Policy Have you made a public tuna (or wider seafood) sourcing commitment that sets out	Y/N ☑ 🗖	FAD Management Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD	Wholly No Derly NA	Socially Responsible Seafood Supply Chains Have you assessed human rights risks in your supply	Ves No Started but not completed	standards that align with ILO 188? Do you advocate the countries you source from	
	your sustainability requirements? Does your sourcing commitment include		Management? Fins Naturally Attached (FNA) Have you committed to buy		chains at processor and vessel level? Have you assessed human rights risks from recruitment	Ves No Ves Do Started but rot completed	consider ratifying ILO 188? Cape Town Agreement	
	sourcing tuna from fisheries with a GSSI-recognized certification?" Does your sourcing		tuna only from vessels that adhere to a Fins Naturally Attached policy? Do you have a time-bound		at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you publicly committed to the		Do you request that supply chains implement standards that align with the CTA standards, including requiring	
	commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?		commitment for Fins Naturally Attached policy requirements?		Employer Pays Principle? Have you developed a plan which prioritises and addresses human rights risks at processor/vesse level which includes measurable progress indicators	Ver No December Versel	that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
	Harvest Strategies Do you have a corporate policy				Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at	Vec No Processor Versal	Do you know if the vessels you source from meet the requirements of the CTA?	
	on sourcing tuna from fisheries with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Viet No We den't how a policy 			processor and vessel level? Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?	00	Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety	
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					ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	00

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				x
Asked suppliers to engage with RFMO				x
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
Attended an RFMO meeting (Commission or sub-group)				

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Global Dialogue on Seafood Traceability (GDST)

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neasures in:		tracking data?	
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cking whether ports States that have d the PSMA and, if not, ating for those States	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
cking whether States officially designated for landing and hipment by n-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?	
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sing seafood ing requirements lude PSM		fish? Do you have tuna sourcing requirements that include	
e of the above		publicly sharing vessel license and authorisation lists?	
tracking of vessels			
request mandatory for vessels you		Unique Vessel Identification/ Global Record	
ou committed to buy		IMO numbers for the eligible vessels you purchase from?	
essel tracking data?	1	Have you committed to buy only from vessels that have	
racking of vessels equest mandatory for vessels you e from? u committed to buy m vessels that publicly ssel tracking data? equest AIS be ed by the flag State of els you purchase from		obtained an IMO number (where eligible)?	
States that have It the PSMA and, if not, ating for those States if the PSMA and, if not, ating for those States if clailly designated for landing and hipment by n-flagged vessels iring about the mentation of port measures during sits sing seafood ng requirements ude PSM a of the above tracking of vessels request mandatory for vessels you the from? u committed to buy m vessels that publicly ssel tracking data? request AIS be ed by the flag State of els you purchase from yourage the release of ta?		Do you request flag and coastal States of the vessels you purchase from share	
have tuna sourcing ments that include / sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Environmental Sustainability

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Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

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Social Responsibility

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Socially Responsible Seafood **Supply Chains**

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Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

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Observer Safety

Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Have you adopted an aspirational timeline for enhanced observer safety?	

TUNA ALLIAN CR	GTA 2022 Individual Progress Results						
	Signatory Reference Number:	19					
		ΙΟΤΟ ΙΑΤΤΟ	ICCAT WCPFC				
	Written to delegates	x	x				
	Asked suppliers to engage with RFMO						
	Asked representative bodies (i.e. BRC) to engage with RFMO						
	Utilised social media post (either your own or shared GTA posts)	x					
	Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)						
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		i					

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Are you using GDST compliant 🛛 🖸 identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using GDST compliant 🔲 🖬 🕴 State measures in: identifiers (e.g. GTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

- Mapping supply chains

to join

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

tracking data? Authorised Vessel lists

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Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number 2 (where eligible)? Do you request flag and

coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environme	Sustainability	Social Responsibility					
Sourcing Policy	Y/N	FAD Management	Wholly No.	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Derly Nik	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing		Fins Naturally Attached (FNA)	,	Have you assessed human		Cape Town Agreement	
commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		rights risks from recruitment at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you	Started but not completed	Do you request that supply chains implement standards that align with the CTA standards, including requiring	
Does your sourcing commitment include sourcing tuna on a pathway		Do you have a time-bound commitment for Fins Naturally Attached policy		publicly committed to the Employer Pays Principle?	Vac No	that fishing vessels have valid International Fishing	
to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?		requirements?		Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vec No	Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to	Vec No Processor Vecsel	Do you know if the vessels you source from meet the requirements of the CTA?	
Do you have a corporate policy on sourcing tuna from fisheries				address human rights risks at processor and vessel level?	•		
with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Yes No We don't hose a policy			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
	_			1		Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				x
Asked suppliers to engage with RFMO		x		
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
Attended an RFMO meeting (Commission or sub-group)				

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment 2 Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

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Are you using CDST compliant I dentifiers (e.g. CTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party 🛛 🗖 solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

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Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

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risk of IUU catch entering the

Do you work with your suppliers to improve the implementation of port

market?

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measures in:		tracking data?		
apping supply chains		Authorised Vessel lists		
necking whether ports in States that have ned the PSMA and, if not, ocating for those States pin		Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?		
ecking whether States e officially designated ts for landing and isshipment by ign-flagged vessels		Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?		
quiring about the elementation of port te measures during t visits		Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they		
wising seafood rcing requirements nclude PSM		fish? Do you have tuna sourcing		
one of the above	•	requirements that include publicly sharing vessel license and authorisation lists?		
c tracking of vessels		Unique Vessel Identification/		
ou request mandatory se for vessels you		Global Record		
nase from? you committed to buy rom vessels that publicly		Do you require mandatory IMO numbers for the eligible vessels you purchase from?		
vessel tracking data?		Have you committed to buy		
u request AIS be dated by the flag State of		only from vessels that have obtained an IMO number (where eligible)?		
essels you purchase from encourage the release of data?		Do you request flag and coastal States of the vessels you purchase from share		
u have tuna sourcing rements that include cly sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?		

Environmental Sustainability

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Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?"

Does your sourcing commitment include sourcing tuna on a pathway sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy on sourcing tuna from fisheries Ŭ 🖸 with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?

Fins Naturally Attached (FNA)

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?

Do you have a time-bound commitment for Fins Naturally Attached policy ñ requirements?

Social Responsibility

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Socially Responsible Seafood **Supply Chains**

Have you assessed human rights risks in your supply chains at processor and vessel level?

Have you assessed human rights risks from recruitment at processor and vessel level?

Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?

Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress

Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?

indicators

Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?

Are you reporting on the management of human rights risks publicly, including remediation actions taken?

ILO188

Do you request that your supply chains implement

standards that align with ILO 188? Do you advocate the 2 countries you source from consider ratifying ILO 188? **Cape Town Agreement** Do you request that supply chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radiocommunication equipment as required by the Agreement? Do you know if the vessels you source from meet the requirements of the CTA? Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?

Observer Safety

Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
Have you adopted an aspirational timeline for enhanced observer safety?	

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Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

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Have you made a public, time-bound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier ŏŏ assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

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Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

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Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements? 2

Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

- Mapping supply chains

- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits

- Revising seafood sourcing requirements to include PSM

- None of the above **Public tracking of vessels**

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

tracking data?

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Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists? Unique Vessel Identification/ Global Record Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of

Fishing Vessels?

Environm	ental	Sustainability		Socia	Res	ponsibility	
Sourcing Policy	Y/N	FAD Management	Whilly No	Socially Responsible Seafood Supply Chains		standards that align with	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partly Nik	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Started last not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing		Fins Naturally Attached (FNA))	Have you assessed human		Cape Town Agreement	
commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		rights risks from recruitment at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you	Started but not completed	Do you request that supply chains implement standards that align with the CTA standards, including requiring	
Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?	NA NA	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		publicly committed to the Employer Pays Principle? Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators		that fishing vessels have valid International Fishing Vessel Safety Certificate Issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to		Do you know if the vessels you source from meet the	
Do you have a corporate policy on sourcing tuna from fisheries				address human rights risks at processor and vessel level?		requirements of the CTA? Do you request that the	
with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Yes No Via den't Pana a poley			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
				1		Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				IL0188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				x
Asked suppliers to engage with RFMO				x
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				x
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				x
Attended an RFMO meeting (Commission or sub-group)				x

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment 2 Survey or comparable process with all of your tuna suppliers?

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Port States Measures Agreement (PSMA)

2

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned 2 with PSMA requirements?

> Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated

market? Do you work with your suppliers to improve the implementation of port

risk of IUU catch entering the

State measures in:	-	tracking data?	
- Mapping supply chains		Authorised Vessel lists	
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join		Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?	
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they	
 Revising seafood sourcing requirements to include PSM 		fish? Do you have tuna sourcing requirements that include	0
- None of the above		publicly sharing vessel license and authorisation lists?	
Public tracking of vessels			
Do you request mandatory AIS use for vessels you		Unique Vessel Identification/ Global Record	
purchase from?		Do you require mandatory	2
Have you committed to buy only from vessels that publicly		IMO numbers for the eligible vessels you purchase from?	
share vessel tracking data?		Have you committed to buy only from vessels that have	
Do you request AIS be mandated by the flag State of the vessels you purchase from		obtained an IMO number (where eligible)?	
and encourage the release of VMS data?		Do you request flag and coastal States of the vessels you purchase from share	
Do you have tuna sourcing requirements that include publicly sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Sourcing Policy FAD Management Have you made a public tuna 2 Do you have a tuna sourcing (or wider seafood) sourcing commitment that sets out your sustainability requirements? Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy? Does your sourcing Do you have a time-bound commitment include commitment for Fins õ sourcing tuna on a pathway to sustainability, such as in full-assessment or in a Naturally Attached policy requirements? credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification? **Harvest Strategies** Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies? Does your corporate policy

on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed? Do you request your suppliers verifiably engage with RFMO delegates?

certification?

requirement that incorporates GTA's position on better FAD Management? **Fins Naturally Attached (FNA)**

Social Responsibility

Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Startad but rot completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Have you assessed human rights risks from recruitment at processor and vessel level?	Ver Nes	Cape Town Agreement	
Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	00	chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing	
Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vac No Processor Vessal	Vessel Safety Certificate issued under the provisions of the Agreement. and safety, navigational an radio- communication equipment as required by the Agreement?	
Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Vac No Drocestor Vacad	Do you know if the vessels you source from meet the requirements of the CTA?	
Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
A		Observer Safety	
Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	



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Y/N	Are you using GDST compliant	
	identifiers (e.g. GTIN) for at	
	least 80% of tuna products	
Image: Construction of the second	handled by value?	

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable CDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage? Port States Measures

Agreement (PSMA)

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 Mapping supply chains
 Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to Join

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

 Inquiring about the implementation of port State measures during port visits
 Revising seafood sourcing requirements to include PSM

- None of the above
Public tracking of vessels

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data? Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of

VMS data? Do you have tuna sourcing

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Unique Vessel Identification/ Global Record

Do you require mandatory

IMO numbers for the eligible vessels you purchase from?

Have you committed to buy only from vessels that have tracking data?

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obtained an IMO number (where eligible)? Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environm	enta	l Sustainability		Social	Res	ponsibility	
Sourcing Policy	Y/N	FAD Management	Whilly No	Socially Responsible Seafood Supply Chains		standards that align with	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Perly NiA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Vec No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Fins Naturally Attached (FNA) Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		Have you assessed human rights risks from recruitment at processor and vessel level? Socially Responsible Seafood	Ver Ne Complement Complement	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA	
Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?	V DA	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		Supply Chains - Have you publicly committed to the Employer Pays Principle? Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vac No Processor Vacant Vacant	standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at	Visit ND Desception Visit No.	Do you know if the vessels you source from meet the requirements of the CTA?	
on sourcing tuna from fisheries with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Test No Wie don't how a policy			processor and vessel level? Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

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x	x	x	x

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Socially Resp Supply Chair

Global Dialogue on Seafood Traceability (GDST)

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Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant identifiers (e.g. CTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant Identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using CDST compliant Identifiers (e.g. CTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you conduct risk

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

assessments for ports where

product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

State measures in:	-	tracking data?	
- Mapping supply chains		Authorised Vessel lists	
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?	
- Inquiring about the implementation of port State measures during port visits		Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel	
- Revising seafood sourcing requirements to include PSM	•	List of the RFMO where they fish? Do you have tuna sourcing	
- None of the above		requirements that include publicly sharing vessel license and authorisation lists?	
Public tracking of vessels		Unique Vessel Identification/	
Do you request mandatory AIS use for vessels you		Global Record	
purchase from?		Do you require mandatory IMO numbers for the eligible	
Have you committed to buy only from vessels that publicly		vessels you purchase from?	
share vessel tracking data?		Have you committed to buy only from vessels that have	
Do you request AIS be mandated by the flag State of the vessels you purchase from		obtained an IMO number (where eligible)?	
and encourage the release of VMS data?		Do you request flag and coastal States of the vessels	
Do you have tuna sourcing requirements that include publicly sharing vessel		you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Sourcing Policy FAD Management Have you made a public tuna 2 Do you have a tuna sourcing (or wider seafood) sourcing commitment that sets out your sustainability requirements? Does your sourcing Have you committed to buy tuna only from vessels that adhere to a Fins Naturally commitment include sourcing tuna from fisheries with a GSSI-recognized certification? Attached policy? Does your sourcing Do you have a time-bound commitment include commitment for Fins õ sourcing tuna on a pathway to sustainability, such as in full-assessment or in a Naturally Attached policy requirements? credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification? **Harvest Strategies** Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy ÖÖ on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

requirement that incorporates GTA's position on better FAD Management? **Fins Naturally Attached (FNA)**

Social Responsibility

Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you assessed human rights risks in your supply chains at processor and vessel level?	Vies No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Have you assessed human rights risks from recruitment at processor and vessel level?	Ves No Started but ret completed	Cape Town Agreement Do you request that supply	
Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?		chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing	
Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vec No Processor Vector	Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Vec No Processor Vecal	Do you know if the vessels you source from meet the requirements of the CTA?	
Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety	
Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
ILO188		Have you adopted an	2
Do you request that your supply chains implement		aspirational timeline for enhanced observer safety?	



Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST I Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the sensement Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant I continue of the test of test of

Are you using CDST compliant identifiers (e.g. CTIN) for at least 50% of tuna products handled by value?

N	Are you using GDST compliant	
	identifiers (e.g. GTIN) for at	
	least 80% of tuna products	
	handled by value?	

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable CDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

 Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

> Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port State measures in:

 Mapping supply chains
 Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to Join
 Checking whether States have officially designated ports for landing and

ports for landing and transshipment by foreign-flagged vessels

 Inquiring about the implementation of port State measures during port visits
 Revising seafood sourcing requirements to include PSM
 None of the above

Public tracking of vessels

Do you request mandatory AIS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data?

share vessel tracking data? Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they

tracking data?

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List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?

Unique Vessel Identification/ Global Record

Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?

Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environme	l Sustainability		Socia	Res	ponsibility			
Sourcing Policy	Y/N	FAD Management	Whally No	Socially Responsible Seafood Supply Chains	-	standards that align with ILO 188?		
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Perly NA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?		
Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Fins Naturally Attached (FNA) Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		Have you assessed human rights risks from recruitment at processor and vessel level? Socially Responsible Seafood	Ver Ne	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA		
Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized	V D	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		Supply Chains - Have you publicly committed to the Employer Pays Principle? Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vec No Processor Vecsal	standards, including requiring that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?		
certification? Harvest Strategies Do you have a corporate policy				Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at	Vec No Processor Varsal	Do you know if the vessels you source from meet the requirements of the CTA?		
on sourcing tuna from fisheries with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Vilia No We den't Nove a policy 			processor and vessel level? Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety		
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?		
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?		

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				
Asked suppliers to engage with RFMO				
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
Attended an RFMO meeting (Commission or sub-group)				

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Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards. including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

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Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

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Are you using CDST compliant I dentifiers (e.g. CTIN) for at least 80% of tuna products handled by value?

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Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

> Do you conduct risk assessments for ports where

product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

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measures in:		tracking data?			
apping supply chains		Authorised Vessel lists			
necking whether ports In States that have ned the PSMA and, if not, ocating for those States pin	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?			
necking whether States e officially designated ts for landing and hsshipment by eign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?			
quiring about the plementation of port te measures during t visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they			
evising seafood rcing requirements nclude PSM	•	fish? Do you have tuna sourcing			
one of the above	۵	 requirements that include publicly sharing vessel license and authorisation lists? 			
ic tracking of vessels		Unique Vessel Identification/			
ou request mandatory se for vessels you		Global Record			
nase from? you committed to buy		Do you require mandatory IMO numbers for the eligible vessels you purchase from?			
from vessels that publicly vessel tracking data?		Have you committed to buy only from vessels that have			
ou request AIS be dated by the flag State of essels you purchase from		obtained an IMO number (where eligible)?			
data?		Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with			
rements that include cly sharing vessel		the FAO Global Record of Fishing Vessels?			

Sourcing Policy FAD Management Have you made a public tuna

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(or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?

Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy ÖÖ on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates? Do you have a tuna sourcing GTA's position on better FAD Management? **Fins Naturally Attached (FNA)**

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy? Do you have a time-bound commitment for Fins

Naturally Attached policy requirements?

Social Responsibility

Socially Responsible Seafood Supply Chains standards that align with ILO 188? ŏ Ö Have you assessed human Do you advocate the rights risks in your supply chains at processor and vessel countries you source from consider ratifying ILO 188? level? Have you assessed human rights risks from recruitment at processor and vessel level? **Cape Town Agreement** Do you request that supply chains implement standards that align with the CTA Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle? 2 standards, including requiring that fishing vessels have valid International Fishing Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which Vessel Safety Certificate ŏ Ö issued under the provisions of the Agreement, and safety, navigational an radio includes measurable progress indicators communication equipment as required by the Agreement? ŏ Ö Can you demonstrate Do you know if the vessels measurable progress against your timebound plan(s) to address human rights risks at you source from meet the requirements of the CTA? processor and vessel level? Do you request that the Have you shared company policies on human rights countries you source tuna from consider ratifying the CTA to publicly, and with supply chain stakeholders, that ensure safety provisions are met for existing vessels? include a commitment to remediation? **Observer Safety** Are you reporting on the management of human rights risks publicly. including remediation actions taken? Do you have a tuna sourcing requirement for tuna for enhanced observer safety? **ILO188** Have you adopted an aspirational timeline for enhanced observer safety? Do you request that your supply chains implement



Global Dialogue on Seafood Traceability (CDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier 🛛 🗖 assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant 🛛 🗖 identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant I least 50% of tuna products handled by value?

Y/N	Are you using GDST compliant	
	identifiers (e.g. GTIN) for at	
	least 80% of tuna products	
	handled by value?	

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable CDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage? Port States Measures

Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

Do you conduct risk assessments for ports where to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

🗹 🗖 🕴 State measures in: - Mapping supply chains - Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join - Checking whether States have officially designated ports for landing and

transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits - Revising seafood sourcing requirements to include PSM - None of the above

Public tracking of vessels

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data? Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

Unique Vessel Identification/ Global Record 0 Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?

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tracking data?

Authorised Vessel lists

you purchase from?

Do you request flag and

Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels

coastal States of the vessels you

purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?

Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they

Do you have tuna sourcing

requirements that include

publicly sharing vessel license and authorisation lists?

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Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

GTA PROGRESS REPORT 2022

Environm	Sustainability	Social Responsibility					
Sourcing Policy Have you made a public tuna	Y/N	FAD Management	whally No	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
(or wider seafood) sourcing commitment that sets out your sustainability requirements?		requirement that incorporates GTA's position on better FAD Management?	Partly NA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Startad but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing		Fins Naturally Attached (FNA))	Have you assessed human	ŏŏ	Cape Town Agreement	
commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		rights risks from recruitment at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you	Stantiad but not completized	Do you request that supply chains implement standards that align with the CTA standards, including requiring	
Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?	NA NA	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		publicly committed to the Employer Pays Principle? Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vice No December Vice No	that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to	Vec No Processor Vecal	Do you know if the vessels you source from meet the requirements of the CTA?	
Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?				address human rights risks at processor and vessel level?		Do you request that the	
Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Yiki No Wie den't Prine a polety			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Do you request your suppliers verifiably engage with RFMO delegates?	00			Are you reporting on the management of human rights risks publicly, including remediation actions taken?	00	Observer Safety Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				IL0188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				x
Asked suppliers to engage with RFMO				x
Asked representative bodies (i.e. BRC) to engage with RFMO				x
Utilised social media post (either your own or shared GTA posts)				x
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				х
Attended an RFMO meeting (Commission or sub-group)				x

level?

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Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

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Have you engaged a 3rd party 🛛 🗖 solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

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Do you work with your suppliers to improve the implementation of port

market?

risk of IUU catch entering the

State measures in:		tracking data?	
State measures m:		tracking data?	
- Mapping supply chains		Authorised Vessel lists	
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	Ø	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?	
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they	
- Revising seafood sourcing requirements to include PSM	•	fish? Do you have tuna sourcing	
- None of the above		requirements that include publicly sharing vessel license and authorisation lists?	
Public tracking of vessels		and aution sation hats.	
Do you request mandatory AIS use for vessels you		Unique Vessel Identification/ Global Record	
purchase from?		Do you require mandatory	V .
Have you committed to buy only from vessels that publicly		IMO numbers for the eligible vessels you purchase from?	
share vessel tracking data?		Have you committed to buy	
Do you request AIS be mandated by the flag State of		only from vessels that have obtained an IMO number (where eligible)?	
the vessels you purchase from and encourage the release of VMS data?		Do you request flag and coastal States of the vessels	
Do you have tuna sourcing requirements that include publicly sharing vessel		you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

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Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

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FAD Management

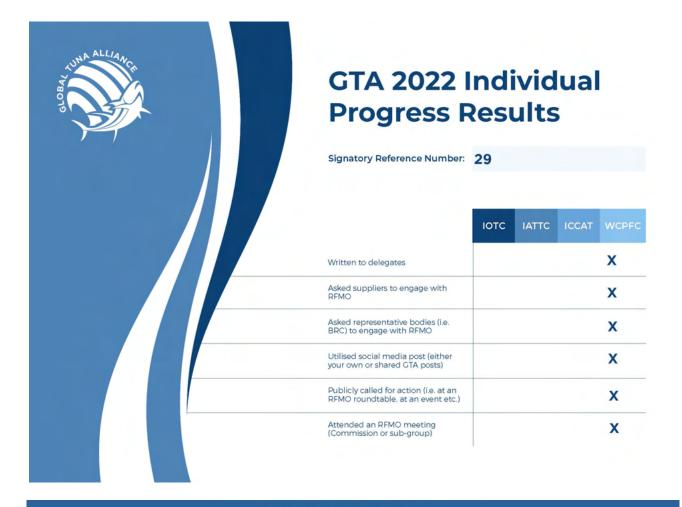
Do you have a tuna sourcing GTA's position on better FAD Management? **Fins Naturally Attached (FNA)**

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy? Do you have a time-bound

commitment for Fins Naturally Attached policy requirements?

Social Responsibility

Socially Responsible Seafood Supply Chains standards that align with ILO 188? 2 Have you assessed human Do you advocate the rights risks in your supply chains at processor and vessel countries you source from consider ratifying ILO 188? Have you assessed human rights risks from recruitment at processor and vessel level? **Cape Town Agreement** 0 Do you request that supply chains implement standards that align with the CTA Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle? standards, including requiring that fishing vessels have valid International Fishing Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which Vessel Safety Certificate Č 🗖 issued under the provisions of the Agreement, and safety, navigational an radio includes measurable progress indicators communication equipment as required by the Agreement? ž Ö Can you demonstrate Do you know if the vessels measurable progress against your timebound plan(s) to address human rights risks at you source from meet the requirements of the CTA? processor and vessel level? Do you request that the Have you shared company policies on human rights countries you source tuna from consider ratifying the CTA to publicly, and with supply chain stakeholders, that ensure safety provisions are met for existing vessels? include a commitment to remediation? **Observer Safety** Are you reporting on the management of human rights risks publicly. including remediation actions taken? Do you have a tuna sourcing requirement for tuna for enhanced observer safety? **ILO188** Have you adopted an aspirational timeline for enhanced observer safety?

Do you request that your supply chains implement 

Global Dialogue on Seafood Traceability (CDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the CDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

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Y/N	Are you using GDST compliant	
	identifiers (e.g. GTIN) for at	
	least 80% of tuna products	
	handled by value?	

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable CDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage? Port States Measures

Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of III catch proteing the risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

State measures in:
- Mapping supply chains
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join
- Checking whether States have officially designated ports for landing and

transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits - Revising seafood sourcing requirements to include PSM - None of the above

Public tracking of vessels

Do you request mandatory AIS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?

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tracking data?

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fish?

Do you request flag and 🗹 🗖 coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they

Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?

Unique Vessel Identification/ Global Record

Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and

coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environmental Sustainability					Social Responsibility			
	ourcing Policy ave you made a public tuna	Y/N	FAD Management	Whally 10-	Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
(or col you	r wider seafood) sourcing mmitment that sets out ur sustainability quirements?		requirement that incorporates GTA's position on better FAD Management?	Derty Nik	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Do	bes your sourcing		Fins Naturally Attached (FNA)		Have you assessed human	Ver No	Cape Town Agreement	
sou	mmitment include urcing tuna from fisheries th a GSSI-recognized rtification?	_	Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?			Started but ret completed	Do you request that supply chains implement standards that align with the CTA	
COL	bes your sourcing Immitment include urcing tuna on a pathway		Do you have a time-bound commitment for Fins Naturally Attached policy		publicly committed to the Employer Pays Principle?		standards, including requiring that fishing vessels have valid International Fishing	
ful cre Fis wh yet	sustalnability, such as in II-assessment or in a edible and comprehensive shery Improvement Project hen the fishery has not t met a GSSI-recognized triffication?		requirements?		Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vec No	Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
На	arvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to	Vier No Discontiguer	Do you know if the vessels you source from meet the	
on	you have a corporate policy sourcing tuna from fisheries th harvest strategies?				address human rights risks at processor and vessel level?		requirements of the CTA? Do you request that the	
Do on wit a t	bes your corporate policy a sourcing tuna from fisheries th harvest strategies include time-bound commitment here sourcing is reviewed?	Vies No Wie den't Note a policy			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
		_			:		Observer Safety	
ver	o you request your suppliers rifiably engage with RFMO elegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
					ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	00

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				
Asked suppliers to engage with RFMO				x
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
Attended an RFMO meeting (Commission or sub-group)				

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant Identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using CDST compliant I Sta identifiers (e.g. CTIN) for at least 80% of tuna products - 1 handled by value?

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

Do you conduct risk assessments for ports where

product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

State measures in:	-	tracking data?			
- Mapping supply chains		Authorised Vessel lists			
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?			
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?			
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel			
- Revising seafood sourcing requirements to include PSM	•	List of the RFMO where they fish? Do you have tuna sourcing			
- None of the above	•	requirements that include publicly sharing vessel license and authorisation lists?			
Public tracking of vessels	-	Unique Vessel Identification/			
Do you request mandatory AIS use for vessels you		Global Record			
purchase from?		Do you require mandatory IMO numbers for the eligible			
Have you committed to buy only from vessels that publicly		vessels you purchase from?			
share vessel tracking data? Do you request AIS be mandated by the flag State of the vessels you purchase from	00	Have you committed to buy only from vessels that have obtained an IMO number (where eligible)?			
and encourage the release of VMS data?		Do you request flag and coastal States of the vessels you purchase from share vessel IMO numbers with			
Do you have tuna sourcing requirements that include publicly sharing vessel		the FAO Global Record of Fishing Vessels?			

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Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?

Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy ÖÖ on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management? **Fins Naturally Attached (FNA)** Have you committed to buy tuna only from vessels that adhere to a Fins Naturally

Attached policy? Do you have a time-bound commitment for Fins õ

Naturally Attached policy requirements?

Social Responsibility

Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you assessed human rights risks in your supply chains at processor and vessel level?	Started but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Have you assessed human rights risks from recruitment at processor and vessel level?	Ver No Startad but ret completad	Cape Town Agreement	
Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?		chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing	
Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Van Ne Processor Versul	Vessel Safety Certificate issued under the provisions of the Agreement. and safety, navigational an radio- communication equipment as required by the Agreement?	
Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Vac No Processor Versul	Do you know if the vessels you source from meet the requirements of the CTA?	
Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
		Observer Safety	
Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

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Global Dialogue on Seafood Traceability (CDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant 🛛 🖸 identifiers (e.g. GTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Y/N	Are you using GDST compliant	
	identifiers (e.g. GTIN) for at	
	least 80% of tuna products	
I	handled by value?	

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable CDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage? Port States Measures

2 Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned with PSMA requirements?

Do you conduct risk assessments for ports where to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

State measures in:	
- Mapping supply chains	
- Checking whether ports are in States that have signed the PSMA and, if no advocating for those States to join	
 Checking whether States have officially designated ports for landing and 	

transshipment by foreign-flagged vessels

- Inquiring about the implementation of port State measures during port visits - Revising seafood sourcing requirements to include PSM - None of the above

Public tracking of vessels

Do you request mandatory AIS use for vessels you purchase from?

Have you committed to buy only from vessels that publicly share vessel tracking data? Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and 🗹 🗖 coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?

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tracking data?

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Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?

Unique Vessel Identification/ Global Record

Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and

coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environm	ental	Sustainability		Social	Res	ponsibility	
Sourcing Policy	Y/N	FAD Management	Whilly No.	Socially Responsible Seafood Supply Chains		standards that align with	
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?		Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management?	Partiy NiA	Have you assessed human rights risks in your supply chains at processor and vessel level?	Ver No Startad but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized		Fins Naturally Attached (FNA) Have you committed to buy tuna only from vessels that adhere to a Fins Naturally		Have you assessed human rights risks from recruitment at processor and vessel level?	Ves No Started but ret completed	Cape Town Agreement Do you request that supply chains implement standards	
certification?" Does your sourcing commitment include		Attached policy? Do you have a time-bound commitment for Fins		Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?		that align with the CTA standards, including requiring that fishing vessels have	
sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a CSSI-recognized certification?		Naturally Attached policy requirements?		Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vac No Processor Vessel Usesel	valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to	Vac No Processor	Do you know if the vessels you source from meet the	
Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?				address human rights risks at processor and vessel level?	•	requirements of the CTA? Do you request that the	
Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Yes No We don't Price a policy			Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?	
Do you request your suppliers verifiably engage with RFMO				Are you reporting on the management of human		Observer Safety Do you have a tuna sourcing	
delegates?				rights risks publicly, including remediation actions taken?		requirement for tuna for enhanced observer safety?	
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				
Asked suppliers to engage with RFMO			x	X
Asked representative bodies (i.e. BRC) to engage with RFMO				
Utilised social media post (either your own or shared GTA posts)				
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
Attended an RFMO meeting (Commission or sub-group)				X

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the GDST Supplier Assessment Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant identifiers (e.g. CTIN) for at least 30% of tuna products handled by value?

Are you using GDST compliant Identifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using CDST compliant Identifiers (e.g. CTIN) for at least 80% of tuna products handled by value?

Have you engaged a 3rd party 🛛 🗖 solution provider or made any in house traceability system adaptations to enable GDST compliant traceability?

100% Observer Coverage/ **Electronic Monitoring**

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned 2 with PSMA requirements?

> Do you conduct risk assessments for ports where

product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port

- March - Article		and the second	
State measures in:		tracking data?	
- Mapping supply chains		Authorised Vessel lists	
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels?	
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they	
- Revising seafood sourcing requirements to include PSM	•	fish? Do you have tuna sourcing requirements that include	
- None of the above		publicly sharing vessel license and authorisation lists?	
Public tracking of vessels			
Do you request mandatory AIS use for vessels you		Unique Vessel Identification/ Global Record	
purchase from?		Do you require mandatory IMO numbers for the eligible vessels you purchase from?	
Have you committed to buy only from vessels that publicly share vessel tracking data?		Have you committed to buy	
Do you request AIS be mandated by the flag State of the vessels you purchase from		only from vessels that have obtained an IMO number (where eligible)?	
and encourage the release of VMS data?		Do you request flag and coastal States of the vessels you purchase from share	
Do you have tuna sourcing requirements that include publicly sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

Sourcing Policy FAD Management Have you made a public tuna 2 Do you have a tuna sourcing (or wider seafood) sourcing commitment that sets out your sustainability requirements? Does your sourcing Have you committed to buy tuna only from vessels that adhere to a Fins Naturally commitment include sourcing tuna from fisheries with a GSSI-recognized Attached policy? Does your sourcing Do you have a time-bound commitment include commitment for Fins õ sourcing tuna on a pathway to sustainability, such as in full-assessment or in a Naturally Attached policy requirements? credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification? **Harvest Strategies** Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?

certification?

Do you request your suppliers verifiably engage with RFMO delegates?

requirement that incorporates GTA's position on better FAD Management? **Fins Naturally Attached (FNA)**

Social Responsibility

Socially Responsible Seafood Supply Chains		standards that align with ILO 188?	
Have you assessed human rights risks in your supply chains at processor and vessel level?	Startad but not completed	Do you advocate the countries you source from consider ratifying ILO 188?	
Have you assessed human rights risks from recruitment at processor and vessel level?	Startied but ret	Cape Town Agreement	
Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?	00	chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing	
Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Ver No Processor Versal	Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Ver No Discettor Versal	Do you know if the vessels you source from meet the requirements of the CTA?	
Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety	
Are you reporting on the management of human rights risks publicly. including remediation actions taken?	00	Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	2

Environm	enta	l Sustainability		Social	Res	ponsibility	
Sourcing Policy Have you made a public tuna (or wider seafood) sourcing	Y/N ☑ □	FAD Management Do you have a tuna sourcing requirement that incorporates	Whally No Derly Nik	Socially Responsible Seafood Supply Chains Have you assessed human	ŏŏ	standards that align with ILO 188? Do you advocate the	
commitment that sets out your sustainability requirements?		GTA's position on better FAD Management? Fins Naturally Attached (FNA)		rights risks in your supply chains at processor and vessel level?	Startad but not completed	countries you source from consider ratifying ILO 188?	
Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?		Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?		Have you assessed human rights risks from recruitment at processor and vessel level? Socially Responsible Seafood Supply Chains - Have you	Vec Ne December Conceptual	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA standards, including requiring	
Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?	NA NA	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		publicly committed to the Employer Pays Principle? Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vec No December Usered Vec No	that fishing vessels have valid International Fishing Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies				Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at	Vac No Processor Vacal	Do you know if the vessels you source from meet the requirements of the CTA?	
on sourcing tuna from fisheries with harvest strategies? Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?	Test No We start policy D			processor and vessel level? Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?	00	Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?				Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
				ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

	ютс	IATTC	ICCAT	WCPFC
Written to delegates				
Asked suppliers to engage with RFMO				x
Asked representative bodies (i.e. BRC) to engage with RFMO				x
Utilised social media post (either your own or shared GTA posts)				x
Publicly called for action (i.e. at an RFMO roundtable, at an event etc.)				
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Global Dialogue on Seafood Traceability (GDST)

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Are you using GDST compliant I dentifiers (e.g. GTIN) for at least 50% of tuna products handled by value?

Are you using CDST compliant I dentifiers (e.g. CTIN) for at least 80% of tuna products handled by value?

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Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage?

Port States Measures Agreement (PSMA)

Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port State Measures (PSMs) aligned 2 with PSMA requirements?

> Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the

Do you work with your suppliers to improve the implementation of port

market?

State measures in:		tracking data?	
- Mapping supply chains		Authorised Vessel lists	
- Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join	•	Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from?	
- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels	•	Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Global Record of Fishing Vessels?	
- Inquiring about the implementation of port State measures during port visits	•	Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they	
- Revising seafood sourcing requirements to include PSM		fish? Do you have tuna sourcing	
- None of the above		requirements that include publicly sharing vessel license and authorisation lists?	
Public tracking of vessels	-		
Do you request mandatory		Unique Vessel Identification/ Global Record	
AIS use for vessels you purchase from?	1	Do you require mandatory	2
Have you committed to buy only from vessels that publicly		IMO numbers for the eligible vessels you purchase from?	
share vessel tracking data?	1	Have you committed to buy	
Do you request AIS be mandated by the flag State of the vessels you purchase from		only from vessels that have obtained an IMO number (where eligible)?	
and encourage the release of VMS data?		Do you request flag and coastal States of the vessels you purchase from share	
Do you have tuna sourcing requirements that include publicly sharing vessel		vessel IMO numbers with the FAO Global Record of Fishing Vessels?	

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Sourcing Policy

Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability requirements?

Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?

Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?

Harvest Strategies

Do you have a corporate policy on sourcing tuna from fisheries with harvest strategies?

Does your corporate policy on sourcing tuna from fisheries with harvest strategies include a time-bound commitment where sourcing is reviewed?

Do you request your suppliers verifiably engage with RFMO delegates?

FAD Management

Do you have a tuna sourcing requirement that incorporates GTA's position on better FAD Management? **Fins Naturally Attached (FNA)**

Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy? Do you have a time-bound 2

commitment for Fins Õ Naturally Attached policy requirements?

Social Responsibility

Socially Responsible Seafood Supply Chains		standards that align with ILO 188?
Have you assessed human rights risks in your supply chains at processor and vessel level?	Ves No Startad but not completed	Do you advocate the countries you source from consider ratifying ILO 188?
Have you assessed human rights risks from recruitment at processor and vessel level?	Ver No Stanlad but ret completial	Cape Town Agreement Do you request that supply
Socially Responsible Seafood Supply Chains - Have you publicly committed to the Employer Pays Principle?		chains implement standards that align with the CTA standards, including requiring that fishing vessels have valid International Fishing
Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Veralle Processor Versaal	Vessel Safety Certificate issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?
Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Vec 10 December Vector Vector	Do you know if the vessels you source from meet the requirements of the CTA?
Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels?
		Observer Safety
Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?

reme **ILO188**

Do you request that your supply chains implement 

Global Dialogue on Seafood Traceability (GDST)

Have you endorsed the GDST Standards?

Have you made a public, timebound commitment to meet or exceed GDST standards, including use of the minimum Key Data Elements (KDEs)?

Have you completed a supplier assessment review through the sensement Survey or comparable process with all of your tuna suppliers?

Have you communicated expectations of GDST adherent traceability to your tuna suppliers? (e.g. making the GDST a requirement in your sourcing policies)

Have you prepared a traceability implementation plan based on a review of your internal processes and a supplier assessment?

Are you capturing all GDST KDEs correlating to the Critical Tracking Events (CTEs) that occur within your company?

Are you using GDST compliant Identifiers (e.g. CTIN) for at least 30% of tuna products handled by value?

Are you using CDST compliant Identifiers (e.g. CTIN) for at least 50% of tuna products handled by value?

Y/N	Are you using GDST compliant	
	identifiers (e.g. GTIN) for at	
	least 80% of tuna products	
Image: Contract of the second seco	handled by value?	

Have you engaged a 3rd party solution provider or made any in house traceability system adaptations to enable CDST compliant traceability?

100% Observer Coverage/ Electronic Monitoring

Have you adopted aspirational timelines (e.g. sourcing X% of supply from vessels with 100% observer coverage (human and/or electronic) by 202[Y] increasing to 100% over Z timeframe) for sourcing tuna with improved observer coverage? Port States Measures

Agreement (PSMA)

 Do you show a preference for ports in States that are party to the PSMA, or have implemented effective Port
 State Measures (PSMs) aligned with PSMA requirements?
 Do you conduct risk

Do you conduct risk assessments for ports where product is being landed to establish the level of PSM implementation and compliance and associated risk of IUU catch entering the market?

Do you work with your suppliers to improve the implementation of port State measures in:

 Mapping supply chains
 Checking whether ports are in States that have signed the PSMA and, if not, advocating for those States to join

- Checking whether States have officially designated ports for landing and transshipment by foreign-flagged vessels

 Inquiring about the implementation of port State measures during port visits
 Revising seafood sourcing requirements to include PSM

- None of the above Public tracking of vessels

Do you request mandatory AIS use for vessels you purchase from? Have you committed to buy only from vessels that publicly share vessel tracking data?

Do you request AIS be mandated by the flag State of the vessels you purchase from and encourage the release of VMS data?

Do you have tuna sourcing requirements that include publicly sharing vessel

Authorised Vessel lists Do you request vessel license and authorisation lists be published by the flag and coastal States of the vessels you purchase from? Do you request flag and coastal States of the vessels you purchase from to share vessel license and authorisation lists with the FAO Clobal Record of Fishing Vessels? Do you require that all vessels in your tuna supply chain are listed on the Authorised Vessel List of the RFMO where they

tracking data?

Z

listed on the Authorised Vessel List of the RFMO where they fish? Do you have tuna sourcing requirements that include publicly sharing vessel license and authorisation lists?

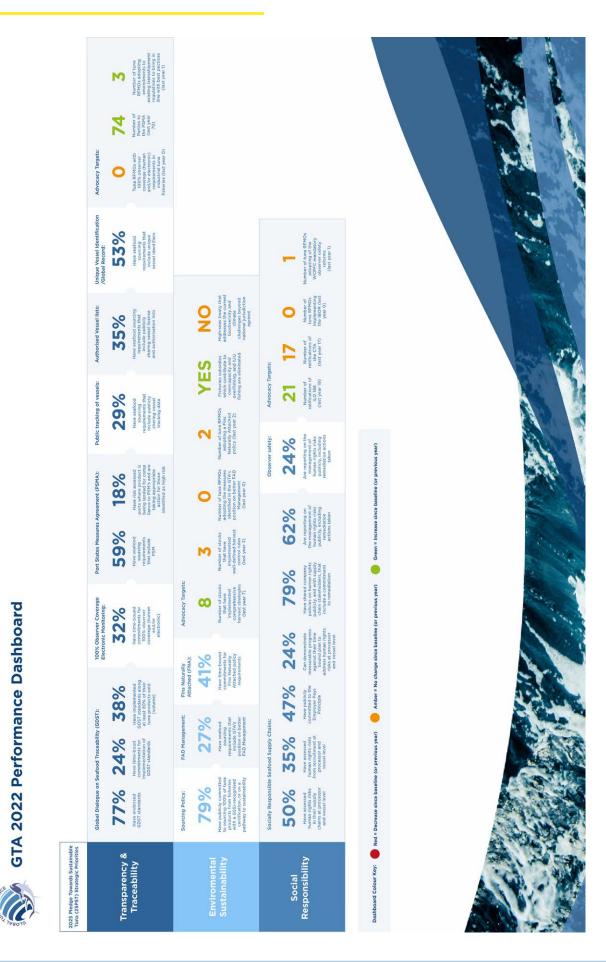
Unique Vessel Identification/ Clobal Record

Do you require mandatory IMO numbers for the eligible vessels you purchase from? Have you committed to buy only from vessels that have obtained an IMO number (where eligible)? Do you request flag and coastal States of the vessels

coastal States of the vessels you purchase from share vessel IMO numbers with the FAO Global Record of Fishing Vessels?

Environme	Social Responsibility					
Have you made a public tuna (or wider seafood) sourcing commitment that sets out your sustainability	🗹 🗖 📜 Do you have a tuna sourcing	Whaily No V Derfy Nik V	Socially Responsible Seafood Supply Chains Have you assessed human rights risks in your supply chains at processor and vessel	Vec No Bata but not completed V	standards that align with ILO 188? Do you advocate the countries you source from consider ratifying ILO 188?	0
requirements? Does your sourcing commitment include sourcing tuna from fisheries with a GSSI-recognized certification?	Fins Naturally Attached (FNA) Have you committed to buy tuna only from vessels that adhere to a Fins Naturally Attached policy?	••	level? Have you assessed human rights risks from recruitment at processor and vessel level? Socially Responsible Seafood	Ver Ne Startied but not compared V	Cape Town Agreement Do you request that supply chains implement standards that align with the CTA	
Does your sourcing commitment include sourcing tuna on a pathway to sustainability, such as in full-assessment or in a credible and comprehensive Fishery Improvement Project when the fishery has not yet met a GSSI-recognized certification?	Do you have a time-bound commitment for Fins Naturally Attached policy requirements?		Supply Chains - Have you publicly committed to the Employer Pays Principle? Have you developed a plan which prioritises and addresses human rights risks at processor/vessel level which includes measurable progress indicators	Vec No Procestor Useral Vec No	issued under the provisions of the Agreement, and safety, navigational an radio- communication equipment as required by the Agreement?	
Harvest Strategies	00		Can you demonstrate measurable progress against your timebound plan(s) to address human rights risks at processor and vessel level?	Vec No Processor Vector Vector	Do you know if the vessels you source from meet the requirements of the CTA?	
with harvest strategies? Does your corporate policy on sourcing tuna from fisheries	Na ba Tabata Na bata Para		Have you shared company policies on human rights publicly, and with supply chain stakeholders, that include a commitment to remediation?		Do you request that the countries you source tuna from consider ratifying the CTA to ensure safety provisions are met for existing vessels? Observer Safety	
Do you request your suppliers verifiably engage with RFMO delegates?			Are you reporting on the management of human rights risks publicly, including remediation actions taken?		Do you have a tuna sourcing requirement for tuna for enhanced observer safety?	
			ILO188 Do you request that your supply chains implement		Have you adopted an aspirational timeline for enhanced observer safety?	

Annex 3





Contact //s

www.globaltunaalliance.com

Dr Tom Pickerell - *Executive Director* tom@globaltunaalliance.com

Albert Arthur - Director Outreach & Engagement albert@globaltunaalliance.com